

## UNIVERSITY OF CALIFORNIA AND LAWRENCE BERKELEY NATIONAL LABORATORY

# Statement of Ethical Values

The University and Laboratory Statement of Ethical Values and Standards of Ethical Conduct commits everyone in the UC and Lab community to the highest ethical standards in furtherance of our mission. **It identifies our core ethical values as integrity, excellence, accountability, and respect.**

## Standards of Ethical Conduct

### We are committed to the following:

- **Fair Dealing.** We will always conduct ourselves ethically, honestly, and with integrity.
- **Individual Responsibility and Accountability.** We will accept responsibility appropriate to our positions and delegated authorities.
- **Respect for Others.** We will treat everyone with respect and dignity.
- **Compliance with Applicable Laws and Regulations.** We will learn and abide by federal, state, and local laws that affect our Berkeley Lab roles.
- **Compliance with Applicable University Policies, Procedures, and Other Forms of Guidance.** We will learn and abide by UC and Berkeley Lab policies and procedures that affect our roles.
- **Avoiding Conflicts of Interest .** We will avoid both actual conflicts of interest and the appearance of such conflicts, and devote our primary professional allegiance to Berkeley Lab and its mission.
- **Ethical Conduct of Research.** We will conduct our research with integrity and intellectual honesty, and show the greatest care for human and animal subjects.
- **Records: Confidentiality/Privacy and Access.** We will follow applicable laws and Berkeley Lab policies when accessing, using, protecting, or disclosing records.
- **Internal Controls.** We will ensure that internal controls are established, properly documented, and maintained for activities within our jurisdictions.
- **Use of Berkeley Lab Resources.** We will ensure that lab resources are used only on behalf of the Laboratory.
- **Financial Reporting.** We will ensure that accounting and financial records are accurate, clear, and complete.
- **Reporting Violations and Protection from Retaliation.** We will report all known or suspected improper governmental activities under the provisions of UC's Whistleblower Policy, recognizing that everyone is protected from retaliation for making such reports under the Whistleblower Retaliation Policy.



View the entire Statement of Ethical Values and Standards of Ethical Conduct at: <http://bit.ly/lyXCOi>

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**We are committed to Integrity, Excellence, Accountability, and Respect.**

To report an improper governmental activity or a compliance-related concern, please contact the LBNL Hotline at **(800) 403-4744** or access [universityofcalifornia.edu/hotline](http://universityofcalifornia.edu/hotline). Reports can be made anonymously.