

Soft-X-Ray ARPES View of Three-Dimensional Electronic Structure

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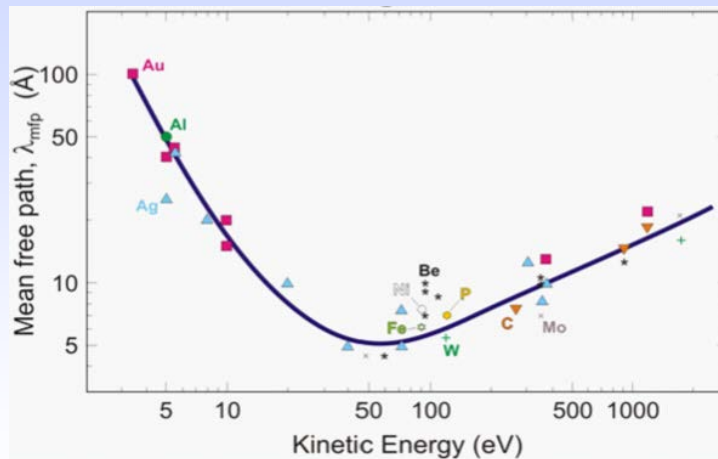
Outline:

1. Why ARPES in the soft-X-ray range?
 - applications to 3D systems
2. Instrumentation
3. Results
 - 3-dim band structure and Fermi surface of quasi-2D VSe_2
 - overview: HTSC pnictides; heavy-fermion intermetallics; fermiology of $\text{NiS}_{2-x}\text{Se}_x$, buried layers in LNO/STO heterostructures ...

Why Soft-X-Ray ARPES?

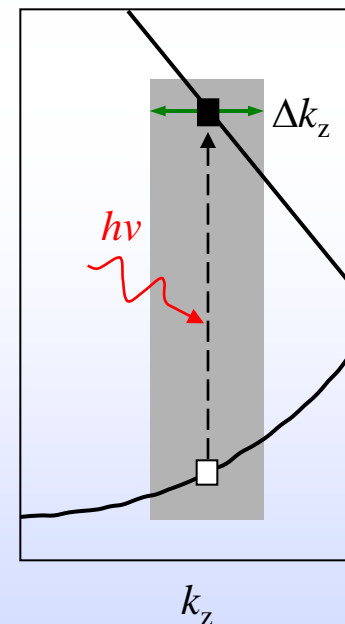
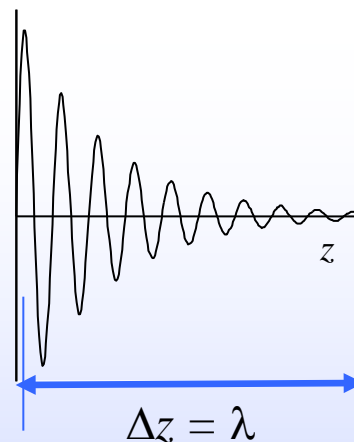
Virtue 1: Increasing λ

- increasing bulk sensitivity



⇒ Virtue 2: Improving *intrinsic resolution* $\Delta k_z = \lambda^{-1}$

- ARPES signal by averaging $E(k_z)$ over Δk_z
- crucial for 3D systems

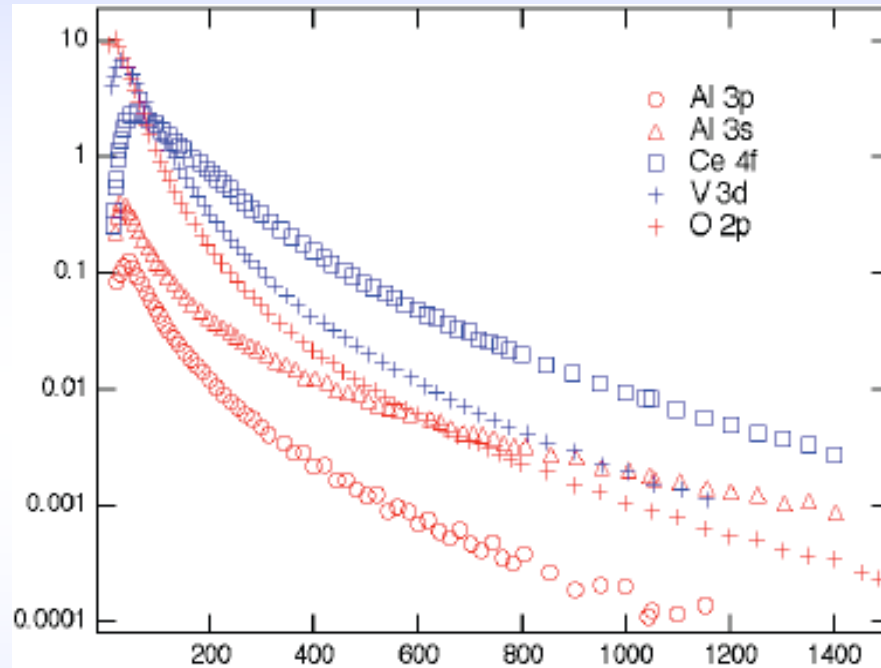


Virtue 3: Free-Electron Final States

- free-electron final-state $E(k_z)$ to find $k_z = \sqrt{\frac{2m}{\hbar^2} \left(E - V_0 - \frac{\hbar^2 k_{\parallel}^2}{2m} \right)}$

