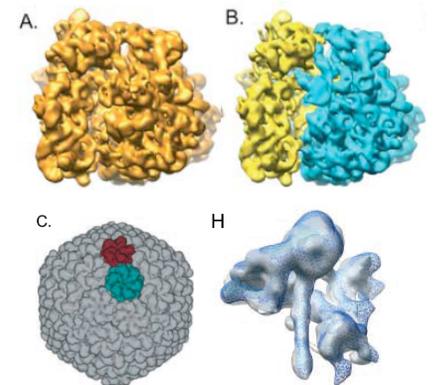
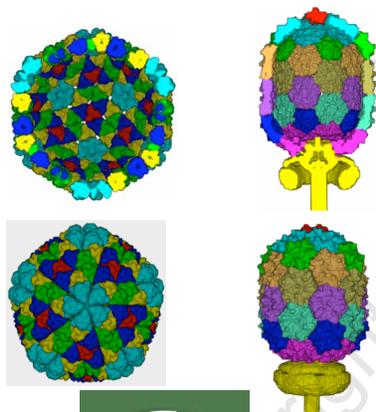


# Molecular Structure and Properties Elucidation from 3D Electron Microscopy

Chandrajit Bajaj



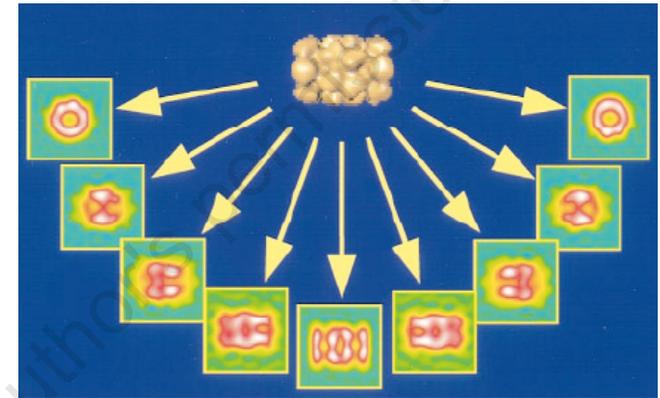
Center for Computational Visualization <http://www.ices.utexas.edu/CCV>  
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Department of Computer Sciences

University of Texas at Austin

May 2007

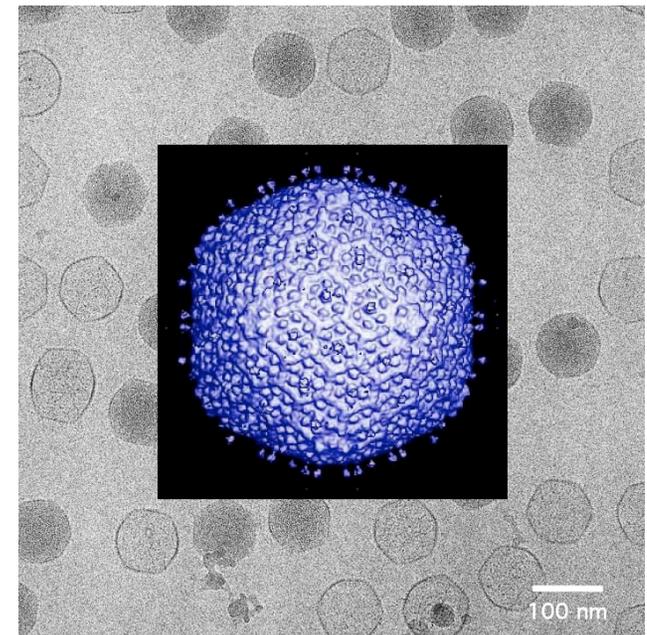
# Multi-scale Multi-Modal Imaging

- X-ray crystallography (diffraction)
  - Atomic resolution
  - Difficulties (experimental, computational)
- Nuclear magnetic resonance (NMR)
  - Atomic resolution
  - Limited to small structures
- Electron Microscopy
  - Cryo-electron tomography
    - Low resolution (20Å - 200Å)
    - Good for whole cell or cell organelles
  - Single particle cryo-EM
    - Intermediate resolution (5Å - 20Å)
    - Computationally more demanding



Electron tomography

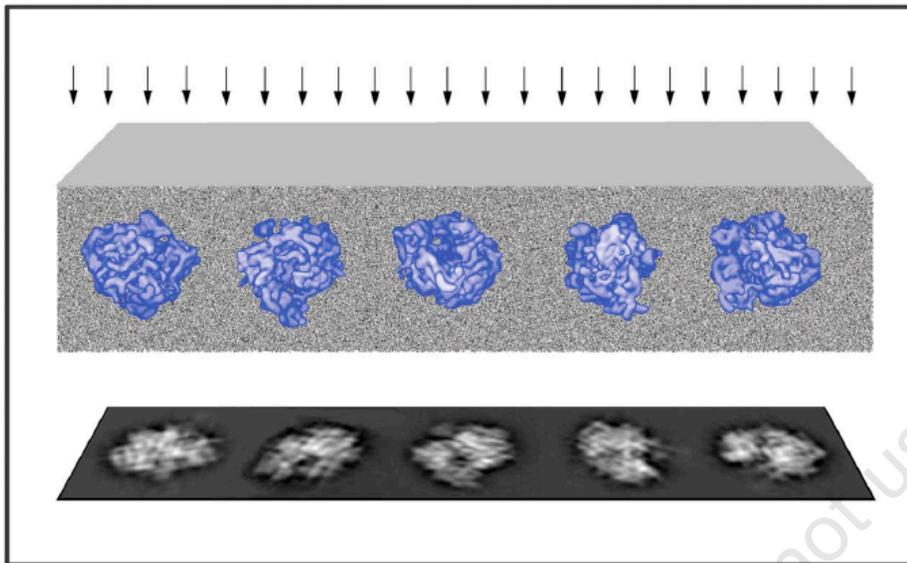
(Picture from A.J. Koster et al, JSB, 1997)



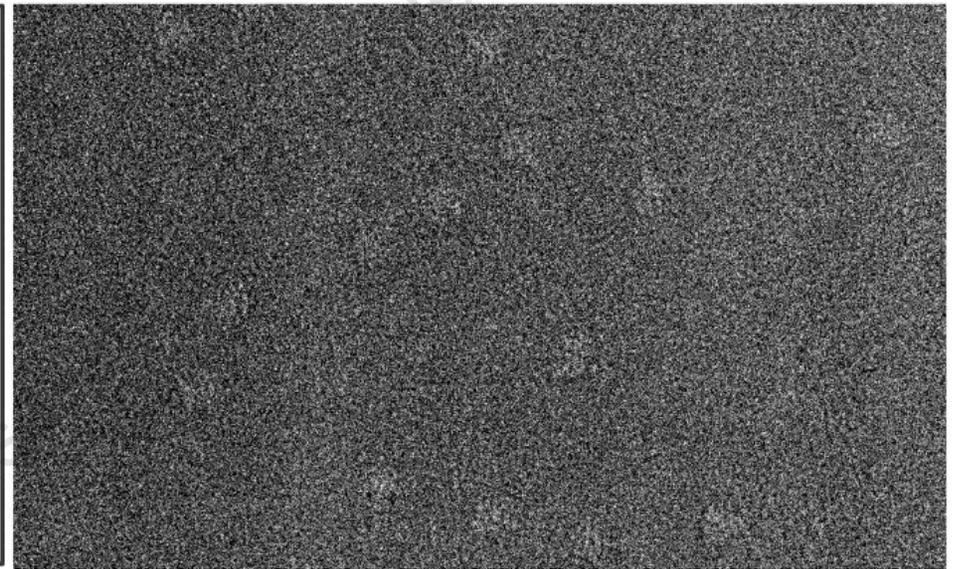
Single particle cryo-EM



# Image Acquisition



schematic of possible orientations



2D Cryo-EM map

- Images collected via electron microscopy
- Lowest possible radiation used to limit damage to sample

Annu. Rev. Biophys. Biomol. Struct. 2002. 31:303–19

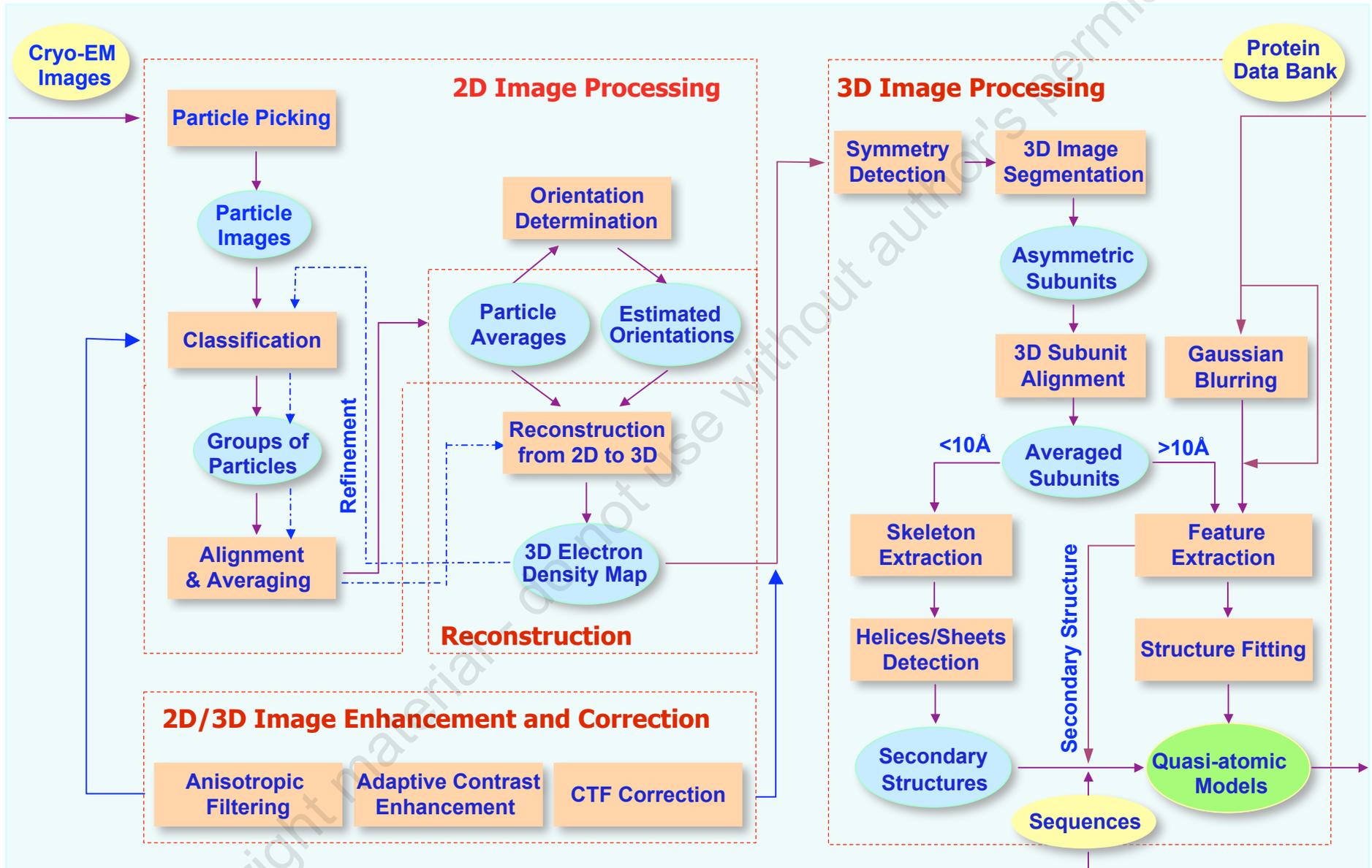


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Institute of Computational and Engineering Sciences  
Department of Computer Sciences

