

NIH / PHS PubMed for PIs and Scientists



Life Sciences' Manfred Auer and group

Learn about NIH Public Access Policy, requirements for submission of NIH-Funded publications to the PubMed database and how to submit through the LBNL Report Coordination Office.

Presented by:
LBNL Sponsored Projects Office
LBNL Reports Office
December 2008



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What is the NIH Public Access Policy?

- The Policy implements Division G, Title II, Section 218 of PL 110-161 (Consolidated Appropriations Act, 2008) which states:

SEC. 218. The Director of the National Institutes of Health shall require that all investigators funded by the NIH submit or have submitted for them to the National Library of Medicine's PubMed Central an electronic version of their final peer-reviewed manuscripts upon acceptance for publication, to be made publicly available no later than 12 months after the official date of publication: Provided, That the NIH shall implement the public access policy in a manner consistent with copyright law.

- It is Federal Law



What is the NIH Public Access Policy?

- See NIH Public Access Website

<http://publicaccess.nih.gov/>

- See NOT-OD-08-033 Revised Policy on Enhancing Public Access to Archived Publications Resulting from NIH-Funded Research

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-08-033.html>



What is PubMed Central?

- PubMed Central is an archive of full-text biomedical journal papers available online without a fee.
- The Public Access Policy ensures that the public has access to the published results of NIH-funded research. It requires scientists to submit final peer-reviewed journal manuscripts that arise from NIH funds to the digital archive PubMed Central (<http://www.pubmedcentral.nih.gov/>). The Policy requires that these final peer-reviewed manuscripts be accessible to the public on PubMed Central to help advance science and improve human health.



What is the Impact on Proposals and Funding?

- Compliance with the NIH Public Access Policy is not a factor in the scientific and technical merit evaluation of grant applications.
- Non-compliance will be addressed administratively, and **may delay or prevent awarding of funds.**



How Do I Comply?

1. Address Copyright

Before you sign a publication agreement or similar copyright transfer agreement, make sure that the agreement allows the paper to be submitted to NIH in accordance with the Public Access Policy



How Do I Comply?

2. Submit the paper to NIH

Method A: Publish in a journal that deposits *all* NIH-funded final published articles in PubMed Central (PMC) without author involvement.

Method B: Make arrangements to have a publisher deposit a *specific* final published article in PubMed Central.

Method C: Deposit the final peer-reviewed manuscript in PMC yourself via the NIH Manuscript Submission System (NIHMS).

Method D: Complete the submission process for a final peer-reviewed manuscript that the publisher has deposited in the NIH Manuscript Submission System (NIHMS).

Submit the paper through the LBNL Report Coordination Office



How Do I Comply?

3. Cite

As of May 25, 2008, when citing a paper in NIH applications, proposals, and progress reports that falls under the Policy, and was authored or co-authored by you or arose from your NIH award, you must include the PubMed Central reference number. This policy includes applications submitted to the NIH for the May 25, 2008 due date and subsequent due dates.

Intramural researchers must ensure a PubMed Central reference number is included in the Institute's Annual Report for any publication they have authored or co-authored.



How Do I Comply?

- See **NOT-OD-08-119 *Reminder Concerning Grantee Compliance with Public Access Policy and Related NIH Monitoring Activities***
<file:///M:/NIH/NIH%20Guidelines/Public%20Access%20Policy/NOT-OD-08-119.html>
- See **NIH Public Access Frequently Asked Questions**
<http://publicaccess.nih.gov/FAQ.htm>
- See **Handout: NIH Submissions Methods**
http://publicaccess.nih.gov/submit_process.htm
- **Contact LBNL Report Coordination Office**
<http://www-library.lbl.gov/public/tmRco/aboutus/RcoDefault.htm>



LBNL / UCOP Guidance

- See Handouts for Additional Guidance
 - SPO's Interim Guidance – NIH Public Access Policy
 - UCOP Operating Guidance Memo, March 6, 2008 re: *NIH Policy on Enhancing Public Access to Archived Publications Resulting from NIH-Funded Research (PubMed)*
 - UCOP Letter to Publishers



Submitting through LBNL Reports Office



- FAQs:
<https://www.lbl.gov/wiki/bin/view/Main/ReportFaq>
- System:
<https://isswprod.lbl.gov/Library/login.aspx>



What Materials Must be in PubMed?

- The Policy applies to any manuscript that:
 - Is peer-reviewed;
 - And, is **accepted for publication in a journal on or after April 7, 2008**;
 - And, arises from:
 - Any direct funding ¹ from an NIH grant or cooperative agreement active in Fiscal Year 2008, or;
 - Any direct funding from an NIH contract signed on or after April 7, 2008, or;
 - Any direct funding from the NIH Intramural Program, or;
 - An NIH employee.
- Authors may submit final peer-reviewed manuscripts accepted before April 7, 2008 that arise from NIH funds, if they have appropriate copyright permission.
- The Policy applies to all peer-reviewed journal manuscripts. The Policy does not apply to non-peer-reviewed materials such as correspondence, book chapters, and editorials.

¹ "Directly" funded means costs that can be specifically identified with a particular project or activity.



My paper is already listed in PubMed. Do I have to submit my final peer-reviewed manuscript?

Yes,

you must submit the final peer-reviewed manuscript to PubMed Central. **PubMed and PubMed Central are not the same.**

PubMed includes only citations and abstracts of articles, while PubMed Central carries the full text of the paper.



Am I responsible for papers that arise from my NIH-funded project for which I am not an author?

Yes,

Principal Investigators and their Institutions are responsible for ensuring all terms and conditions of awards are met. This includes the submission of final peer-reviewed manuscripts that arise directly from their awards, even if they are not an author or co-author of the paper. Principal Investigators and their Institutions should ensure that authors are aware of and comply with the NIH Public Access Policy.



Where do I have to enter PubMed citations?

- As of May 25, 2008,
 - NIH applications,
 - NIH proposals,
 - NIH progress reports

must include the PubMed Central reference number when citing a paper that falls under the policy and is authored or co-authored by the investigator, or arose from the investigator's NIH award.



NIH Resources

- NIH Public Access Website <http://publicaccess.nih.gov/>
- NOT-OD-08-033 Revised Policy on Enhancing Public Access to Archived Publications Resulting from NIH-Funded Research
<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-08-033.html>
- NIH PubMed Website <http://www.pubmedcentral.nih.gov/>
- NOT-OD-08-119 Reminder Concerning Grantee Compliance with Public Access Policy and Related NIH Monitoring Activities
<file:///M:/NIH/NIH%20Guidelines/Public%20Access%20Policy/NOT-OD-08-119.html>
- See NIH Public Access Frequently Asked Questions
<http://publicaccess.nih.gov/FAQ.htm>
- See Handout: NIH Submissions Methods
http://publicaccess.nih.gov/submit_process.htm



LBLN SPO & UCOP Resources

- LBNL's Interim Guidance on NIH Public Access Requirements
- UCOP Operating Guidance Memo, March 6, 2008
- UCOP Letter to Publishers



Report Coordination Resources

- For additional information, please contact:
 - Repcoor@lbl.gov
 - Jean Wolslegel, Reports Coordinator, 486-6504
- FAQs:
<https://www.lbl.gov/wiki/bin/view/Main/ReportFaq>
- System:
<https://isswprod.lbl.gov/Library/login.aspx>



Questions