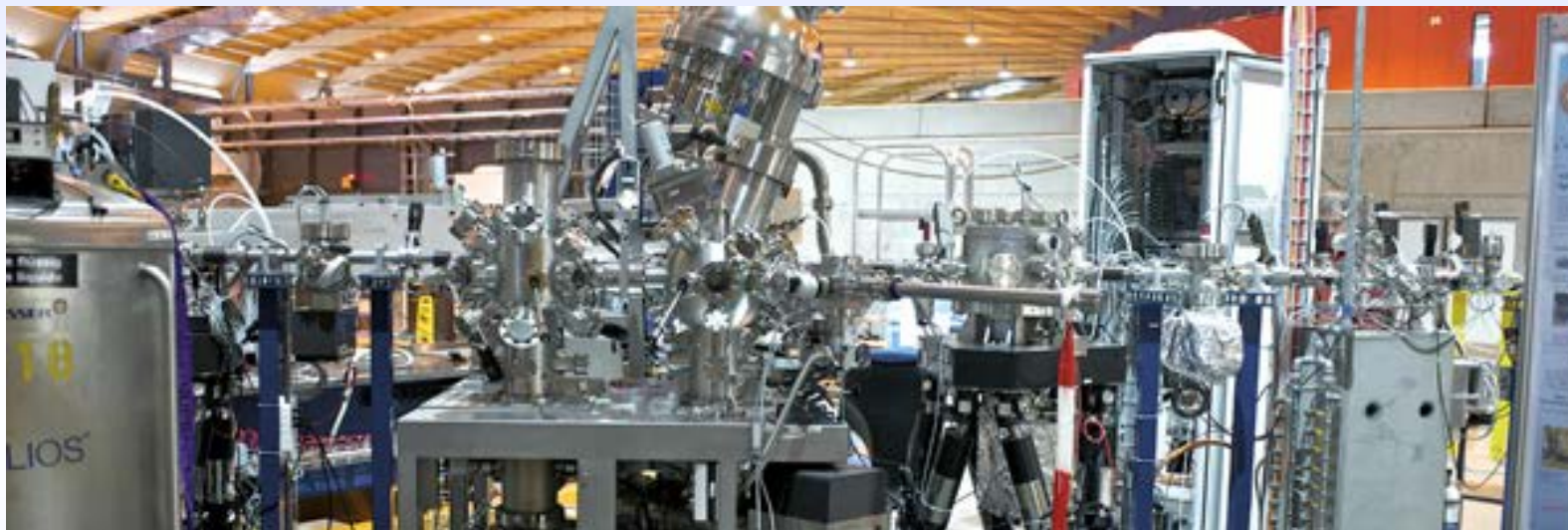
Further virtues: Simplified matrix elements, ...

Crossection Problem of SX-ARPES



- dramatic drop of valence band crosssection with $h\nu$ (especially for s - and p -states): **photon flux required!**

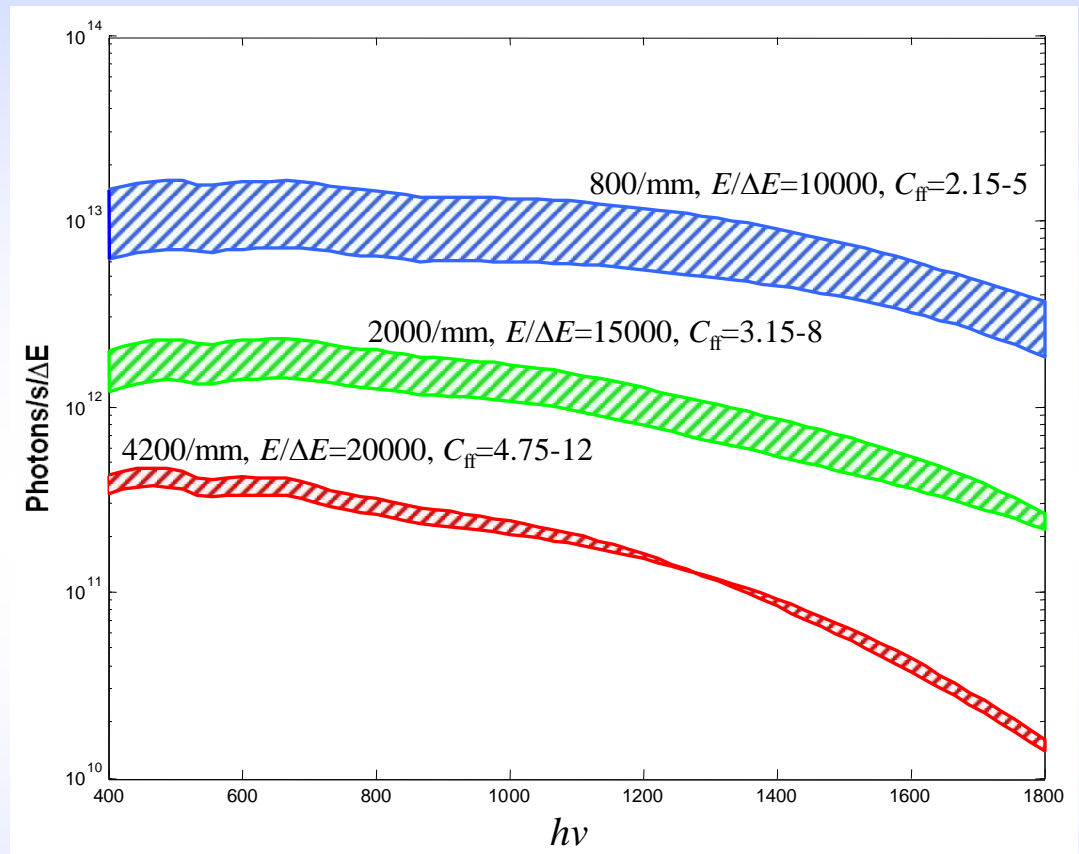
ADDRESS (*ADvanced RESonant Spectroscopies*) Beamline at SLS