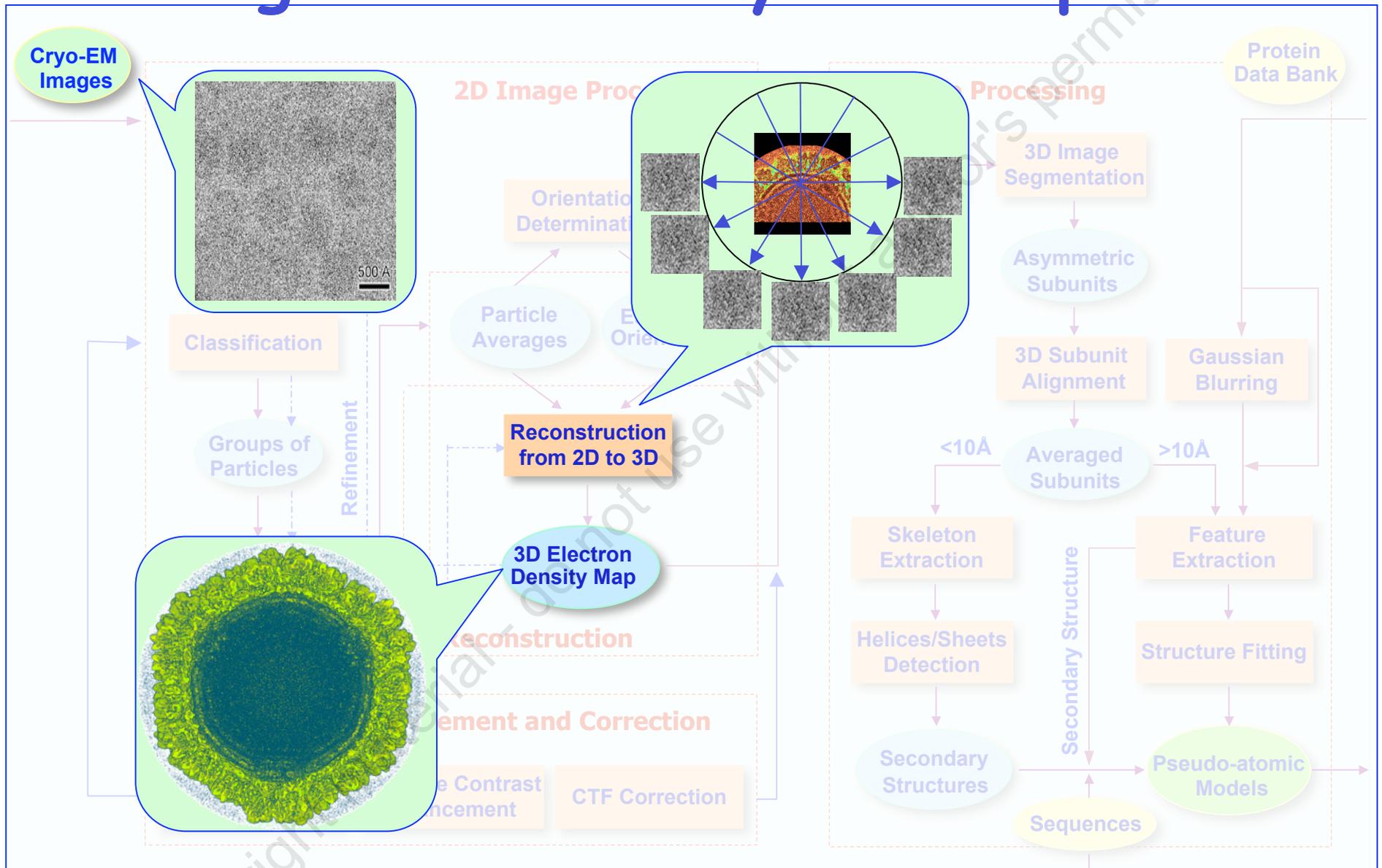
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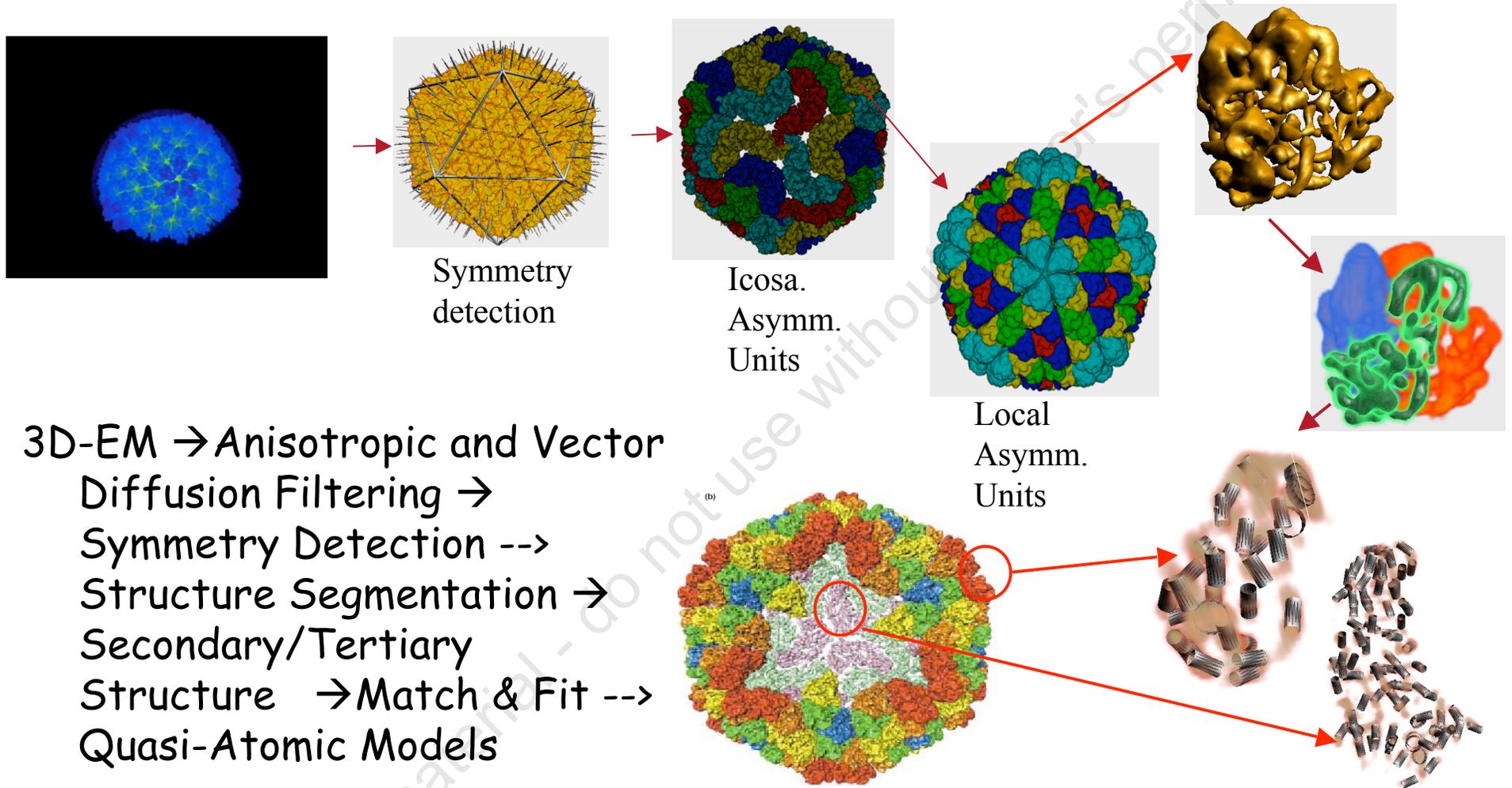
# Quasi Atomic Models from Single Particle Cryo-EM



# Single Particle Cryo-EM Pipeline



# Sub-nanometer Structure Elucidation from 3D-EM



3D-EM → Anisotropic and Vector  
 Diffusion Filtering →  
 Symmetry Detection -->  
 Structure Segmentation →  
 Secondary/Tertiary  
 Structure → Match & Fit -->  
 Quasi-Atomic Models

*C. Bajaj, Z. Yu, Geometric and Signal  
 Processing for 3DEM, Handbook in Comp.  
 Biology, 2005, ed. S. Aluru*

**Rice Dwarf Virus**  
 (CryoEM Data courtesy: Dr. Wah Chiu (Baylor),)



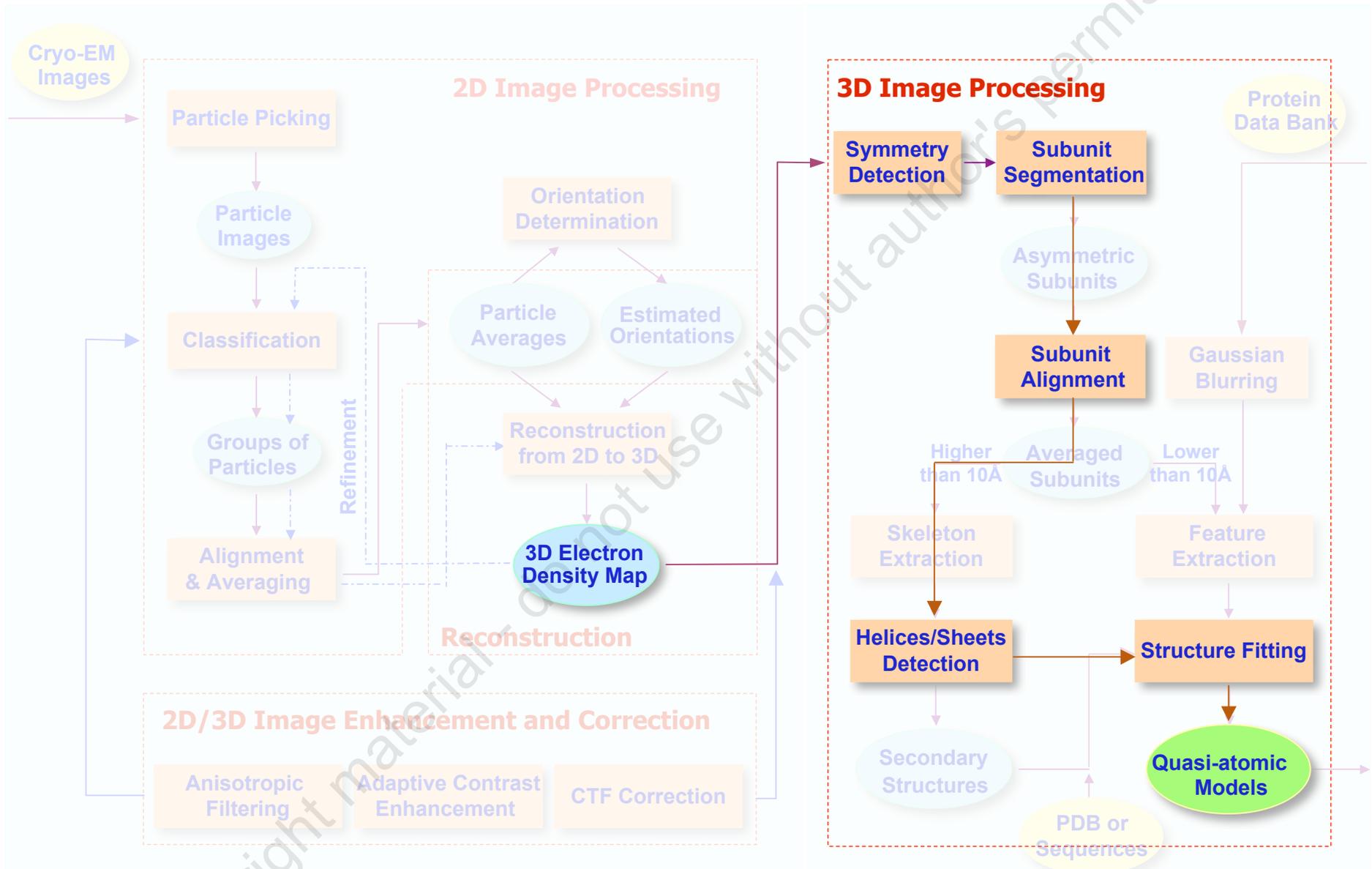
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 Institute of Computational and Engineering Sciences  
 Department of Computer Sciences

\*\* NSF-ITR, NIH

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# Single Particle Cryo-EM: Automatic Structure Analysis

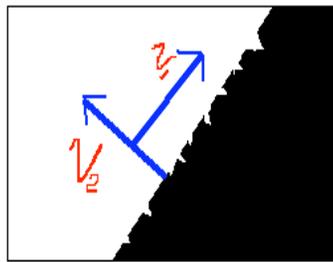
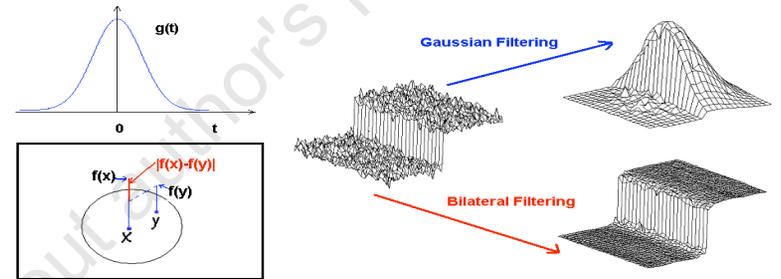


# Step #1 : Anisotropic Filtering

Bilateral filtering

$$h(x, \xi) = e^{-\frac{(x-\xi)^2}{2\sigma_d^2}} \cdot e^{-\frac{(f(x)-f(\xi))^2}{2\sigma_r^2}}$$

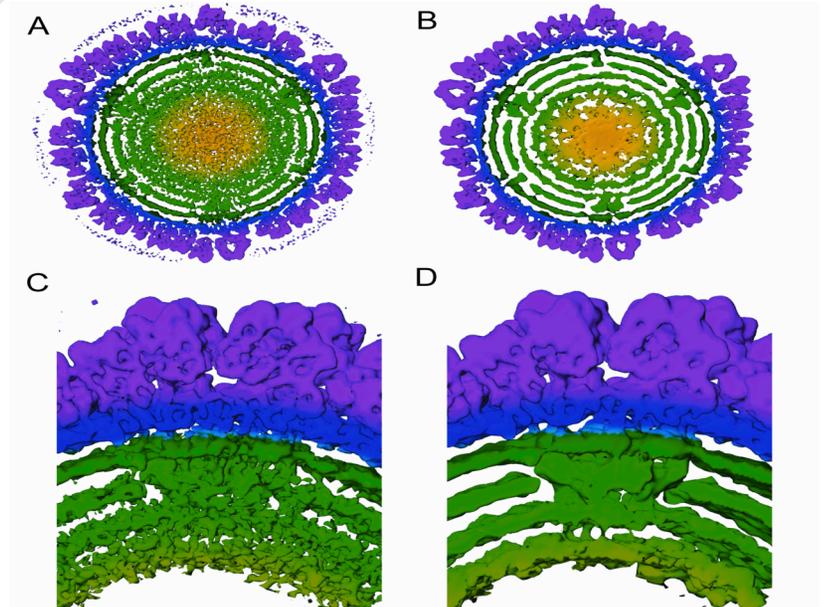
where  $\sigma_d$  and  $\sigma_r$  are parameters and  $f(\cdot)$  is the image intensity value.



Anisotropic diffusion filtering

$$\partial_t \phi - \text{div}(a(|\nabla \phi|) \nabla \phi) = 0$$

where  $\mathbf{a}$  stands for the diffusion tensor determined by local curvature estimation.



C. Bajaj, G. Xu, ACM Transactions on Graphics, (2003),22(1), pp. 4- 32.

W. Jiang, M. Baker, Q. Wu, C. Bajaj, W. Chiu, Journal of Structural Biology, 144, 5,(2003), Pages 114-122

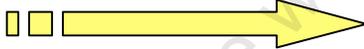


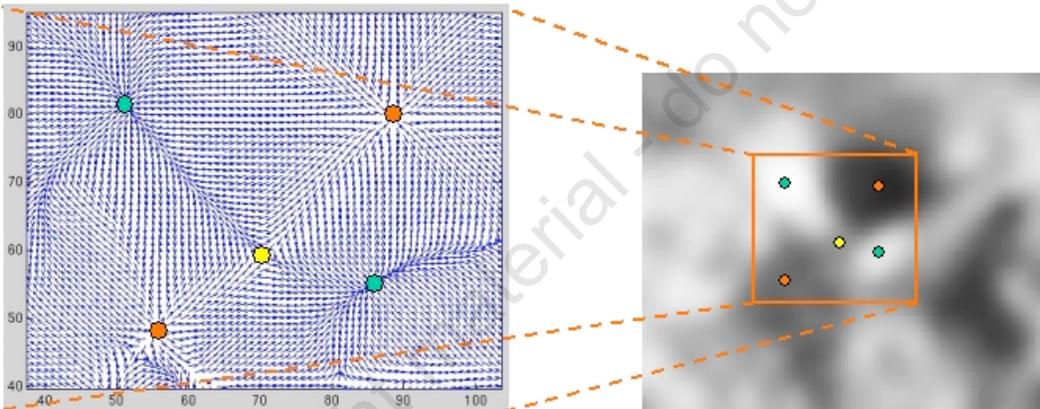
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# Step #2: Critical Point Detection

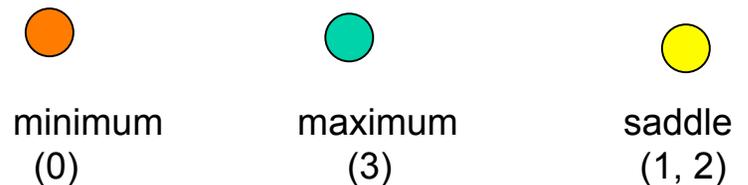
- For smooth data:
  - zeroes of the gradient vector field
  - simple, easy to implement
- For noisy data:
  - Gradient vector diffusion 
  - higher time complexity but robust to noise



- Gradient vector diffusion:
  - smoothing the vector fields
  - diffusion to flat regions

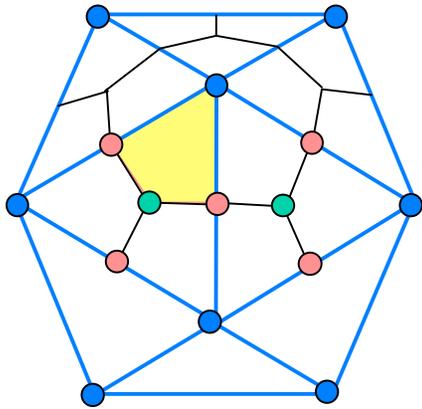
$$\begin{cases} \frac{\partial u}{\partial t} = \mu \cdot \text{div}(g(\alpha)\nabla u) \\ \frac{\partial v}{\partial t} = \mu \cdot \text{div}(g(\alpha)\nabla v) \end{cases}$$

where  $g(\alpha)$  is a decreasing function  
 $\alpha$  is the angle between the central pixel and its surrounding pixels.

