



NIH Updates & Announcements

OSPIP Process Meeting
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May 15, 2013



Topics this Month

- eRA Commons Updates
- FCOI & Travel
- K99/R00 – Changes Coming for 2014
- Preparing a Program Project Application (P01)
- Research Performance Progress Report (RPPR) replaces eSNAP
- Progress Report Additional Materials (PRAM)
- MyNCBI
- Dealing with System Issues during proposal submission
- NIH-Funded Core Facilities
- Sequestration / CR-related info

Study Section Meeting Dates

- Meeting date now available earlier to PIs in eRA Commons on the Status Information Screen
- Meeting date will display as soon as the date is available in the system
- Previously, the date only displayed 30 days prior to the meeting making it difficult to follow the policy on post-submission materials

eRA Commons Login Page

- NIH reminds us to not bookmark your eRA Commons login page.
 - Can lead to error messages due to changes in the NIH network infrastructure
- Correct way to bookmark the login screen
 - Manually create the bookmark
 - Use <https://public.era.nih.gov/commons>
- Search Engines (such as Google, Bing, or Yahoo) are not always complete and do not define the location well enough to get you where you need to be.
- Do not call the Help Desk if you can't find it.
 - Manually type: <https://public.era.nih.gov/commons>
 - Or type <http://era.nih.gov> and click on eRA Commons (on the left)

Significant Financial Interest and Travel

- Investigators must declare
 - All travel, sponsored travel or reimbursements made to or on behalf of an investigator
 - That exceed \$5,000 in a 12 month period
 - By a for-profit or non-profit entity
 - Related to the investigator's institutional responsibilities
- Travel below the threshold of \$5,000 in 12 month period is no longer considered an SFI, and does not need to be reported
- Policy is available in the RPM
<https://commons.lbl.gov/pages/viewpage.action?pageId=80937530>
- Questions should go to Molly Stoufer at RIIO, the Research and Institutional Integrity Office

K99/R00 Funding Opportunity to be Reissued

- Significant Changes Anticipated for applications due Feb 12, 2014 and beyond, including:
 - Reducing the application window from 5 years to 4 years for postdoctoral research training experience.
 - Must propose a plan for substantive period of mentoring not to exceed 2 years.
 - Must have no less than 12 months of mentored research training and career development before transitioning to the independent R00 program.
- Guide Notice: NOT-OD-13-034, NOT-OD-13-050
- PA-11-197 can be used through October 12, 2103
- New FOA for March 12, 2014 deadline

Program Project (P01) Applications

- Many Institutions have support materials and FAQs helpful when preparing P01 applications
 - NIAID: <http://www.niaid.nih.gov/researchfunding/qa/Pages/p01.aspx>
 - NCI: <http://deainfo.nci.nih.gov/flash/awards.htm> for info about a variety of funding mechanisms. Specific info for P01 is at:
 - <http://deainfo.nci.nih.gov/awards/P01.pdf>
 - http://deainfo.nci.nih.gov/flash/P01_suppmat.pdf
 - NIGMS:
<http://www.nigms.nih.gov/Research/Mechanisms/ProgramProjectGrants.htm>
 - NIA: <http://www.nia.nih.gov/research/dea/program-project-p01-policies-and-guidelines>
 - Other Institutes: go to the Institute's webpage and search for "P01" to find resources

Research Performance Progress Report (RPPR)

- Required for all progress reports for awards with start dates on or after July 1, 2013 (May 15th for SNAP awards and May 1st for Fellowships)
- Guide Notice: NOT-OD-13-035, NOT-OD-13-061



eSNAP might still an option in eRA Commons for late reports

- If you accidentally start a Progress Report as eSNAP that should be RPPR, contact the eRA Help Desk for assistance to change the report format to RPPR
 - Might take up to 2 days
 - Info in the eSNAP Report will not be moved to the RPPR Report

RPPR not an option for non-SNAP Awards

- eRA Commons errantly made RPPR available non-SNAP eligible awards.
- Should have been fixed by the date of this meeting.