- RIXS and ARPES endstations
- soft-X-ray radiation with circular and 0-180° variable linear polarizations
- energy range 300 – 1800 eV
- high resolution $\Delta E \sim 30$ meV @ 1 keV
- collimated-light PGM optical scheme
- **flux up to 10^{13} ph/s/0.01%BW: factor of 10 to 100 increase compared to best available beamlines \Rightarrow breakthrough of the crossection problem**

ADRESS Beamline: Flux Parameters

- flat energy dependence with all gratings including 800/mm blazed
- 3×10^{11} to 1×10^{13} ph/s/0.01% BW (factor of 10 to 100 flux increase compared to BL25SU@SPring-8)



- excellent flux by virtue of (1) 2.4 GeV ring optimal for soft X-rays; (2) glancing angles on the mirrors; (3) blazed/lamellar and profile optimization of gratings; (4) optimal C_{ff}

⇒ **ADRESS is ideal for the photon-hungry SX-ARPES**

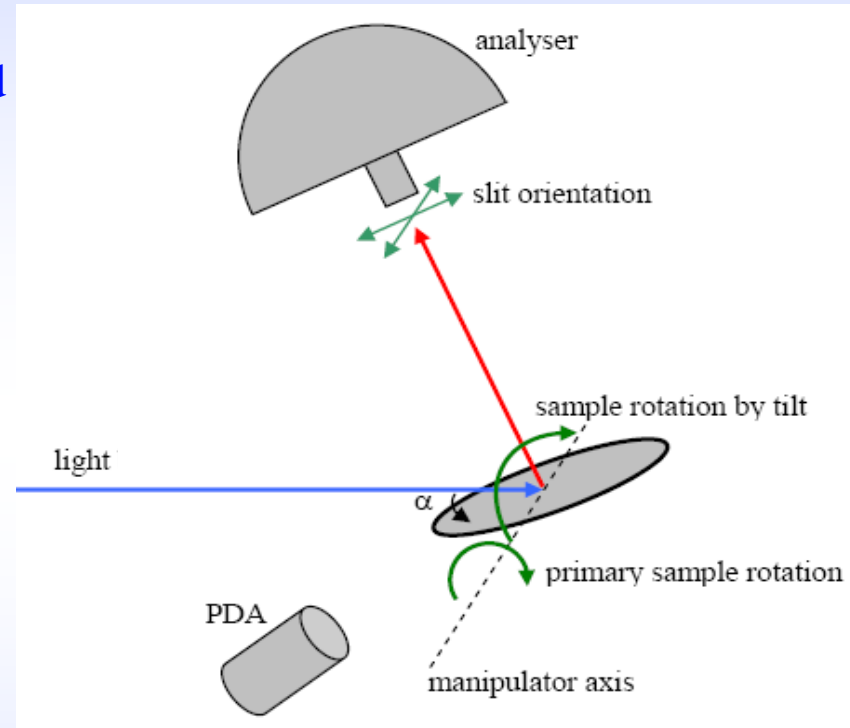
SX-ARPES Endstation @ ADDRESS

- Geometry

- grazing incidence at 20° to increase photoyield (factor of 2 compared to 45°)
- horizontal rotation axis to balance the vertical ($<20\mu\text{m}$) and horizontal ($74\mu\text{m}$) light footprint
- 2 operation modes:
 - analyser slit // beam + tilt (selection rules)
 - analyser slit \perp beam + primary rotation

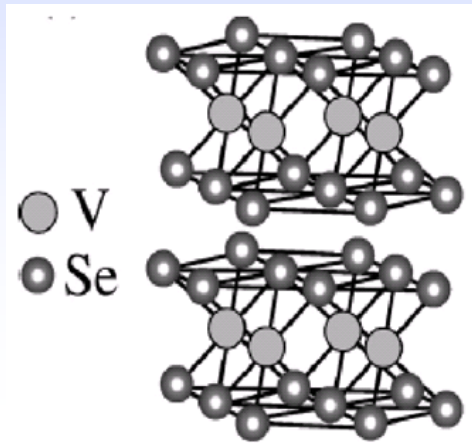
- Instrumentation

- CARVINGTM manipulator:
 - 3 angular DOFs (res 0.05°)
 - L-He₂ cooling to 10.5K
- analyser PHOIBIOS-150: $\Delta k_{\parallel} = 0.02 \text{ \AA}^{-1} @ 1 \text{ keV}$