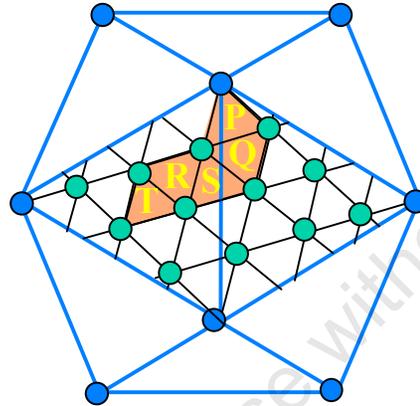


# Step #3: Symmetry Detection

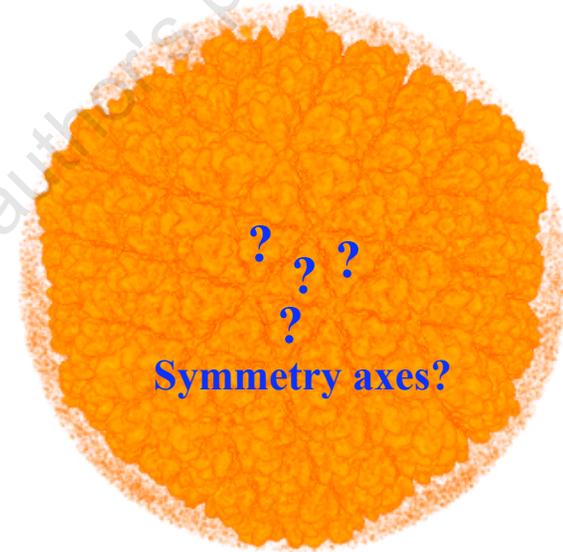
- Asymmetric subunits in an icosahedra



- Two-fold vertices
- Three-fold vertices
- Five-fold vertices



Local symmetry (RDV)  
(260 trimers or 720 proteins)

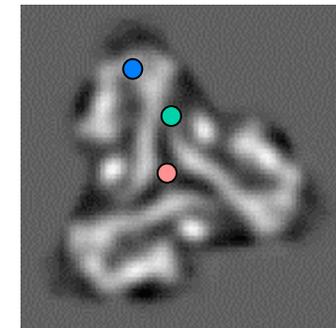


- Correlation search, addtly sped up by Spherical FFT:

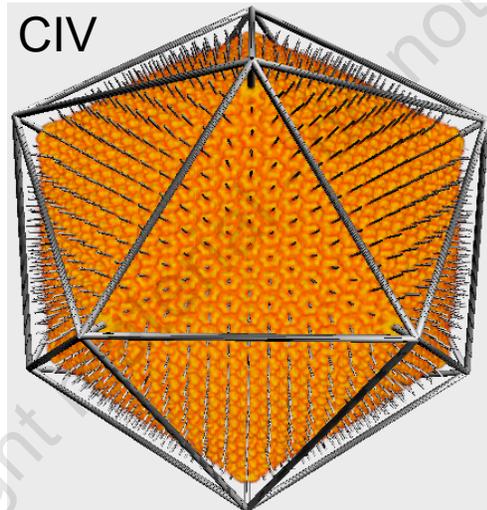
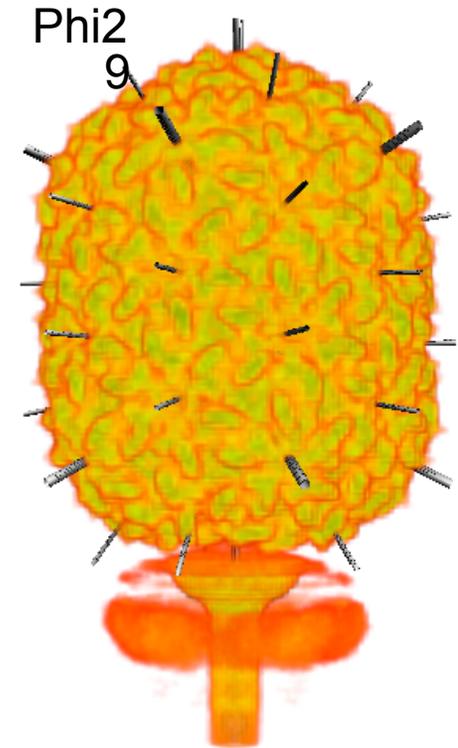
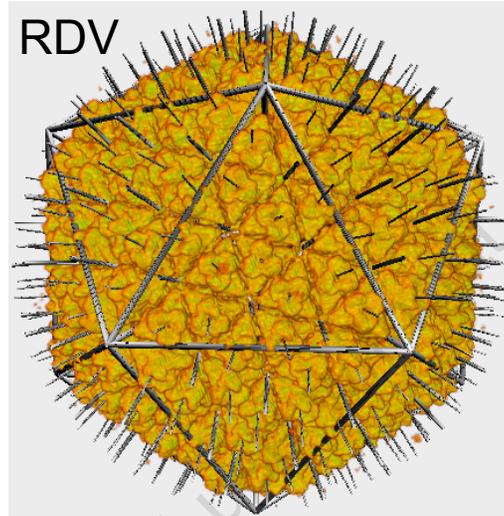
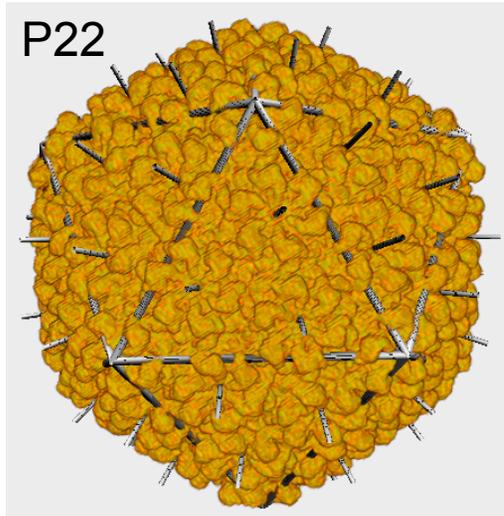
Find best  $c$ , minimizing:

$$\sum_{\vec{r} \in D_0} (f(\vec{r}) - f(R_{2\pi/n}(c) \cdot \vec{r}))^2$$

Y.Zu, C. Bajaj|IEEE Transactions on Image Processin, 2005, 14, 9, 1324-1337



# Results of Automatic Symmetry Detection in Virus and Phage Capsid Shells



Data Courtesy: Wah Chiu (BCM), Tim Baker (UCSD)



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Department of Computer Sciences

University of Texas at Austin

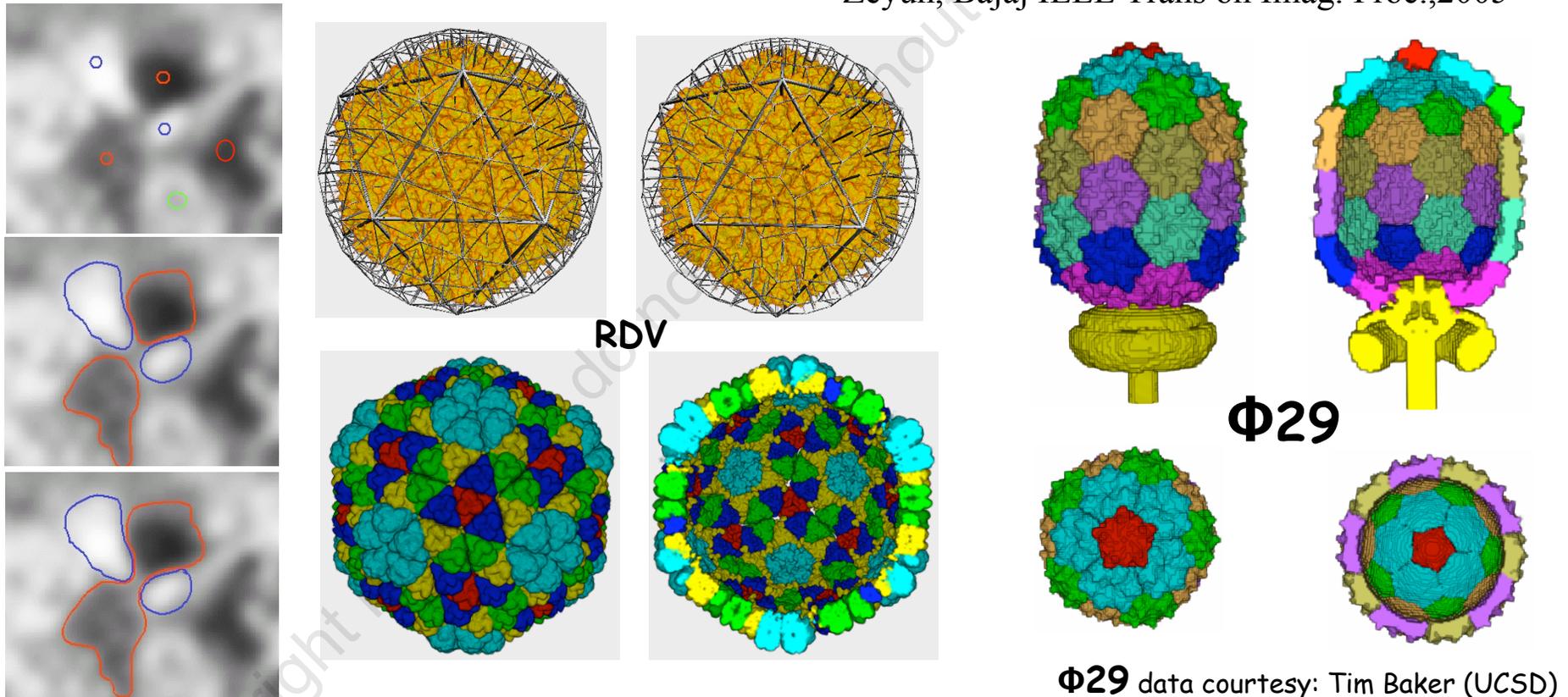
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# Step #4: Subunit Segmentation

- Multi-seed Fast Marching Method

- Classify the critical points based on local symmetry into separate groups.
- Each seed initializes one contour, with its group's membership.
- Contours march simultaneously. Contours with same membership are merged, while contours with different membership stop each other.

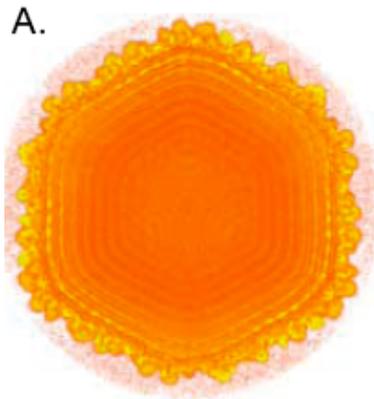
Zeyun, Bajaj IEEE Trans on Imag. Proc., 2005



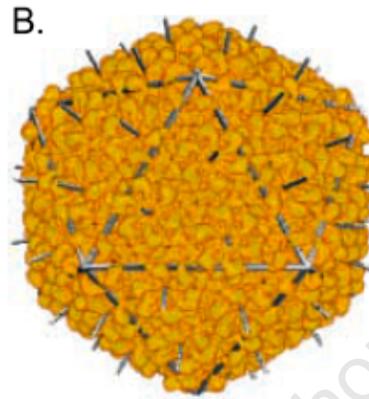
$\Phi 29$  data courtesy: Tim Baker (UCSD)



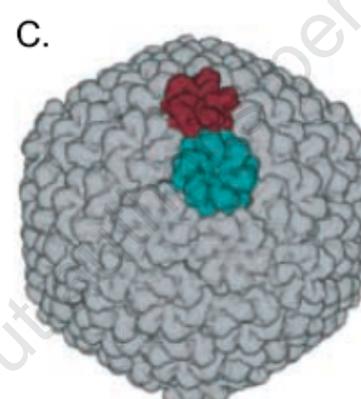
# Bacteriophage P22



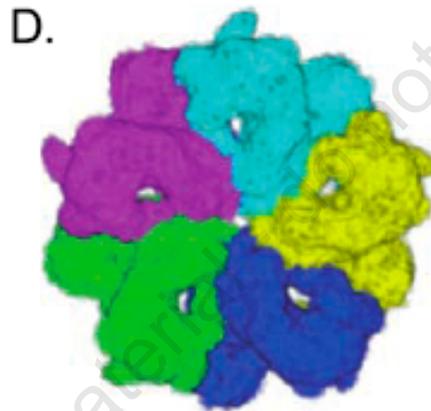
**9.5 Angstrom  
Density Map**



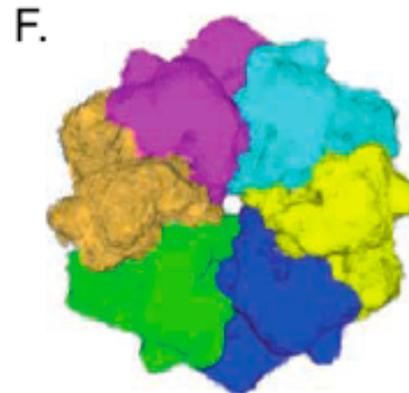
**Global  
Icosahedral Symmetry**



**Local  
6-fold Symmetry**



**Subunit about global  
Icosahedral 5 fold axis**



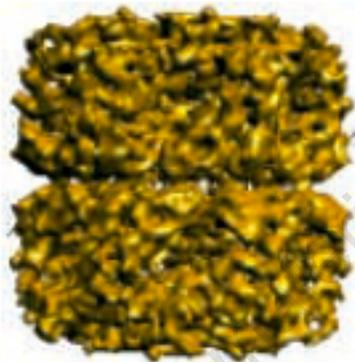
**Subunit about local  
6 fold axis**



# GroEL

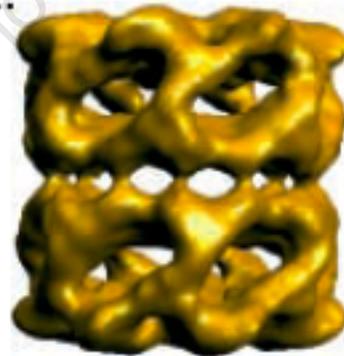
- Chaperonin responsible for protein folding
- Composed of 14 identical subunits
- Subunits have D7 symmetry

A.



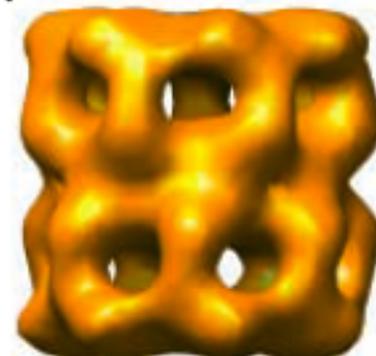
6 angstrom

E.