RPPR Resources

<http://grants.nih.gov/grants/rppr/index.htm>

Research Performance Progress Report (RPPR)

On This Page:

- Implementation of the RPPR
- Grantee Access to the RPPR
- Resources
- Points of Contact
- Background

http://grants.nih.gov/grants/webinar_docs/webinar_20121017.mp4



RPPR Resources, cont.

http://grants.nih.gov/grants/rppr/rppr_instruction_guide.pdf



Electronic Research Administration
A program of the National Institutes of Health



National Institutes of Health
Office of Extramural Research

NIH Research Performance Progress Report (RPPR) Instruction Guide



eSRA Proposal System
LAWRENCE BERKELEY NATIONAL LABORATORY

PRAM

- Progress Report Additional Materials
 - In the Action column of the eRA Commons Status page

Status Result - List of Applications/Grants

Notes & Tips:

- **Important:** The NIH provides the JT (Just in Time) link in the Commons for applications receiving a percentile of less than 30 or for applications receiving a priority score of between 10 and 60 if no percentile is provided. Please await instructions from the NIH on whether to complete this information.

The following list of applications/grants represents a result of the search by Grants.gov Tracking # or a complete list of all your applications/grants. If you do not see a complete list of your applications/grants, please click [List of Applications/Grants](#) menu tab again.

Application ID	Grants.gov Tracking #	Proposal Title	PI/PI Name	eSubmission Status	Current Application Status	Status Date	Action
45R3HD17496-02		A New Model for the Delivery of Well-Child Care	JEFFERSON, THOMAS		Pending	08/30/2012	Public Access PRAM
7DF1CA654321-04 (MPI)	GRANT00123456	Crime & Punishment and the Effects on Mental Health	JEFFERSON, THOMAS	Submission Complete	Pending	09/26/2012	Transmittal Sheet
AN-023552	GRANT00234567	The Red Badge of Courage and Other Skin Disorders	JEFFERSON, THOMAS	Submission Complete	Application has been entered into computer	08/22/2012	Transmittal Sheet

Export to Excel Show Query Print History

- Might generate an automated email to the PI
 - Public access compliance information
 - Additional materials requested by the IC
- Responding to PRAM
 - Provide requested information in PRAM system
 - Route to OSPIP Contracts Officer for official approval
 - Still an option to submit additional materials via email

MyNCBI List Required for Progress Report

- A MyNCBI list ensures compliance with the Public Access Policy.
- For all Progress Reports due May 1st or later, publications must be linked to the MyNCBI list.
 - For RPPR Reports, the systems are linked and publications are selected from a list

C.1 Publications
Are there publications or manuscripts accepted for publication in a journal or other publication (e.g., book, one-time publication, monograph) during the reporting period resulting directly from this award? Yes No

If yes, select from the table below to affiliate publications with this progress report.
If you need to login to My NCBI account please use this link: [My NCBI](#)

All publications associated with this project in My NCBI

One item found

Associate with this RPPR	NIH Public Access Compliance	Citation
<input checked="" type="checkbox"/>	Complete	Jefferson, Thomas. An assessment of environmental factors on public health. Health Publ. 2011 Nov; 21 (11): 201-231. PubMed PMID: 12345678; PubMed Central PMCID: PMC1234567

Sort Table Above By: Then By:
 Ascending Descending Ascending Descending

Hide publications from My NCBI

Publications not associated with this project in My NCBI

One item found

Associate with this RPPR	NIH Public Access Compliance	Citation
<input type="checkbox"/>	Complete	Jefferson, Thomas. Study of Child Health & Development In the United States. Health Publ. 2011 Nov; 21 (11): 201-231. PubMed PMID: 12341234; PubMed Central PMCID: PMC1111111

Sort Table Above By: Then By:
 Ascending Descending Ascending Descending

Publications previously reported for this project

20 items found, displaying all items

NIH Public Access Compliance	Citation
Complete	Jefferson, Thomas. Declaration of Children's Health and Development Needs. Health Publ. 2011 Nov; 21 (11): 201-231. PubMed PMID: 22222222; PubMed Central PMCID: PMC1212121

My Bibliography

http://www.nlm.nih.gov/pubs/techbull/nd12/nd12_myncbi_pdf.html

- Guide Notice: NOT-OD-13-017

YOUR SOURCE FOR THE LATEST SEARCHING INFORMATION

NLM TECHNICAL BULLETIN
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Table of Contents: 2012 NOVEMBER–DECEMBER No. 389 **Previous** **Next**

My Bibliography: Award Compliance Reports in PDF for eRA Commons Users

Hutcherson L. My Bibliography: Award Compliance Reports in PDF for eRA Commons Users. NLM Tech Bull. 2012 Nov-Dec;(389):e9.

2012 December 19 [posted]

My Bibliography has been enhanced to include an option to generate a PDF format report. The PDF option is a continuation page of form PHS 2590 to help eRA Commons users report publications. In conjunction with the PDF option, a new filter "Linked to my Awards" was developed for My Bibliography to assist researchers in limiting results to publications directly linked to their awards, in accordance with NIH guidance.

