Organizational Conflicts of Interest
Unfair Advantage in Seeking Funding

Government contemplates program/funding opportunity

LBNL develops specifications or statement of work

LBNL receives information from funding agency not released or available to the public

Restrictions on LBNL ability to propose or compete for subject funding
Organizational COI Example
Funding Agency Calling...

- Informal inquiry
- Request to conduct feasibility study
- Request to design/define specs or SOW for competitive solicitation
- Provide plans, reports, data
  - Research priorities
  - New facilities
  - New projects

Example Funding Agency Calling...
Organizational COI Example
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- Informal inquiry
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Organizational COI Example
Funding Agency Calling...

- Conduct feasibility study
- Answer informal inquiry with informal response
- Educate and inform
- Design/define specs or SOW for competitive solicitation
- Proposal based on information from agency not available to the public
  - Plans, reports, data, other info
  - Research priorities
  - New facilities
  - New projects
Organizational COI Example

Funding Agency Calling...

Conduct feasibility study

Answer informal inquiry with informal response

Educate and inform

Design/define specs or SOW for competitive solicitation

Proposal based on information from agency not available to the public

“We are interested in competing for this funding opportunity, so, regrettably, we cannot assist you in developing the SOW.”

... or...

“We are thinking about submitting an unsolicited proposal in this area so let’s limit our discussions to information that is available publicly.”