11.5 angstrom

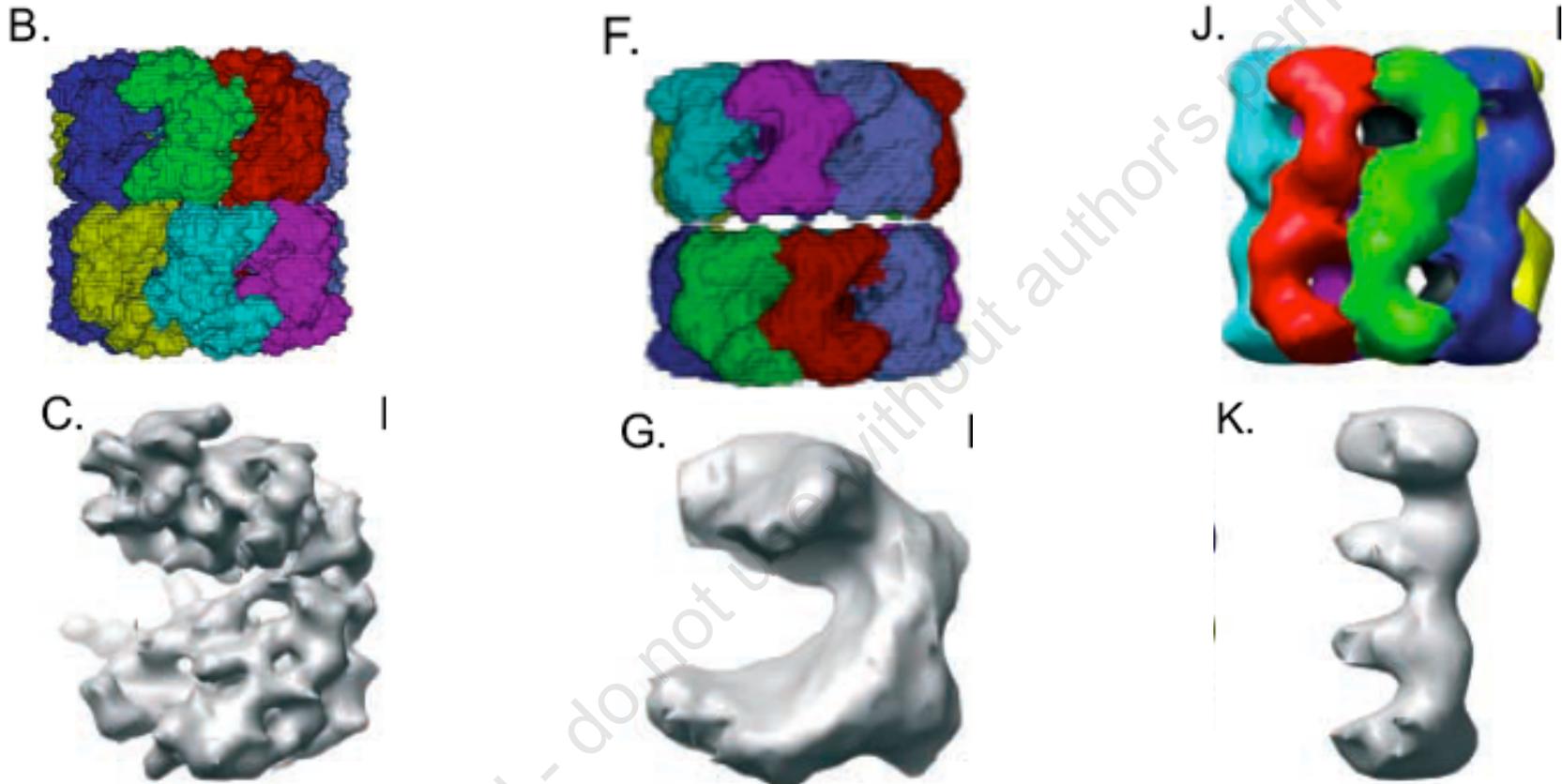
I.



25 angstrom



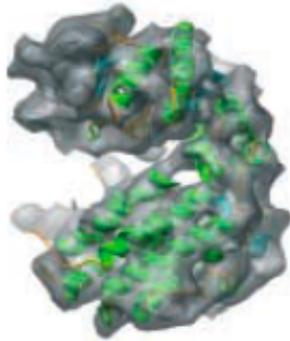
# GroEL



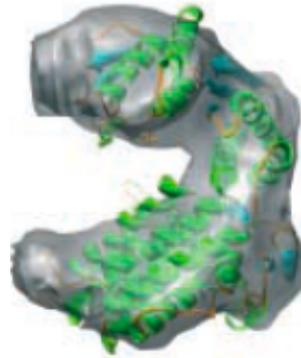
- Segmentation from 6 angstrom and 11.5 angstrom Cryo-EM structures yielded 14 monomers as expected
- Segmentation of 25 angstrom Cryo-EM structure yielded seven segments, each composed of 2 monomers



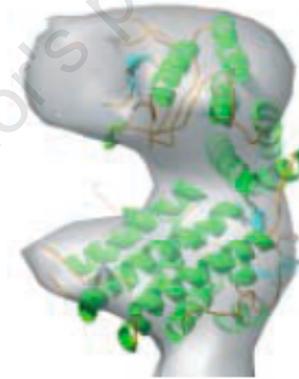
# GroEL Correlation Values



6 Angstrom  
.76 correlation



11.5 Angstrom  
.75 correlation



25 Angstrom  
.52 correlation

- Gray= automated segmentation
- Green= crystal structure
- Correlation value generated against x-ray structure
- Accuracy of segmentation relies on resolution of Cryo-EM map
  - Segments don't always correspond to subunits from low resolution maps



# Additional Results

Subunit	Model	Correlation
RDV P8 trimer	Manual segmentation, P8 trimer	0.74
RDV P8 trimer	X-ray structure, P8 trimer	0.85
RDV P8 monomer	Manual segmentation, P8 monomer	0.80
RDV P8 monomer	X-ray structure, P8 monomer	0.84
P22 tail machine	X-ray structure, P22 trimeric tail spike	0.76
70S ribosome, 50S subunit	X-ray structure, 50S subunit	0.63
70S ribosome, 30S subunit	X-ray structure, 30S subunit	0.73
30S subunit, RNA	X-ray structure, 30S subunit RNA	0.66



M. Baker, Z. Yu, W. chiu, C. Bajaj, J of Structural Biology, 2006



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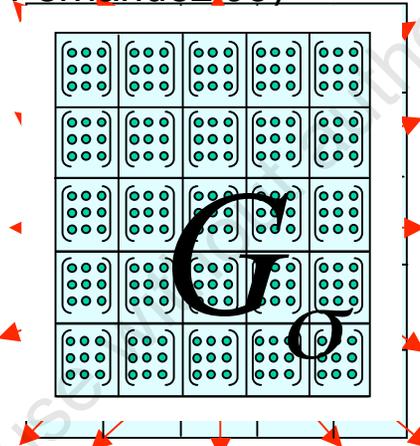
# Step #5A: Secondary Structure Identification

- Gradient tensor

$(I_x, I_y, I_z)$

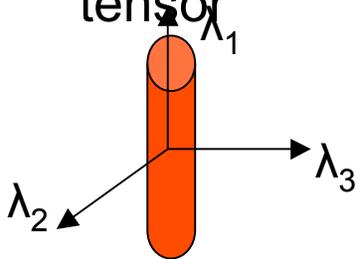
$$\begin{pmatrix} I_x^2 & I_x I_y & I_x I_z \\ I_x I_y & I_y^2 & I_y I_z \\ I_x I_z & I_y I_z & I_z^2 \end{pmatrix}$$


- Local structure tensor (Weickert'98, Fernandez'03)



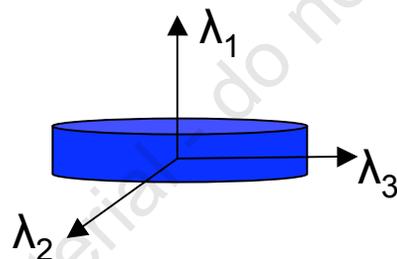
$$\begin{pmatrix} I_x^2 * G_\sigma & I_x I_y * G_\sigma & I_x I_z * G_\sigma \\ I_x I_y * G_\sigma & I_y^2 * G_\sigma & I_y I_z * G_\sigma \\ I_x I_z * G_\sigma & I_y I_z * G_\sigma & I_z^2 * G_\sigma \end{pmatrix}$$

- Property of local structure tensor



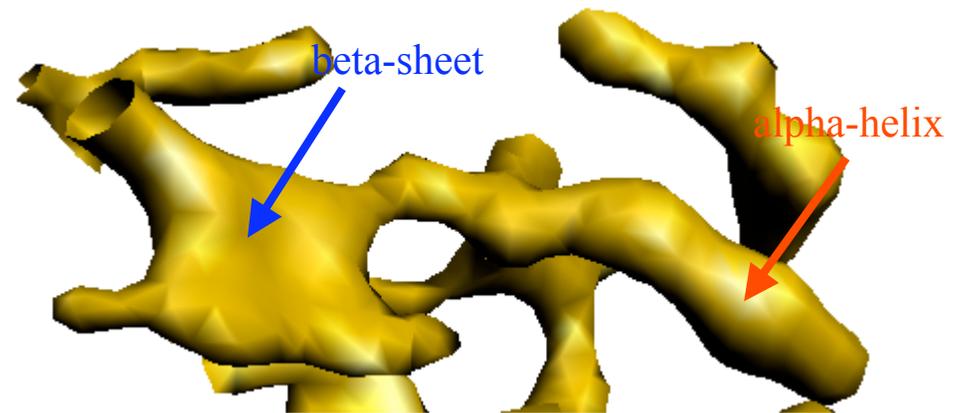
Line structure

$$\lambda_2 \approx \lambda_3 \gg \lambda_1 \approx 0$$



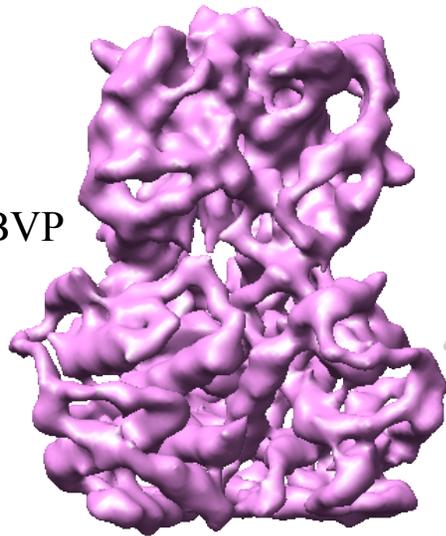
plane structure

$$\lambda_1 \gg \lambda_2 \approx \lambda_3 \approx 0$$

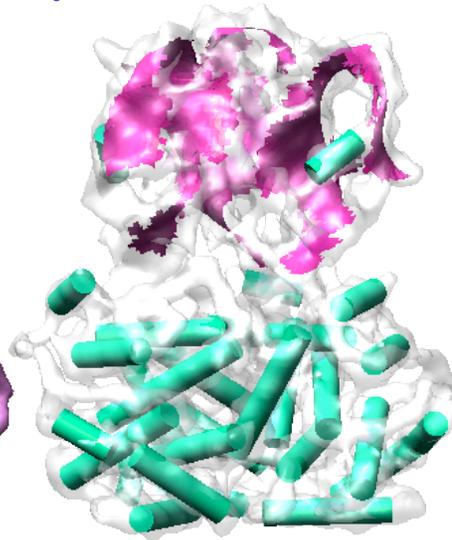


# Step #5A: Quasi-Atomic Models

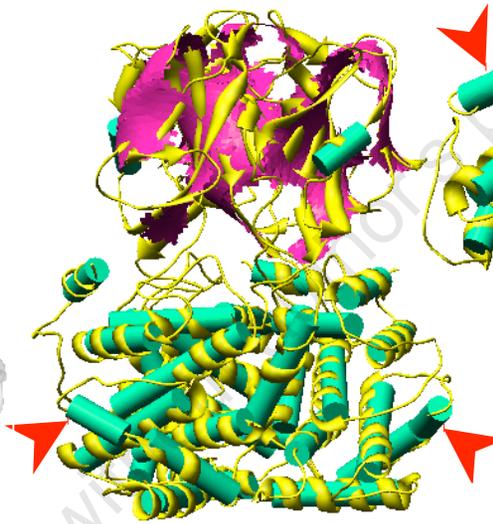
1BVP



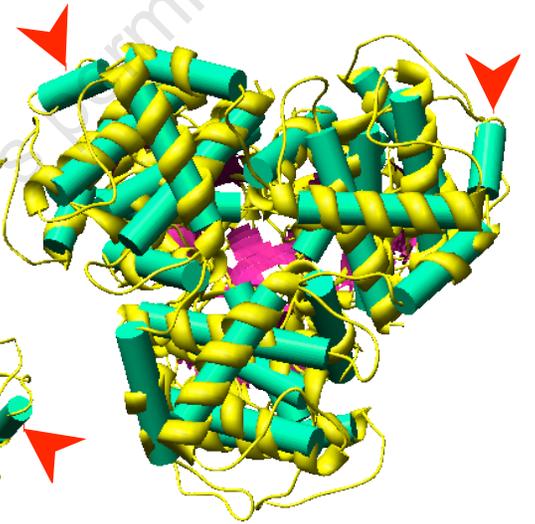
Blurred map (8Å)



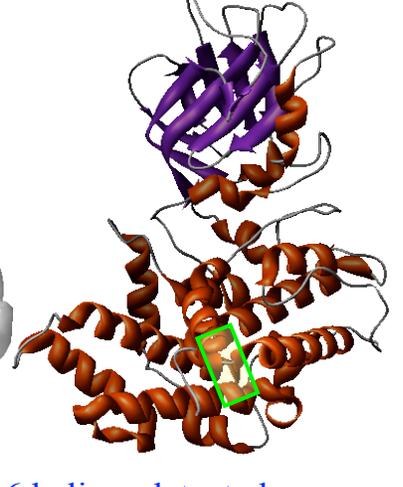
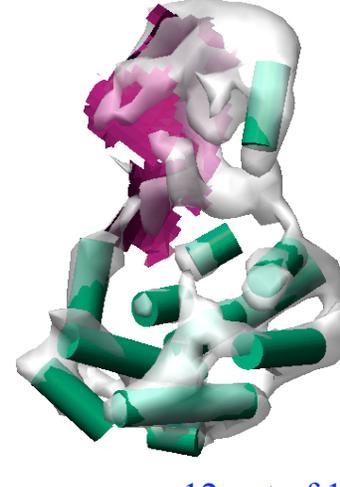
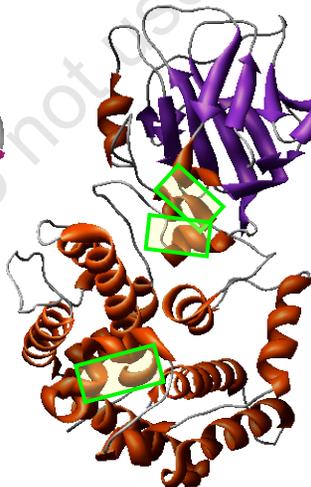
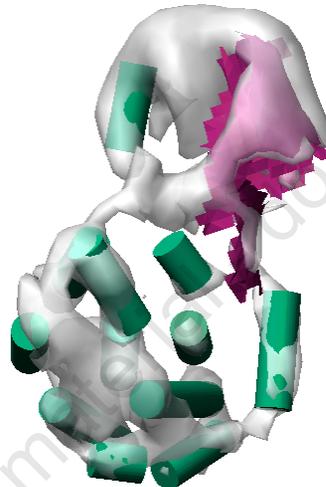
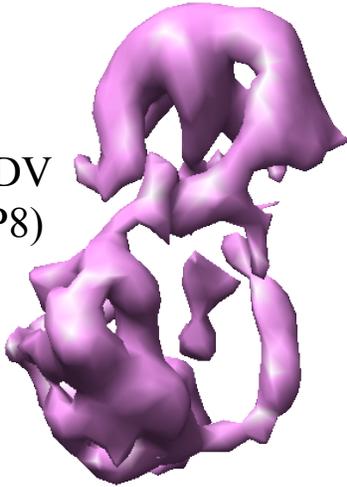
Helices-Sheets with map



Helices-Sheets with PDB (all 27 helices detected)



RDV  
(P8)



12 out of 16 helices detected

Zeyun, Bajaj, IEEE/ACM Tran on CompBio&BioInf., 2007



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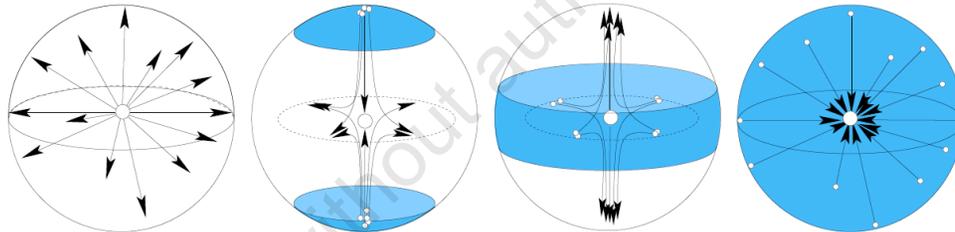
# Critical Points, their Indices, and their Manifolds

Critical Point of a smooth function is a point where the gradient of the function vanishes.