In My Bibliography, select the Award view from the "Display Settings" menu (see [A in Figure 1](#)). Select the filter "Linked to my Awards" to limit results to publications linked only to your awards (see [B in Figure 1](#)). Select the citations that you wish to include in your report or click "All" to select all citations (see [C in Figure 1](#)), and click the [PDF report](#) button (see [D in Figure 1](#)).

My NCBI — My Bibliography **eRA**

Selected filter(s) has been applied. [Clear all filters.](#)

This bibliography is private ([make it public](#)) | [Edit settings](#) for My Bibliography | Save My Bibliography to a [text file \(MEDLINE format\)](#)

A Display Settings: Sort by date, group by citation type **D**

C Select: [All](#), [None](#) 3 items selected [Move](#) [Delete](#) [Copy](#) [View](#) [Suggest](#) [Assign Awards to Citations](#) [PDF report](#) [Add citation](#)

Journal Articles

1: Lee J, Sharei A, Sim WY, Adamo A, Langer R, Jensen KF, Bavwendi MG. [Nonendocytic Delivery of Functional Engineered Nanoparticles into the Cytoplasm of Live Cells Using a Novel, High-Throughput Microfluidic Device](#). Nano Lett. 2012 Nov 16. [Epub ahead of print] PubMed PMID: 23145796.

Public Access Compliance: In process at NHMS. [\[Edit Status\]](#) NHMS ID: [NHMS421917](#)

NH Funding:
R01 CA126642 - Probing Tumor Microenvironment Using Nanotechnology [Add or delete award](#)

Filter citations by:

Publication year:
2011 to 2012

Awards: No award selected

R01 AG026240-01A1 Non-invasive...
 R01 CA057683-15 Toward a mol...

MyNCBI List Required for Progress Report

- For paper submissions, a report can be printed from MyNCBI and submitted with the report.
- http://www.ncbi.nlm.nih.gov/books/NBK53595/#mybibliography.Creating_an_Award_Complia

Creating an Award Compliance Report PDF for your Bibliography

To create a report in PDF format for your bibliography:

1. Go to My Bibliography and under "Display Settings" select the Award view
2. Select the filter "Linked to my Awards" to limit results to publications linked only to your awards
3. Select the citations that you wish to include in your report by checking the boxes next to your publications, or click "All" to select all citations
4. Click the button
5. On the pop-up window enter your First, Middle, and Last name as well as a starting page number. Click "Download PDF"

- If a publication should be on the list and is not visible, contact the MyNCBI Help Desk.

MyNCBI Created Duplicate Accounts

Problem:

- MyNCBI created duplicate accounts for some PIs
- The publications on the secondary list are not linking to eRA Commons for selection when preparing eSNAP or RPPR applications
- PI is erroneously being reported as “out of compliance”

Solution:

- Contact MyNCI Help Desk to have the two accounts merged
- Merge the accounts early in the application or reporting process so all publication will be available

Dealing with System Issues

- See April 2013 *NIH eRA Commons & eSubmission Items of Interest* email for more details (will be posted on OSPIP website)
- See Guide Notice: NOT-OD-13-054 Reminder of NIH's Policy for Handling Electronic System Issues that Threaten On-Time Grant Application Submission

So what do you do to protect yourself if you suspect you are experiencing a system issue? Make sure to follow the [guidelines](#)! This means BEFORE the submission deadline, you MUST:

1. Contact the help desk of the system that is giving you the headache, actively work with those folks to resolve the issue, AND...
2. Contact the [eRA Help Desk](#) and open a ticket with them and let them know you think you are experiencing a system issue.

- <http://grants.nih.gov/grants/ElectronicReceipt/support.htm#guidelines>

Examples of System Issues

- eRA Commons message “System Error.”
- Significant Grants.gov service interruption on deadline day lasting multiple hours.
- Significant eRA Commons service interruption on deadline day lasting multiple hours.
- Failure to Grants.gov to process an application within half a business day.
- Failure of eRA Commons to process an application within half a business day.

Examples of Events NOT considered “System Issues”

- Failure to follow funding opportunity or application guide instructions.
- Failure to complete PI registrations prior to deadline.
- Failure to correct Grants.gov or eRA identified errors/warnings by the deadline.
- Local internet problem at institution on deadline day.
- Problems with local submission systems (e.g. system-to-system solution).
- Unable to see final application (errors/warnings or image) in Grants.gov or eRA Commons within half a business day of submission.