Test case: 3D bandstructure and Fermi surface of VSe₂

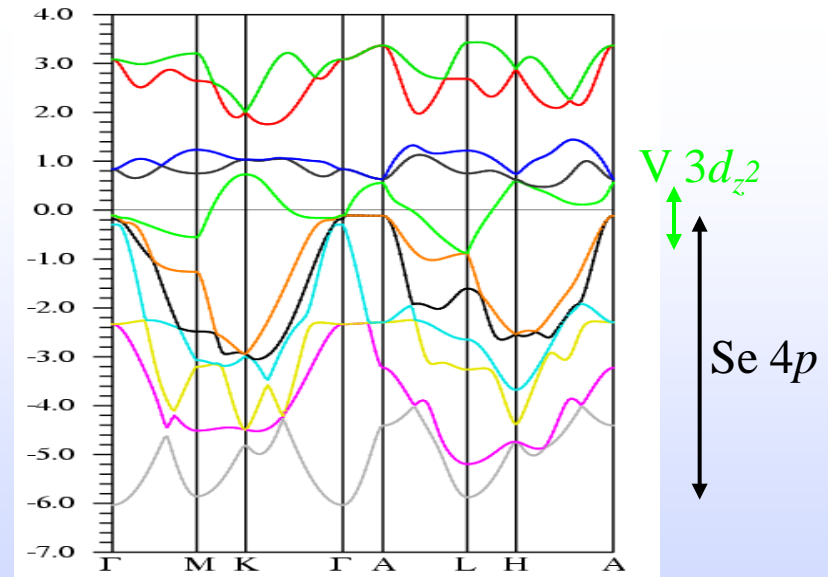
- Structure and electronic structure



- quasi-2D structure with weaker interlayer interaction

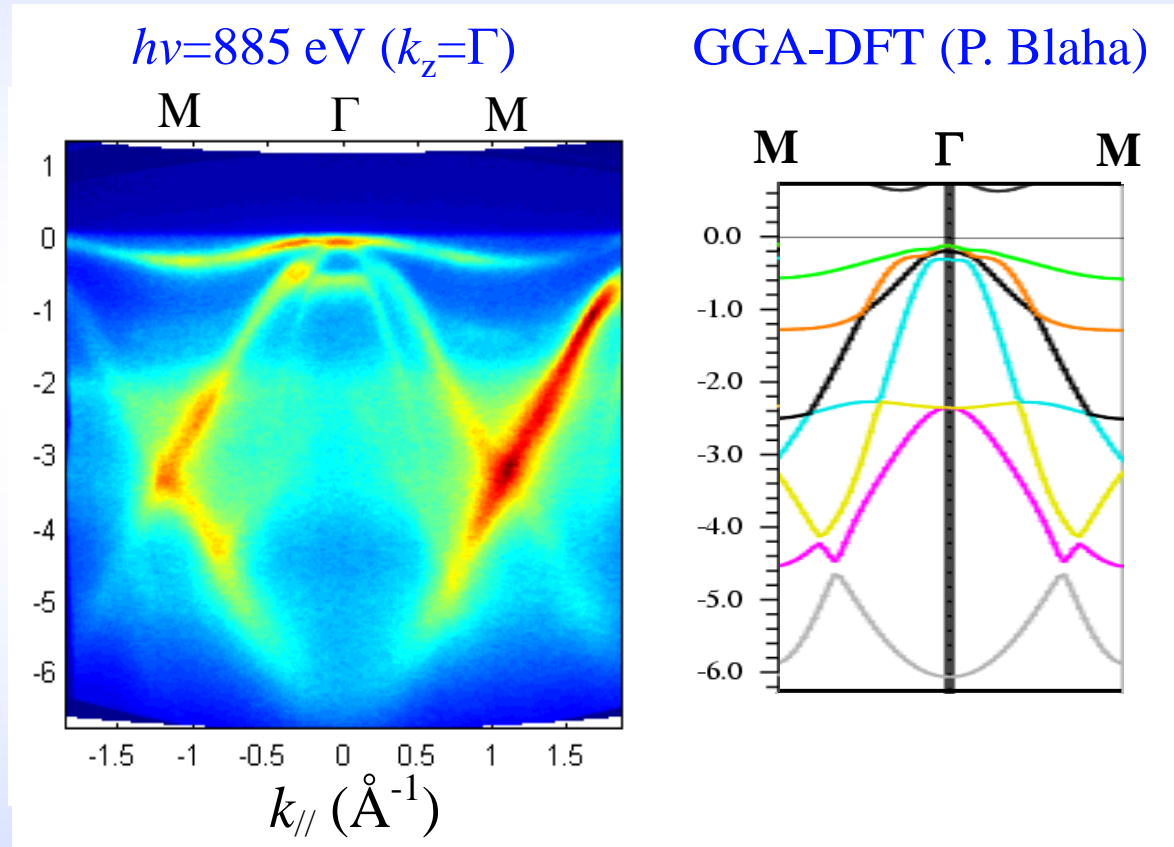
- significant 3D-lity due to V 3d and Se 4p_z dispersing along Γ A

GGA-DFT (P. Blaha)