Index of a critical point is the number of independent directions in which the function decreases.

In 3D, four types of critical points

1. Minima – index 0
2. Saddle of index 1
3. Saddle of index 2
4. Maxima – index 3



From EHNP, SoCG'03

Integral curve : A path in the domain of the function on which at every point the tangent to the curve equals the gradient of the function.

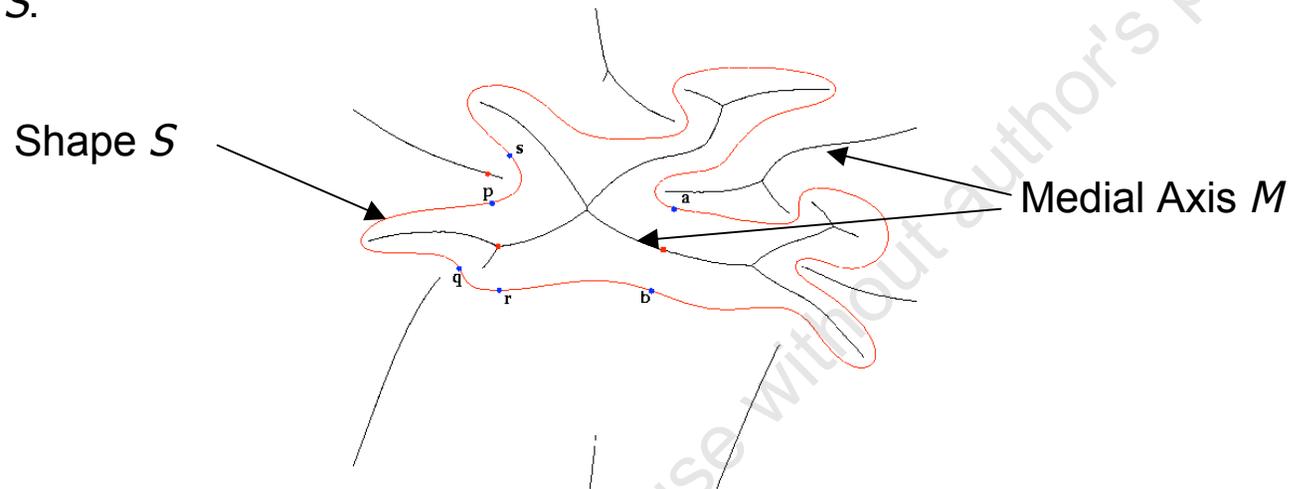
Stable Manifold of a critical point is the union of all integral curves ending at the critical point.

Unstable Manifold of a critical point is the union of all integral curves starting at the critical point.



# Medial Axis and Distance Functions

Medial Axis  $M$  of a shape  $S$  is defined as a set of points which has more than one nearest point on  $S$ .



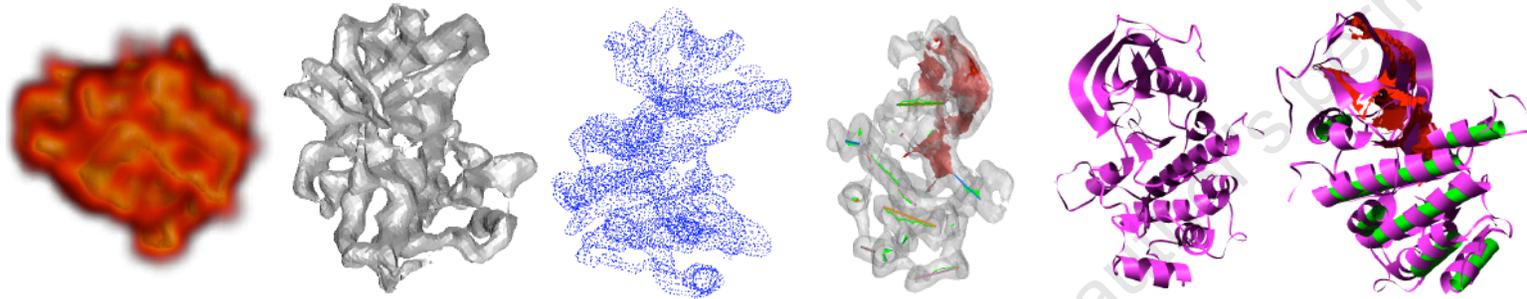
Distance Function  $h_S$  assigns every point  $x$  the nearest distance to  $S$ .

Approximation of  $h_S$  is done via  $h_P$  when  $S$  is known only via a finite set of points  $P$  on  $S$ .

$$h_P : \mathbb{R}^3 \rightarrow \mathbb{R}, \quad x \mapsto \min_{p \in P} \|x - p\|$$



# #5B-I: Secondary Structure Elucidation



Step 1: Vor/Del(P) computation

Step 2: Identification of Interior Medial Axis  $M$ .

Step 3:

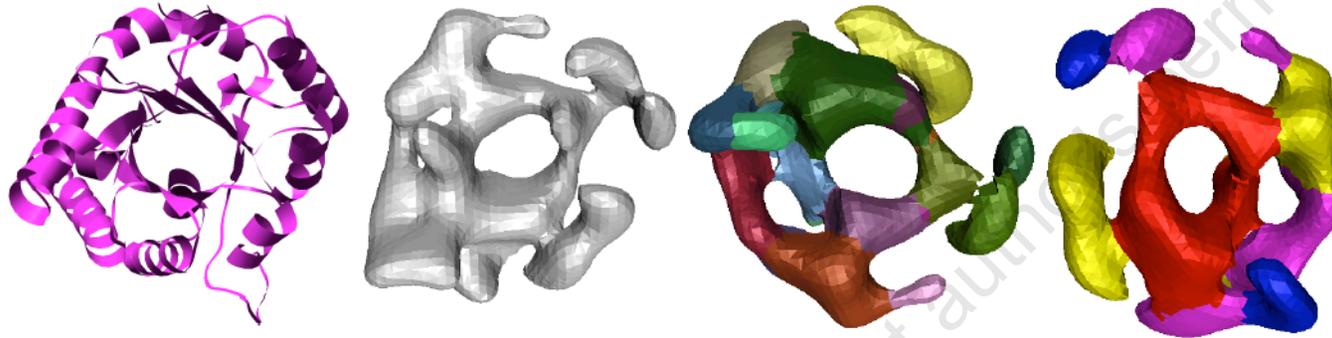
3.a: Identification of Critical points of distance function from Vor/Del(P).

3.b: Selection of Critical points only on  $M$ .

- Step 4: Classification of Medial Axis via
  - 4.a:  $U_1$  – Unstable Manifold of index 1 saddle point on  $M$
  - 4.b:  $U_2$  – Unstable Manifold of index 2 saddle point on  $M$
- Step 5: Width Test to select the subsets of  $U_1$  ( $\beta$ -sheets) and  $U_2$  ( $\alpha$ -helices).



# #5B-II: Tertiary Structure Elucidation



Step 1: Vor/Del(P) computation

Step 2: Identification of Interior Medial Axis  $M$

Step 3:

3.a: Identification of Critical points of  $h_p$  from Vor/Del(P).

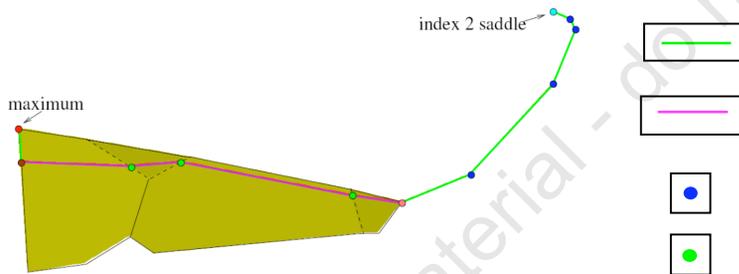
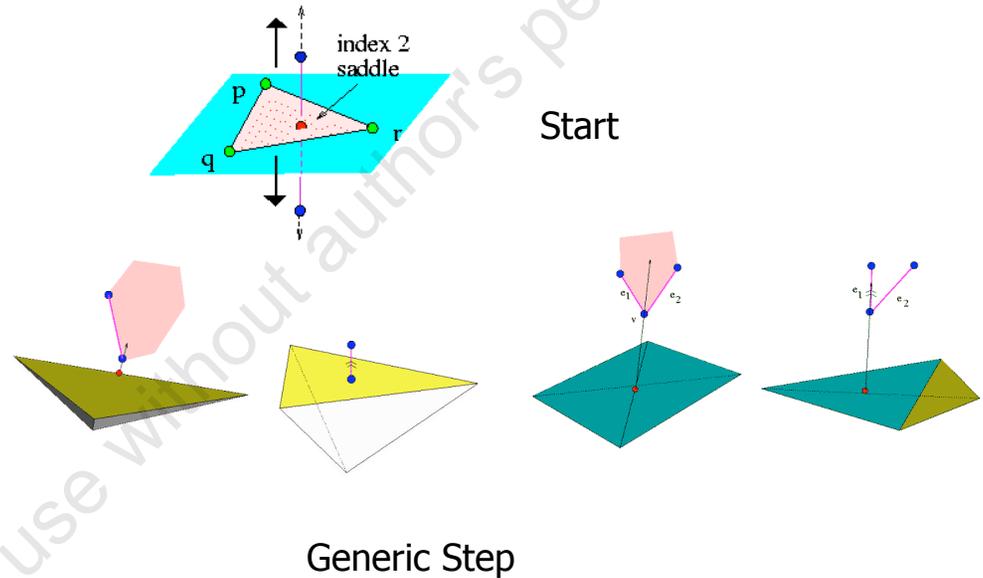
3.b: Selection of Critical points only on  $M$ .

- Step 4: Decomposition of shape via S3 stable manifold of maxima on  $M$
- Step 5: Width Test to select the subsets of S3 .



# Computation of $U_2$

- Start from an index-2 saddle point.
- Generic step: Once reaching a VV, decide if the flow goes through a VE or if it enters a VF.
- Terminate at a local maximum.



Structure of  $U_2$

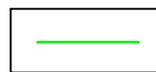
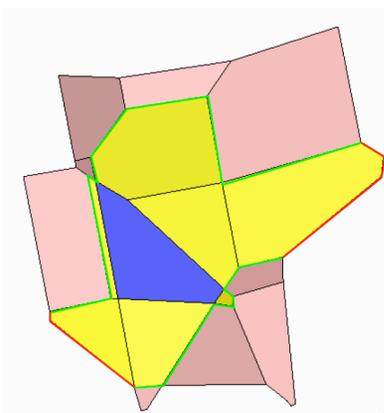
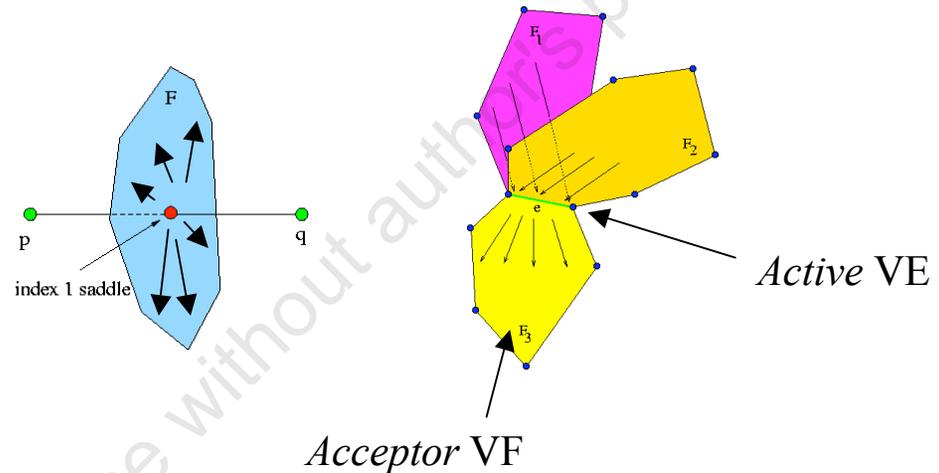
- Part of  $U_2$  along Voronoi edge
- Part of  $U_2$  through Voronoi facets
- Voronoi vertex on  $U_2$
- Non-Voronoi vertex on  $U_2$

Goswami, Dey, Bajaj, ICVGIP'06



# Computation of $U_1$

- Start from the VF containing an index-1 saddle point.
- Generic step: Identify the VE s on the boundary which are *active*. Expand the unstable manifold by collecting their *acceptor* VF s.



*Active* Voronoi edges to extend  $U_1$

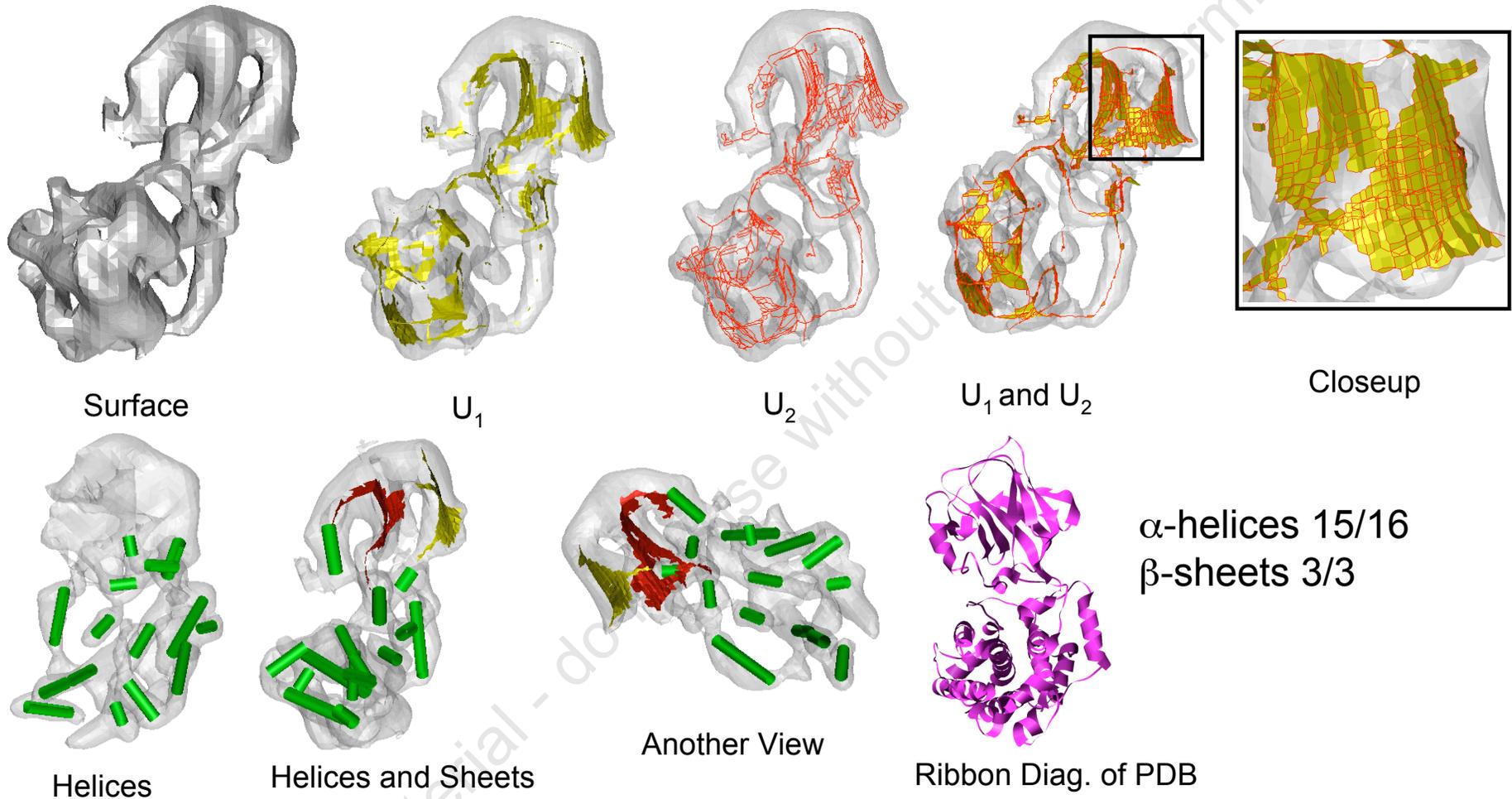


*Passive* Voronoi edges defining the boundary of  $U_1$

Goswami, Dey, Bajaj, ICVGIP'06



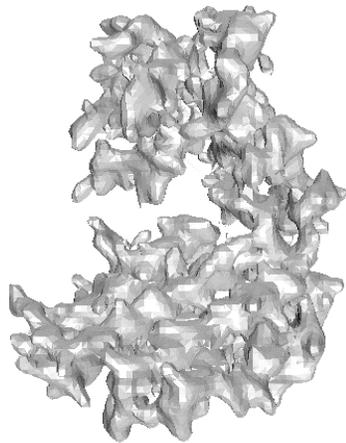
# Secondary Structure of RDV Outer Capsid Coat Protein P8



3D EM map of P8 segmented out from cryo-EM map of Rice Dwarf Virus (RDV) at 6.8 Å resolution



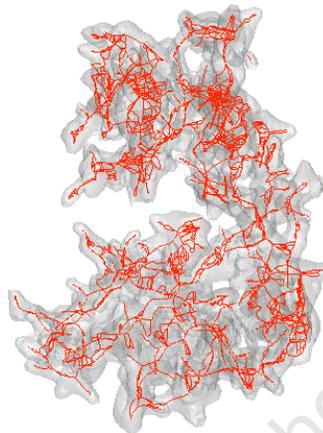
# Secondary Structure of Bacterial Chaperonin GroEL



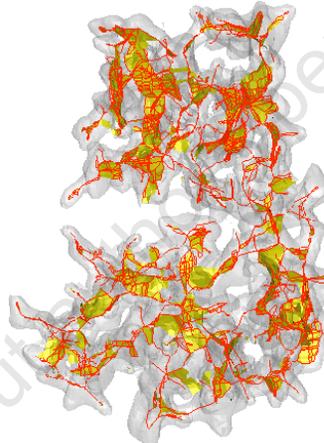
Surface



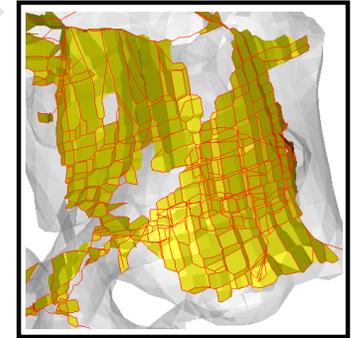
U<sub>1</sub>



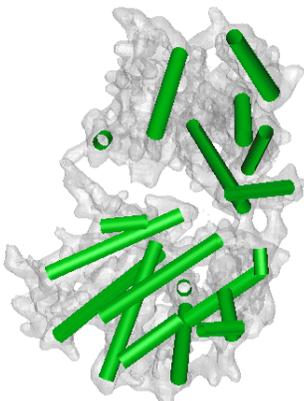
U<sub>2</sub>



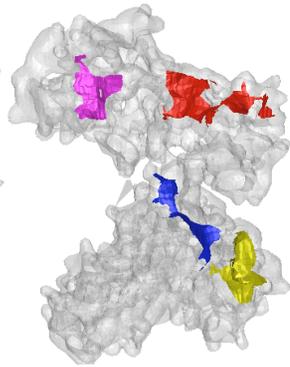
U<sub>1</sub> and U<sub>2</sub>



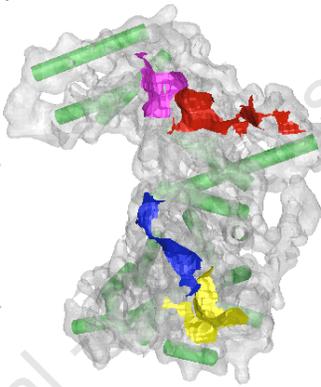
Closeup



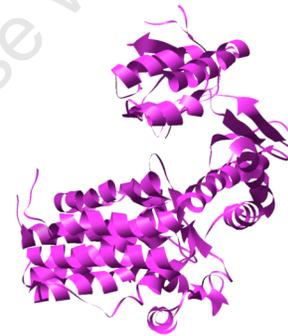
Helices



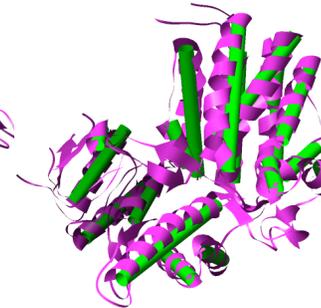
Sheets



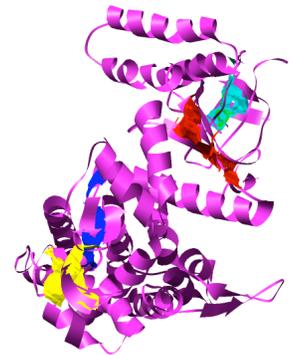
Helices and  
Sheets



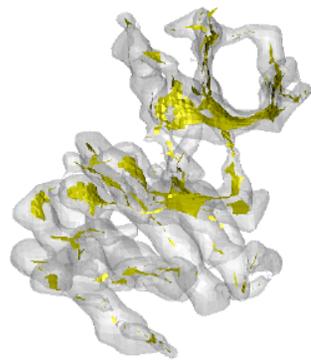
Ribbon Diag.  
of PDB



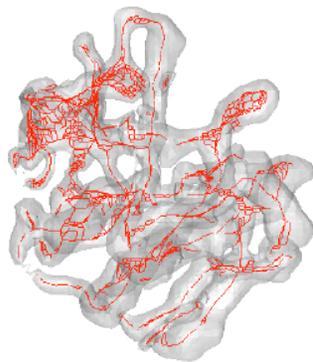
Superimposed  
 $\alpha$ -helices 15/16  
 $\beta$ -sheets 3/3



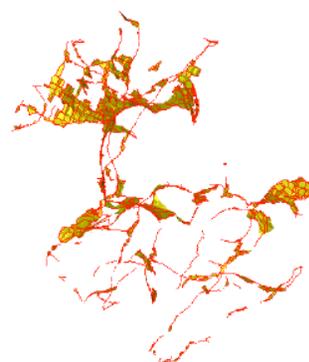
# Width Test



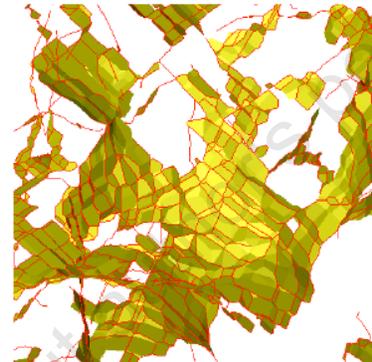
$U_1$



$U_2$

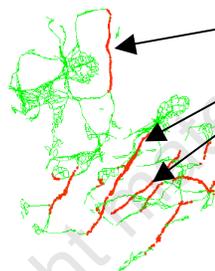
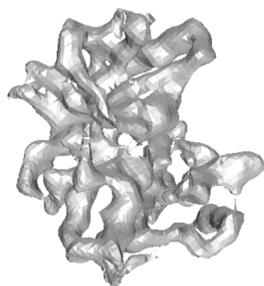


$\hat{U}_1 \text{ and } U_2$



Closeup:  $U_1$  is bounded by  $U_2$

- $U_1$  and  $U_2$  give superset of sheets and helices.
- $\alpha$ -helix: width 2.5 A and pitch-length 1.5 A [Branden-Tooze]
- $\beta$ -sheet: thickness 1.5 A [Branden-Tooze]
- $h_p$  values of Voronoi elements constituting  $U_1$  and  $U_2$  help select the subset that passes the width and thickness test.

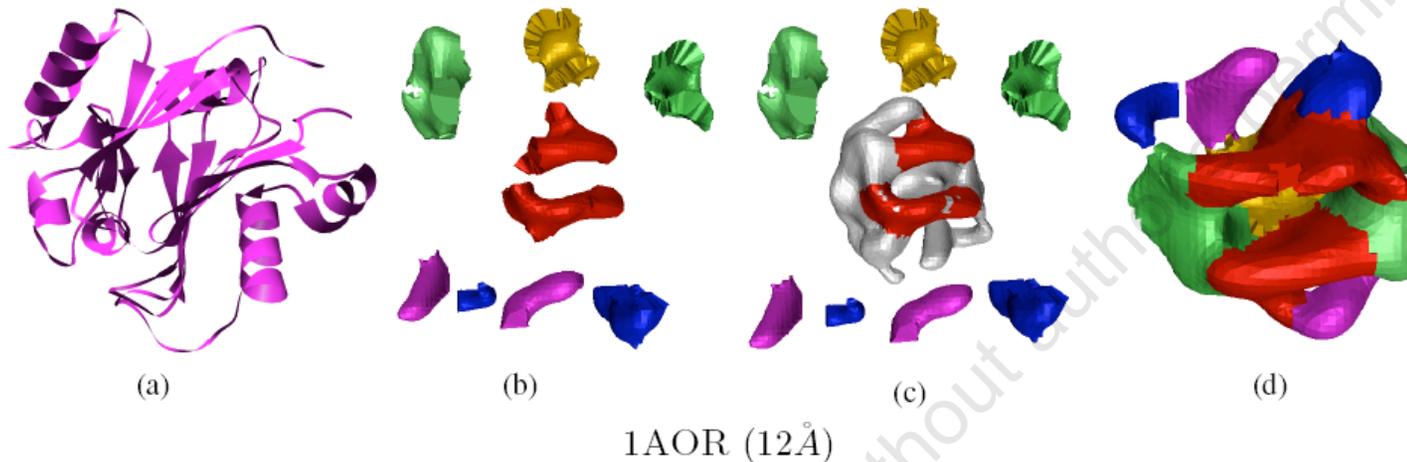


Subset of  $U_2$  that corresponds to helices

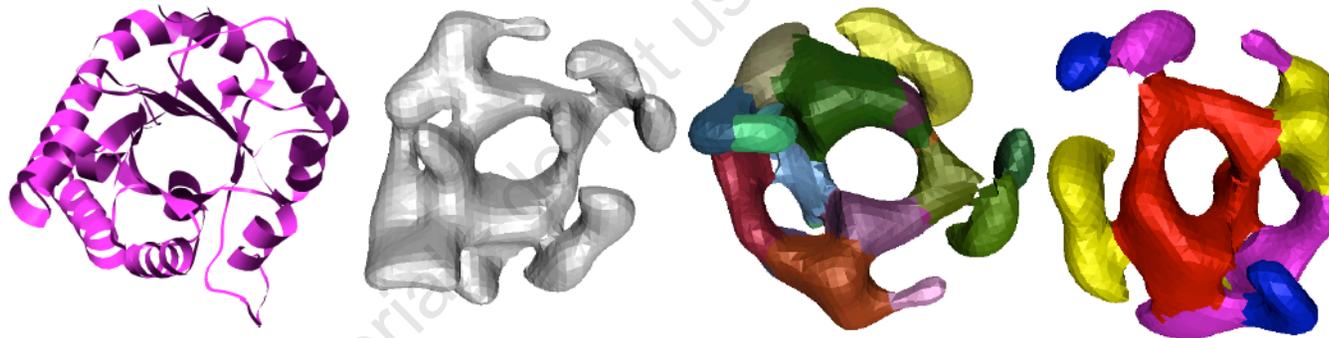
Subset of  $U_1$  that corresponds to sheets



# Tertiary Structure Elucidation



The tertiary fold of 1AOR is a  $\beta$ -sandwich (two red sheets), which is surrounded by the differently colored helical segments.



The tertiary fold of 1TIM is a  $\alpha/\beta$ -barrel. The  $\beta$ -region in the middle is segmented as red while the helical segments surrounding it are colored differently.