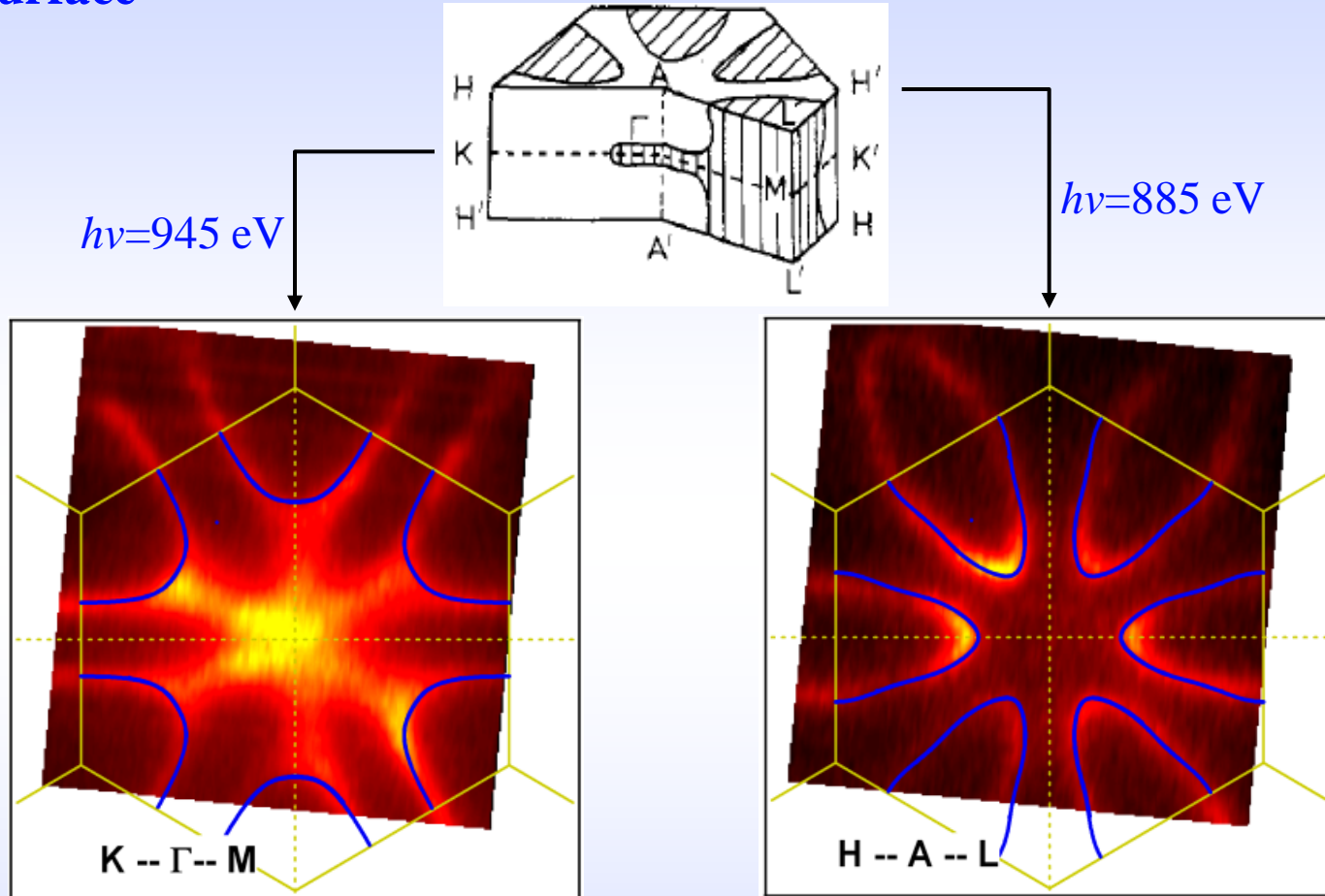


- 3D Band Structure

- T=10.7 K
 - combined $\Delta E \sim 110 \text{ meV}$
 - acquisition time 10 min
- ⇓
- excellent intensity not only for *d*-states, but also for *p*-states despite dramatic loss of cross section
 - intense and sharp in \mathbf{k}_{\parallel} structures \Rightarrow Debye-Waller and phonon broadening are no prohibitive
 - agreement with GGA-DFT
 - evolution with $h\nu \Rightarrow$ intrinsic Δk_z resolution $\sim 0.05 \text{ \AA}^{-1}$ (or $\lambda \sim 20 \text{ \AA}$)

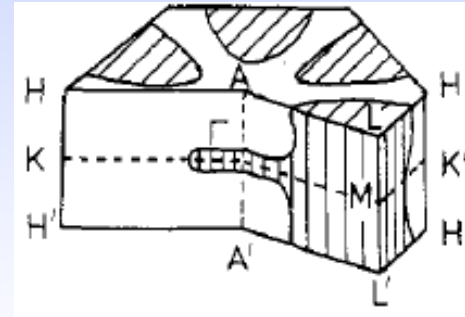
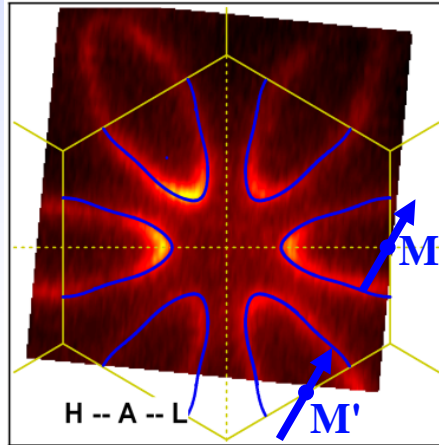


- Fermi surface



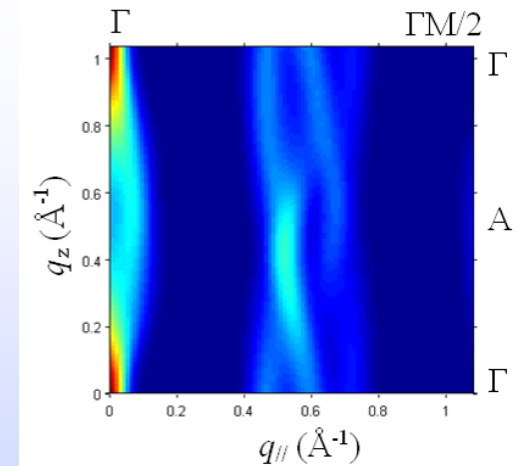
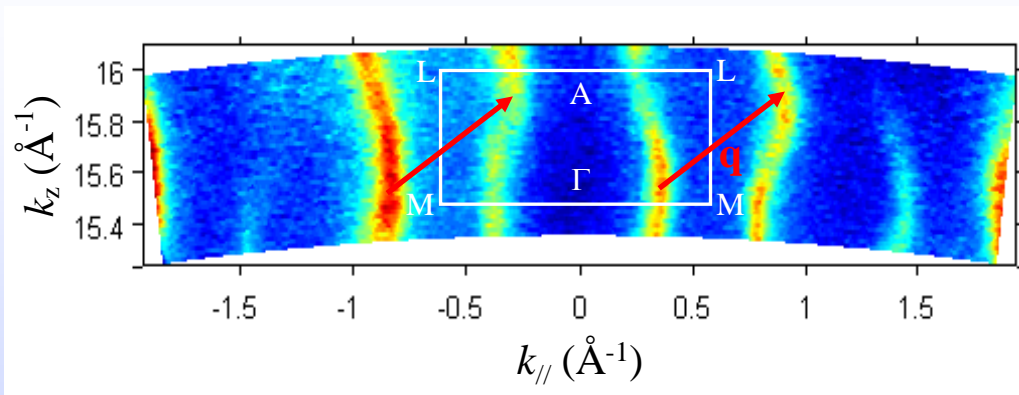
- combined $\Delta E \sim 120$ meV; each image in 400 s; each map in < 5 hrs
- extraordinary clarity of the experimental data (no image enhancement)
- fantastic agreement with GGA-DFT, even the tiny warping in HAL

- Origin of 3-dimensional CDWs



$$\mathbf{q}_{\text{CDW}} = \mathbf{q}_{\parallel} + \mathbf{q}_z \quad (q_z \sim k_z^{\text{BZ}}/3)$$

- Perpendicular FS cut in MLL'M' plane

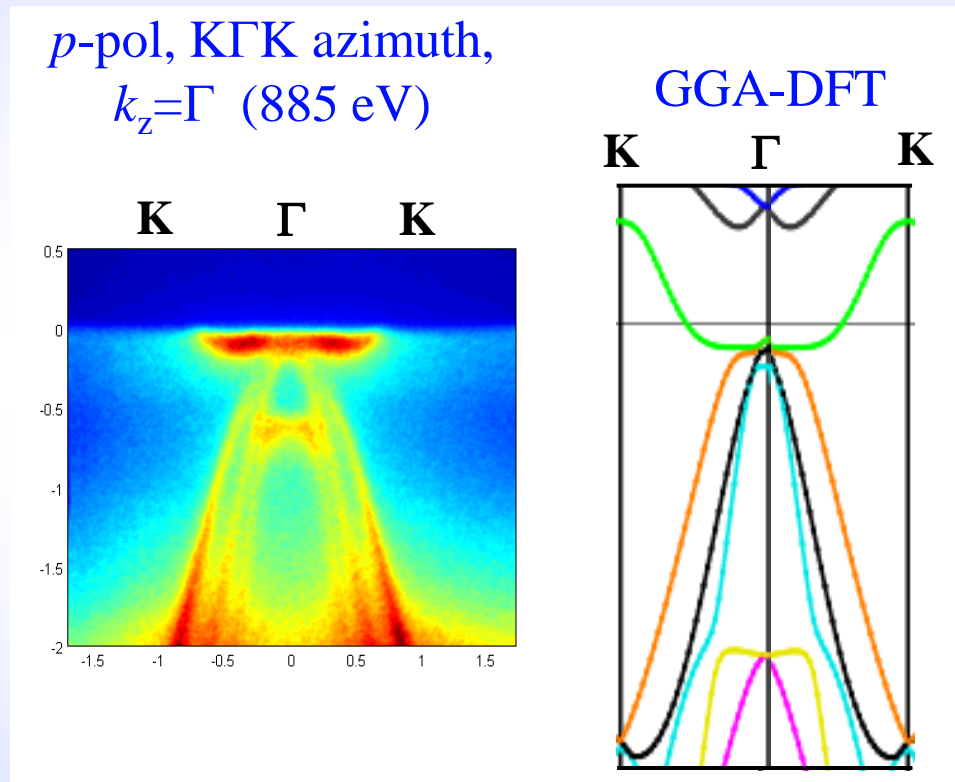


- 3D warping to support nesting with the experimental q_z

- Autocorrelation peak at the experimental q_z

Towards better resolution

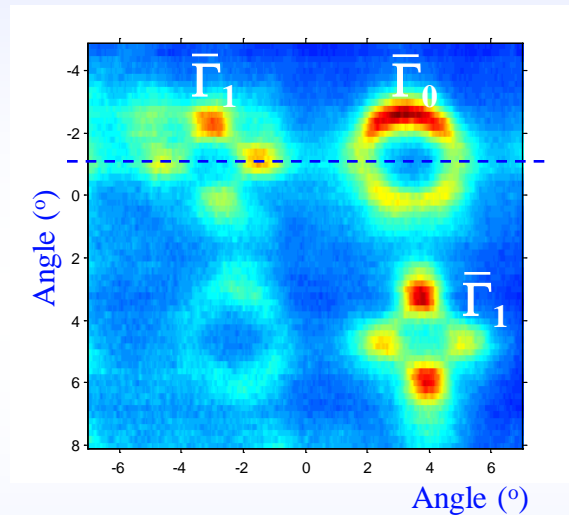
- combined $\Delta E \sim 60 \text{ meV}$
- image in **40 min**
- well resolved bands



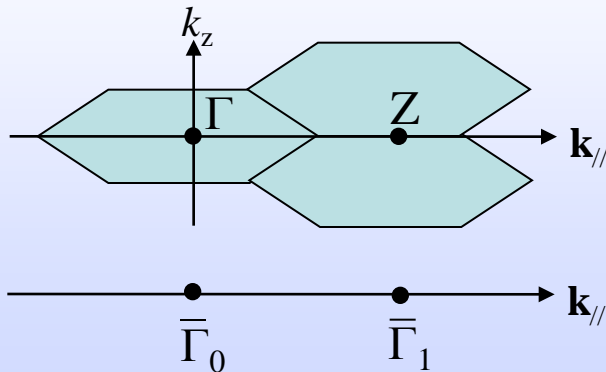
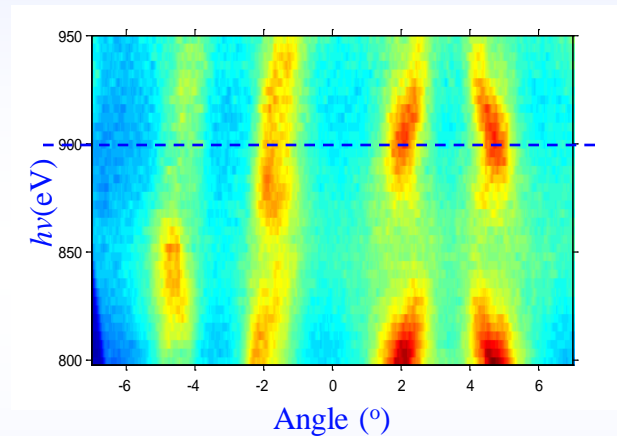
3D Fermi Surface of HTSC pnictide $\text{Ba}_{0.6}\text{K}_{0.4}\text{Fe}_2\text{As}_2$