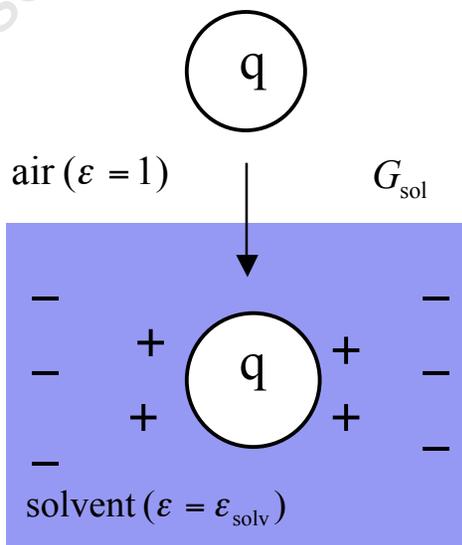
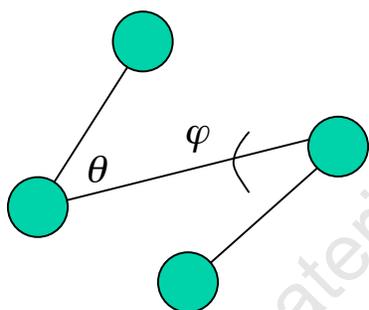


# Free Energy of a Macromolecule in Solvent (Towards Flexible Models of Proteins)

Total free energy :  $G = E_{MM} + G_{sol} - TS$

$$E = E_b + E_\theta + E_\varphi + E_{vdw} + E_{elec}$$

$$G_{sol} = G_{cav} + G_{vdw} + G_{pol}$$



# How do we Compute $G_{\text{pol}}$ ?

$$G_{\text{pol}} = \frac{1}{2} \int [\phi_{\text{solvent}}(\mathbf{r}) - \phi_{\text{air}}(\mathbf{r})] \rho(\mathbf{r}) dV$$

- (a) Poisson-Boltzmann (PB) Theory

$$-\nabla \cdot [\epsilon(\mathbf{r}) \nabla \phi(\mathbf{r})] = 4\pi \rho(\mathbf{r}) + 4\pi \lambda(\mathbf{r}) \sum_{j=1}^{\infty} c_j^{\infty} q_j \exp(-q_j \phi(\mathbf{r}) / k_B T)$$

Molecular volume  $V$  for charge density, dielectric interface

$\epsilon$	dielectric coefficients
$\phi$	electrostatic potential
$\rho$	solute charge density
$\lambda$	ion accessibility parameter
$c_j^{\infty}$	ion bulk concentration
$q_j$	ion charge
$k_B$	Boltzmann's constant
$T$	temperature

- (b) Generalized Born (GB) Theory

- Born formula (Born 1920), Generalized Born formula (Still 1990)

$$G_{\text{pol}} = -\frac{\tau}{2} \sum_{ij} \frac{q_i q_j}{[r_{ij}^2 + R_i R_j \exp(-\frac{r_{ij}^2}{4R_i R_j})]^{\frac{1}{2}}}$$

$$R_i^{-1} = \frac{1}{4\pi} \int_{\text{ex}} \frac{1}{|\mathbf{r} - \mathbf{x}_i|^4} dV$$

$$\tau = 1 - \frac{1}{\epsilon_{\text{solv}}}$$

$r_{ij}$  : distance between atom  $i$  and  $j$

**ex**: exterior to molecular surface

$q_i$  : charge of atom  $i$

$R_i$  : effective Born radius of atom  $i$



## Step 6b: GB based $G_{\text{pol}}$ - Calculation of Born Radii

A single charge  $q_i$  located at the center of atom  $i$  in the molecule.

$$G_{\text{pol}} = -\frac{\tau}{2} \frac{q_i^2}{R_i} \quad (\text{by GB})$$

On the other hand, by approximating the electric field as the Coulombic field,

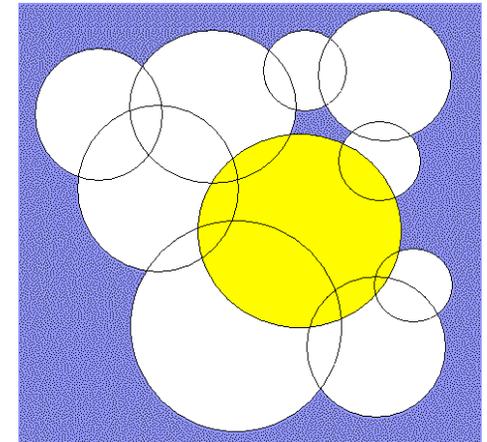
$$G_{\text{pol}} = -\frac{1}{8\pi} \tau \int_{\text{ex}} \frac{q_i^2}{|\mathbf{r} - \mathbf{x}_i|^4} dV$$

Therefore 
$$R_i^{-1} = \frac{1}{4\pi} \int_{\text{ex}} \frac{1}{|\mathbf{r} - \mathbf{x}_i|^4} dV$$

via Gauss'  
Divergence Thm

$$R_i^{-1} = \frac{1}{4\pi} \int_{\Gamma} \frac{(\mathbf{r} - \mathbf{x}_i) \cdot \mathbf{n}(\mathbf{r})}{|\mathbf{r} - \mathbf{x}_i|^4} dS$$

$\Gamma$  : molecular surface



ex: exterior of the molecule



## Step 6b: GB based $G_{\text{pol}}$ - Fast Calculation of Born Radii

$$R_i^{-1} = \frac{1}{4\pi} \int_{\Gamma} \frac{(\mathbf{r} - \mathbf{x}_i) \cdot \mathbf{n}(\mathbf{r})}{|\mathbf{r} - \mathbf{x}_i|^4} dS \approx \frac{1}{4\pi} \sum_{k=1}^N w_k \frac{(\mathbf{r}_k - \mathbf{x}_i) \cdot \mathbf{n}(\mathbf{r}_k)}{|\mathbf{r}_k - \mathbf{x}_i|^4}, \quad \mathbf{r}_k \in \Gamma$$

Algorithm:

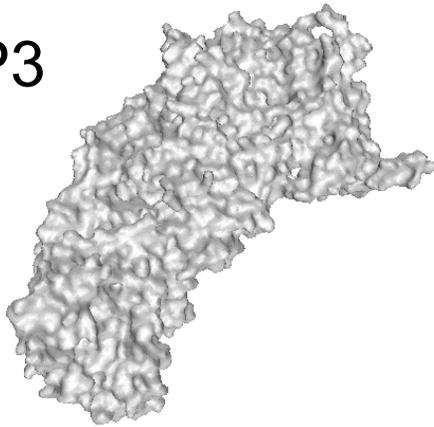
1. Generate a model for the molecular surface  $\Gamma$ .
2. Cubature: choose  $w_k$  and  $\mathbf{r}_k$  properly so that higher order accuracy can be obtained for small  $N$ .
3. Fast Fourier summation to evaluate  $R_i$   $i = 1, \dots, M$ .

Bajaj, Zhao 2007



# Shape/Energetic Properties of RDV capsid proteins

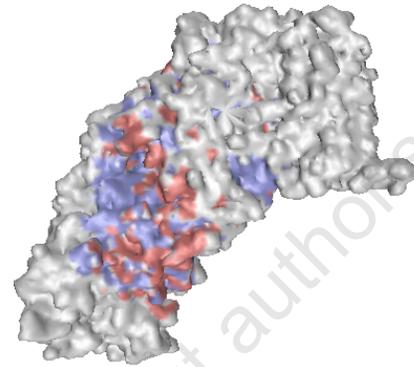
P3



Molecular Surface

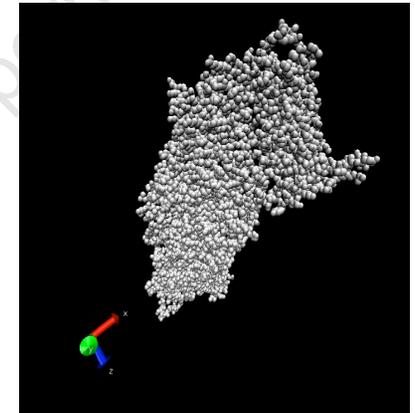


Gaussian Curvature

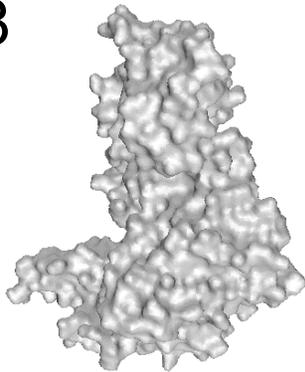


# spline elements 75416

GB Gpol:  
-23892.33 kcal/Mol



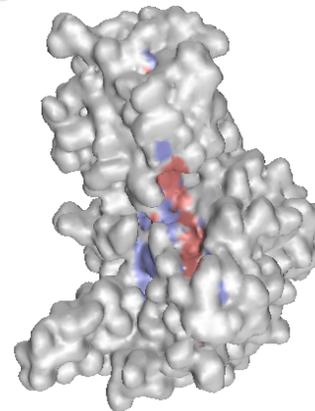
P8



Molecular Surface

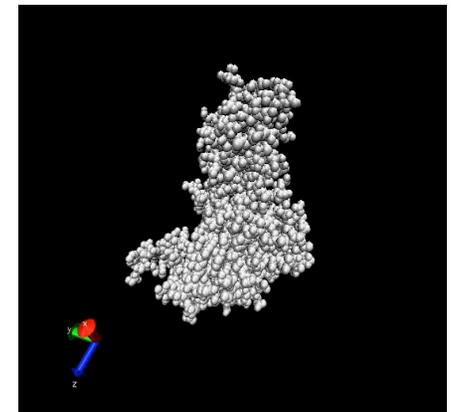


Gaussian Curvature



# spline elements 66088

GB Gpol:  
-8413.185 kcal/Mol



Zhao, Xu, Bajaj, ACM/IEEE SPM'07



Center for Computational Visualization

<http://www.ices.utexas.edu/CCV>

Institute of Computational and Engineering Sciences

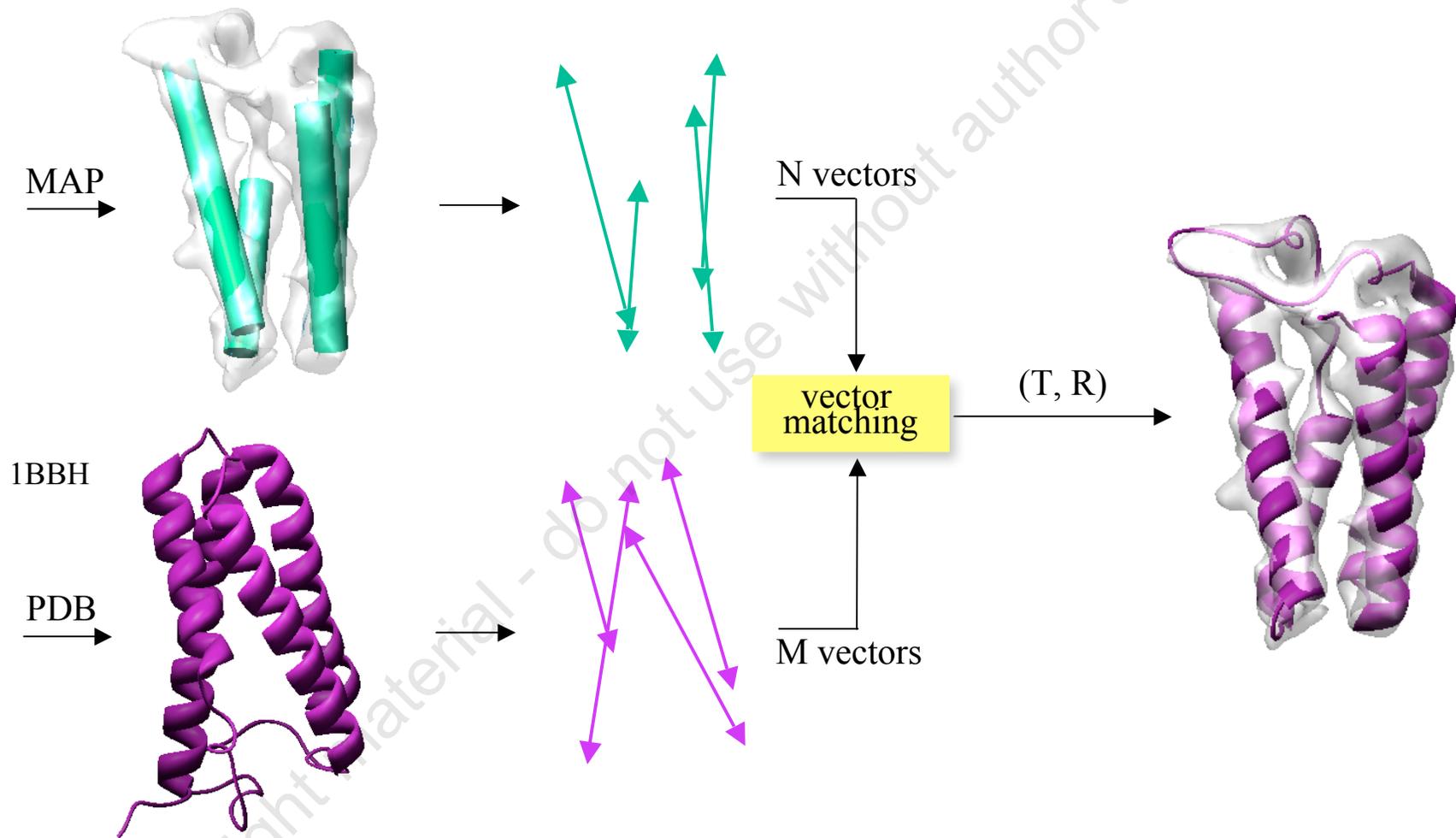
Department of Computer Sciences

University of Texas at Austin

May 2007

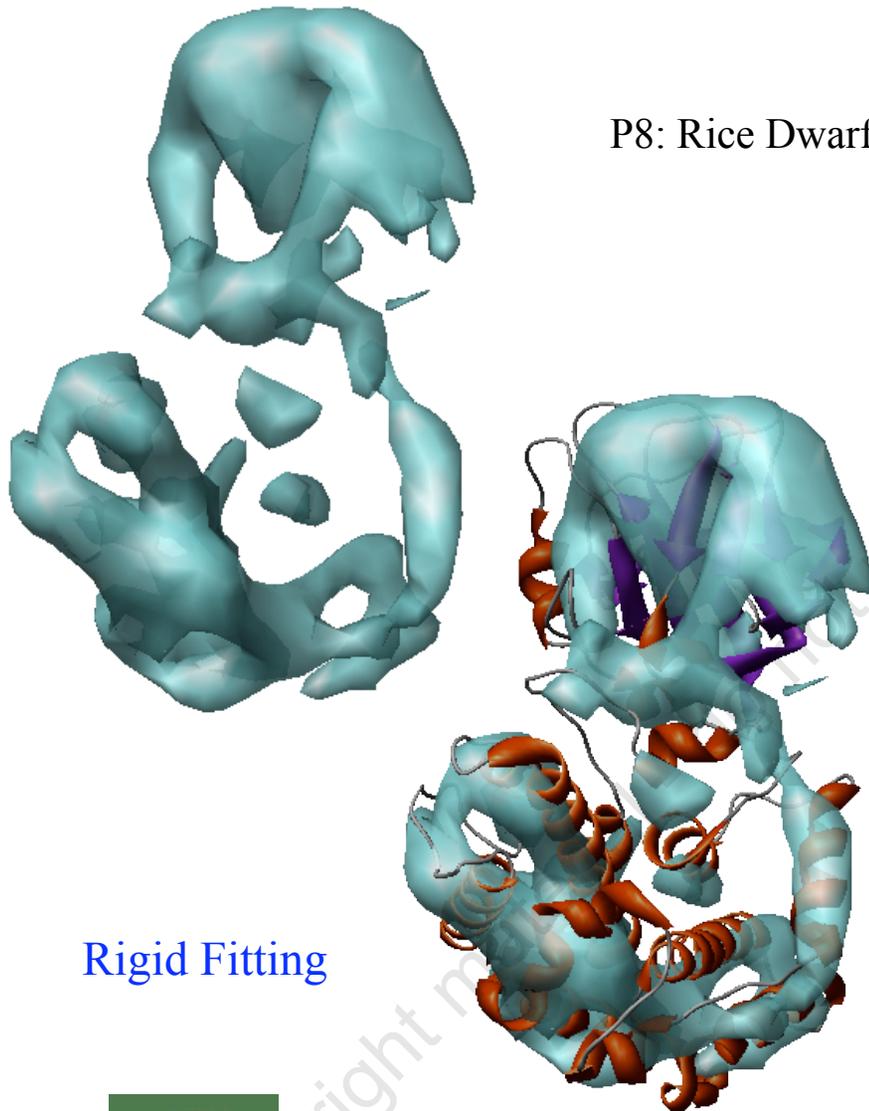
# Step 7a: Match and Fit using elucidated structural elements