- pnictides are 3D materials
- measurements at p -pol, $T=10.7\text{K}$, $\Delta E \sim 110\text{meV}$

FS($\mathbf{k}_{//}$) @ $h\nu = 900\text{ eV}$



FS(k_z) along Γ_1 - Γ_2



Beyond the 3-dimensionality:

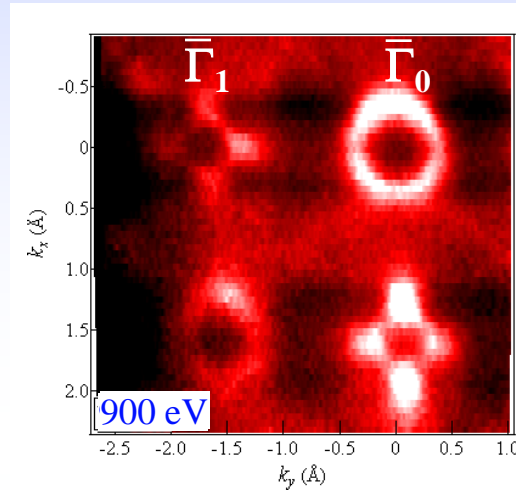
- k_z dispersion along Γ_1 reduced compared to Γ_0

- linear polarization dependence

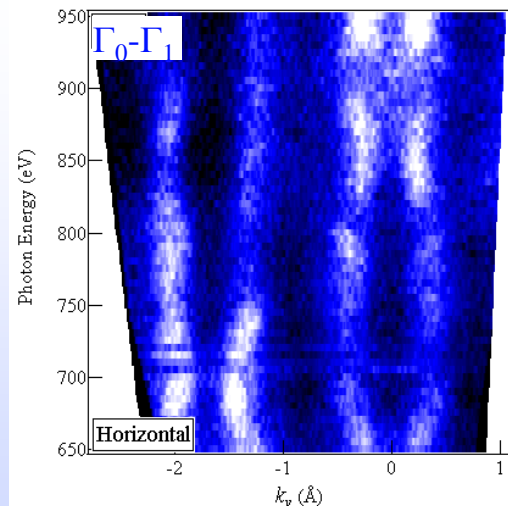
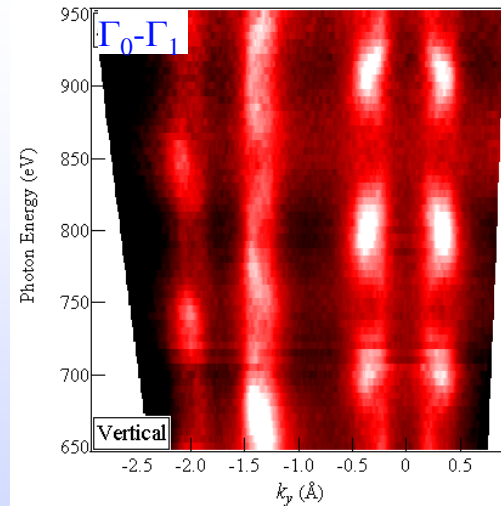
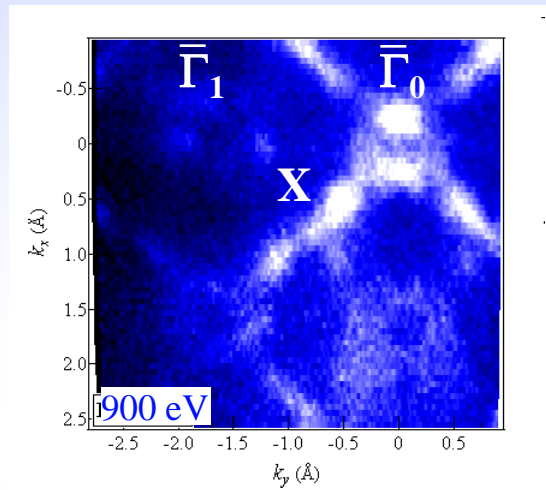
- strong linear dichroism in the $FS(\mathbf{K}_{//})$ and $FS(k_z)$ maps

- Varying BZs and polarization \Rightarrow selective excitation of α , α' and β Fe $3d$ bands of the FS: matrix element effects due to intra-cell interference

p-pol



s-pol



3D bulk electronic structure of heavy-fermion EuRh_2Si_2

TU Dresden: M. Höppner, S. Danzenbächer, D. Vyalikh, S. Molodtsov

3D electronic structure of strongly correlated $\text{NiS}_{2-x}\text{Se}_x$

Fudan University : Y. Zhang, H. C. Xu, M. Xu and D. L. Feng

PbBi₄Te₇ : Topological Surface State in 3D Sea

H. Dil, G. Landolt, B. Slomski, J. Osterwalder (PSI/Uni Zuerich)

k-resolved Fermi surface of $\text{LaAlO}_3/\text{LaNiO}_3$ heterostructures

*Uni Wuerzburg: G. Berner, M. Sing and R. Claessen;
samples: MPI-FKF Stuttgart*

Summary

- Virtues of soft-X-ray ARPES

- Enhanced λ , improved Δk_z resolution, free-electron final states, simplified matrix elements...