- vector matching approach

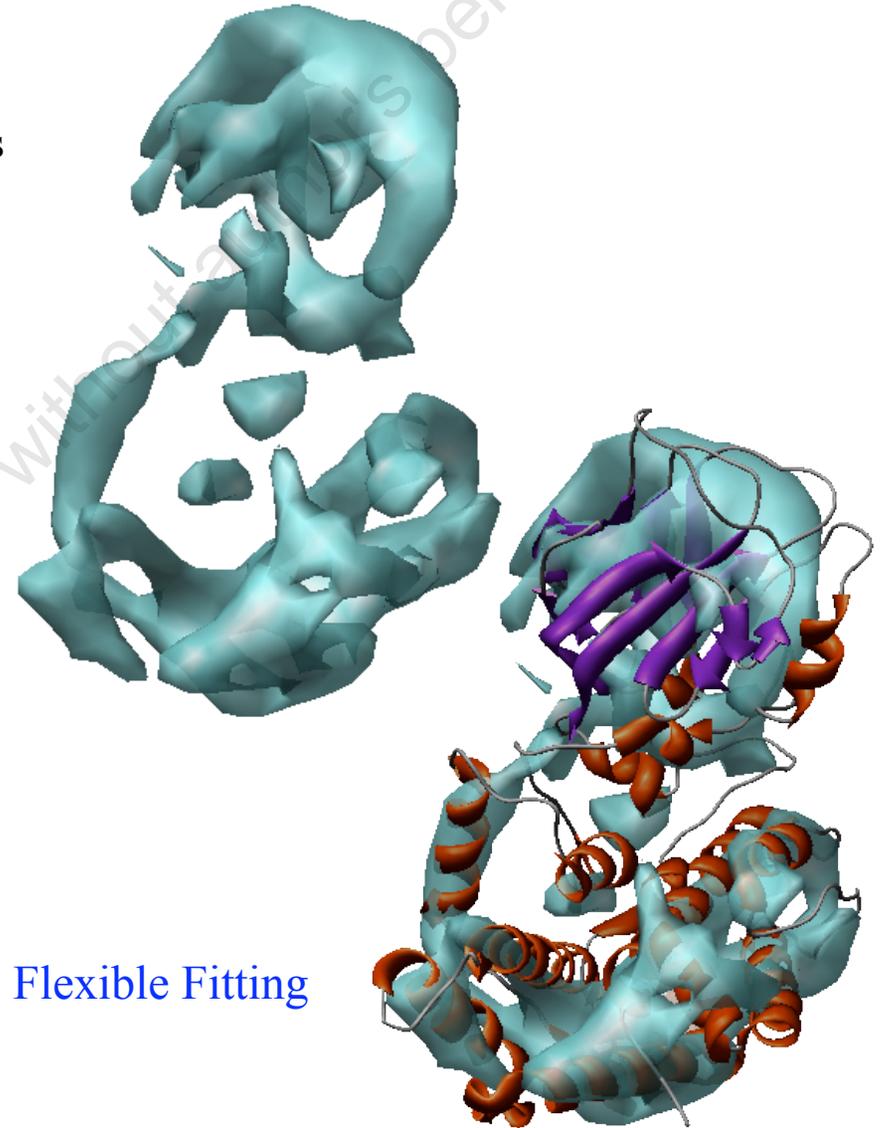


# Step 7b: Flexible Match and Fit using generalized modal analysis

P8: Rice Dwarf Virus



Rigid Fitting

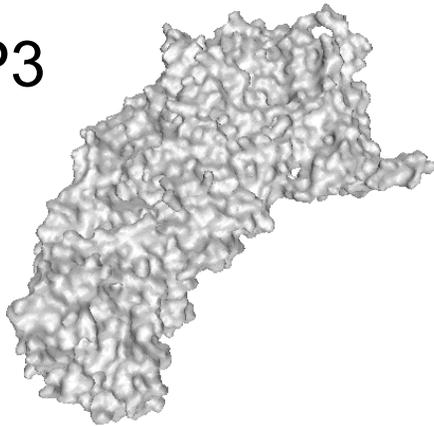


Flexible Fitting



# Shape/Energetic Properties of RDV capsid proteins

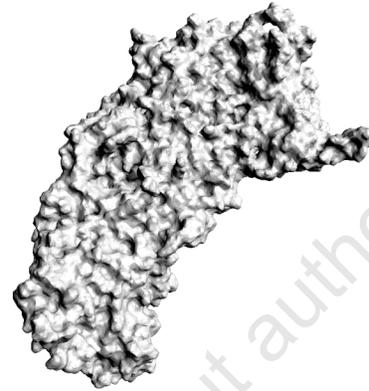
P3



Molecular Surface

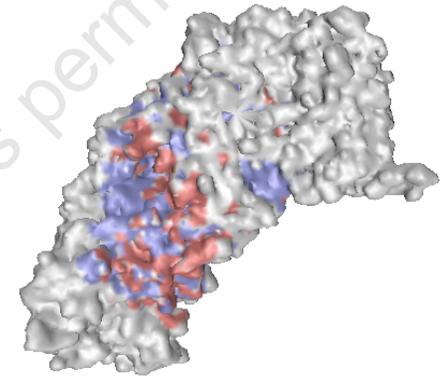


Gaussian Curvature



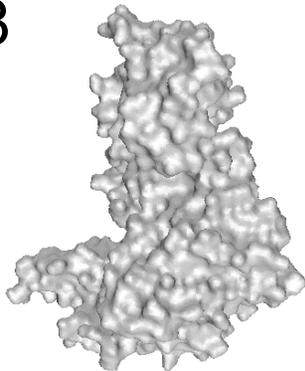
# spline elements 75416

GB Gpol:  
-23892.33 kcal/Mol



PB electrostatics:

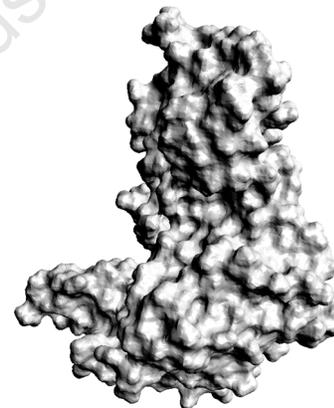
P8



Molecular Surface

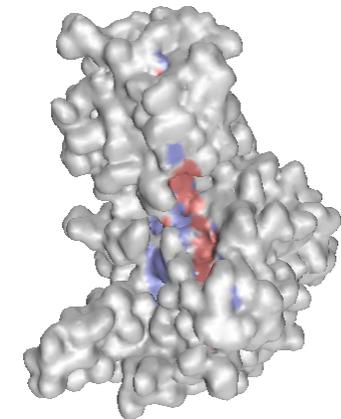


Gaussian Curvature



# spline elements 66088

GB Gpol:  
-8413.185 kcal/Mol



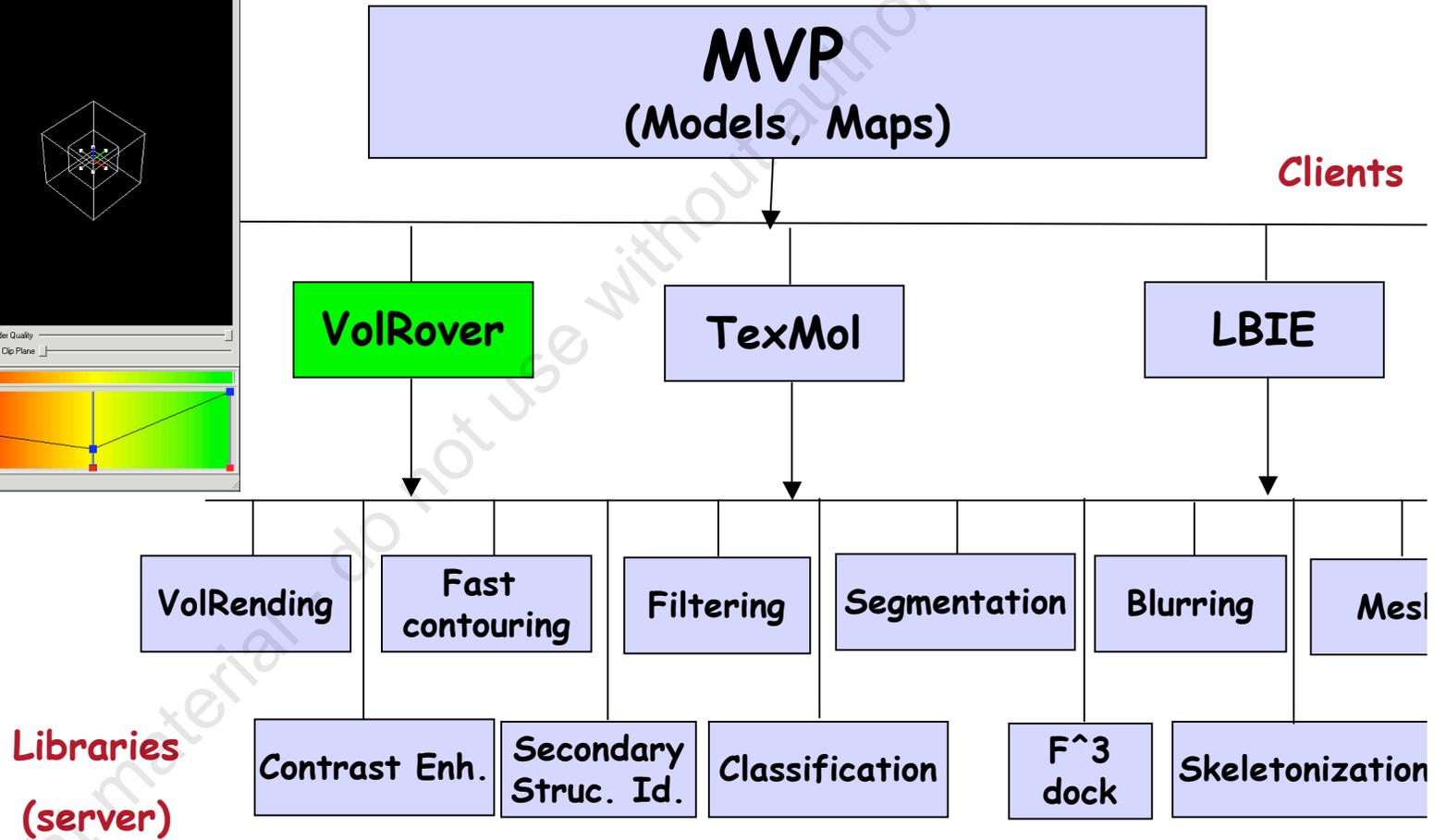
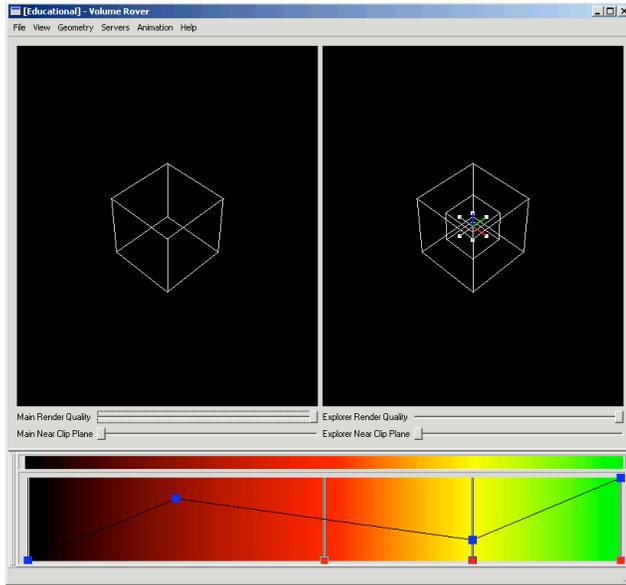
PB electrostatics:



# CCV Software

Open Source and in Public Domain

(<http://www.ices.utexas.edu/CCV/software/>)



# Acknowledgements

- **Group Members**

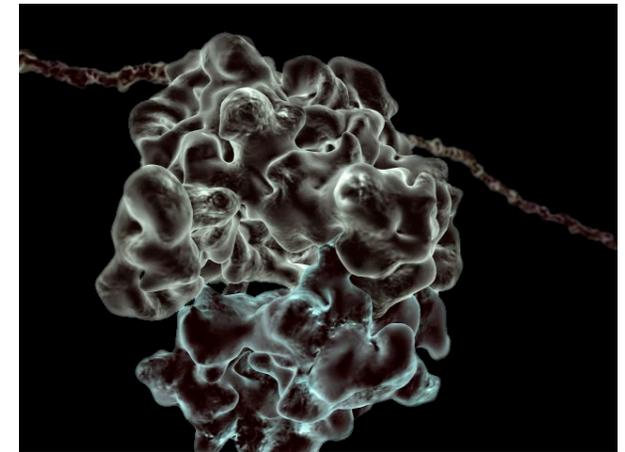
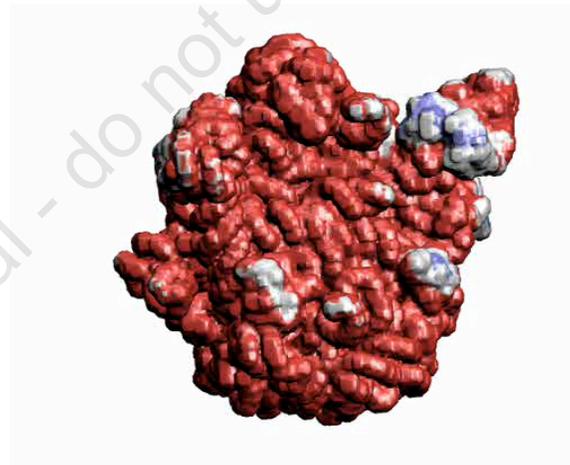
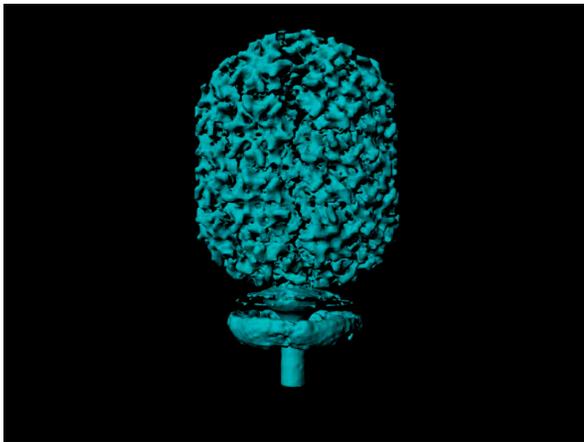
- Albert Chen (CS, Ph.D)
- Andrew Gillete (Math, Ph.D.)
- Samrat Goswami (PostDoc)
- Zeyun Yu (UCSD)\*\*
- Wenqi Zhao (CAM, Ph.D.)

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- Nathan Baker (WashU)
- Wah Chiu (Baylor)
- Andy McCammon (UCSD)
- Art Olson (Scripps)

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# Acknowledgements

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- Albert Chen (CS, Ph.D)
- Katherine Clarridge (MBE,MD)\*\*
- Tamal Dey (OSU) \*\*
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- Xiaoyu Zhang (CSU)\*\*
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- **UT: Ti-3D**