- SX-ARPES instrumentation at SLS

- ADRESS beamline: 300 - 1800 eV energy range, high res ($\Delta E \sim 30 \text{ meV}$ @ 1 keV) and high flux (up to 10^{13} ph/s/0.01%BW @ 1 keV)

- Data acquisition rate of ~ 5 min @ $\Delta E \sim 110$ meV: breakthrough of the crossection problem

- Examples

- 3D fermiology and CDWs in VSe_2 : textbook clarity of 3D-resolved $E(\mathbf{k})$ and FS by virtue of free-electron final states and small Δk_z in the soft-X-ray region

- Further studies of 3D electronic structure: Band selection effects in HTSC pnictides, 3D hybridization effects in heavy-fermion intermetallics, 3D fermiology of strongly correlated $\text{NiS}_{2-x}\text{Se}_x$, buried layers in LNO/LAO heterostructures ...

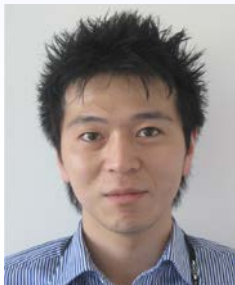
\Rightarrow high potential of SX-ARPES, in particular for 3D systems

People

SX-ARPES team



V.N.S.
(BL Scientist)



M. Kobayashi
(PostDoc)



C. Hess
(BL Technician)

Collaborators



M. Shi
(SIS beamline)



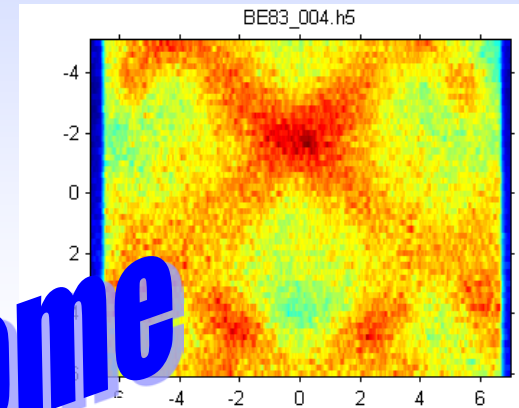
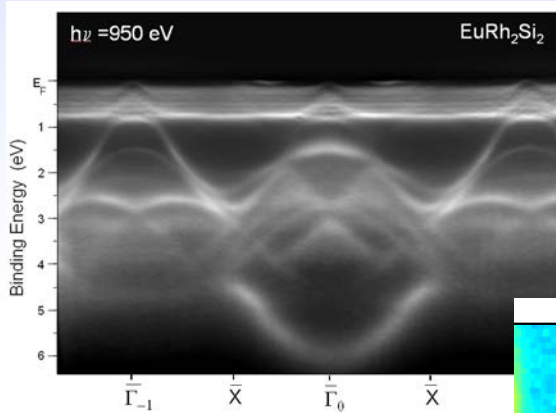
T. Schmitt
(RIXS)



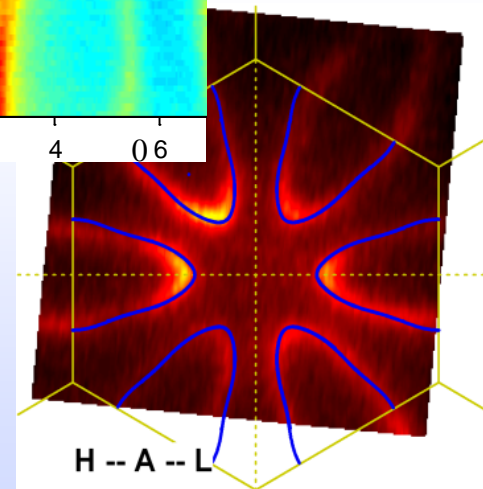
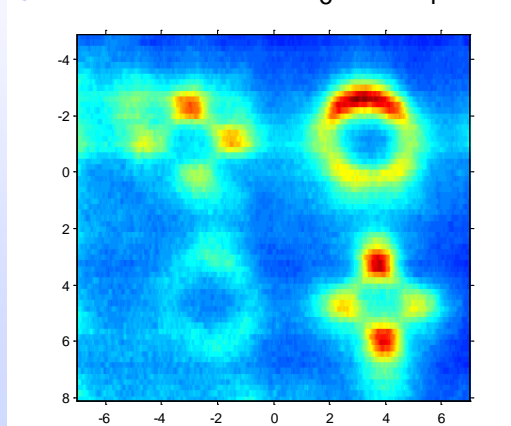
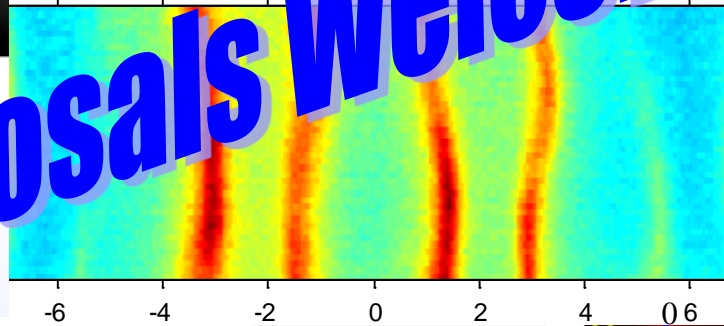
L. Patthey
(Group Leader)



www.psi.ch/sls/adress/



Proposals Welcome



Next call deadline: February 15