NOT System Issues cont.....

- Forgot credentials.
- Discovered application image issue after the application viewing window.
- Failure to notify the eRA Commons Help Desk of submission issues by deadline or of application image issues within application viewing window.
- Rejected/Refused an application by accident.
- Did not receive Grants.gov or eRA notifications, but status available in Grants.gov or eRA Commons.

System Issue Resources

- Contact your OSPIP Contracts Officer immediately to develop a team approach to solve the problem.
- If the problem might involve the eSRA system, contact eSRAHelp@lbl.gov immediately.
 - A problem within eSRA itself is not considered justification for a late submission.
- Guidelines for Applicants Experiencing System Issues
<http://grants.nih.gov/grants/ElectronicReceipt/support.htm#guidelines>
- Guide Notice: NOT-OD-13-054

Costing of NIH-Funded Core Facilities

- Guide Notice: NOT-OD-13-053 answers these questions:
 1. General Information about Core Facilities
 - a) What is a core facility?
 - b) How are NIH funds used to support core research facilities?
 - c) Are cores limited in the types of costs they may recover on an NIH grant?
 - d) How should specialized service facilities (SSF) be considered in the context of these FAQs?
 - e) How should costs be allocated when a core facility offers multiple services?
 - f) Can one core support another?
 - g) How should institutional fiscal contributions to the operation of the core be managed and described in an application to NIH?

Costing of NIH-Funded Core Facilities, cont.

2. Charges to NIH Supported Users

- a) How should core facilities set charges for users – particularly for use on federally funded projects?
- b) What are the considerations for determining appropriate charges?
- c) What are typical allowable costs for determining rates?
- d) What are types of costs that are unallowable or excluded for determining rates?
- e) What is an aggregated cost?
- f) Is it acceptable to estimate costs in determining initial rates that will be charged for new services?
- g) How should core facilities recover F&A costs from users?

Costing of NIH-Funded Core Facilities, cont.

3. General core operating principles

- a) Can a core facility purposefully accumulate profit from its charges to internal users?
- b) Can a core use an operating surplus to purchase equipment?
- c) My core provides a project level service that may take months to complete, and may be subject to change in scope or cost as the project moves forward. How can I develop an acceptable system of custom charges?
- d) How often should my core bill for usage?
- e) Can my core bill now for work that will be performed later?
- f) If a core director or other staff scientist is awarded a shared instrumentation grant, may the PI of that grant and other co-Pis be charged discounted user fees as a benefit of the award?
- g) Can fee schedules cap the amount charged to a user in a particular time period?

Costing of NIH-Funded Core Facilities, cont.

4. Accommodating Different Types of Rate Payers

- a) Where do funds to pay core facility rates come from?
- b) How can my core acceptably bill usage to users with multiple federal awards and funding agencies?
- c) Is it allowable for core facilities to be subsidized for particular sets of users or grants?
- d) Are there any circumstances when one federally-supported project may be charged a reduced or discounted rate compared to another?
- e) If an investigator acquires an instrument on a project and this instrument is assigned to a core facility, can the investigator's usage be given preferential treatment?

Costing of NIH-Funded Core Facilities, cont.

5. Non-institutional usage

- a) Can a third-party, for example another biomedical research institution, educational institution, or commercial (for-profit) organization be charged at a different rate from the institutional rate?
- b) How should an additional fee in excess of the cost of service generated by a third-party be treated?

Costing of NIH-Funded Core Facilities, cont.

6. Depreciation

- a) What is depreciation?
- b) How is depreciation used by core facilities in setting rates?
- c) Can depreciation charges be applied to the cost of instruments and equipment purchased with Federal funds, for example the ORIP S10 Shared Instrumentation Grant program?
- d) Must depreciation charges be applied to the cost of instruments and equipment purchased with non-Federal funds?

Sequestration / CR-related info

- Solid information is scarce.
- Some FOAs being cancelled.
- Some FOAs on temporary hold.

Sequestration / CR-related info

- NOT-OD-13-064 indicates some general terms:
 - Non-competing continuations funded at levels below that indicated on the most recent NoA **may be partially restored**.
 - Commitments for 2014 and beyond remain unchanged
 - Likely to make fewer competing awards in 2013.
 - Institutes will develop and post their fiscal policies consistent with overall NIH goals and available FY 2013 funds.
- NIH implements fiscal policies at the Institute level.

FY 2013 Financial Management Plans for non-competing continuations

- NCI: Memo from Dr. Varmus – no specific funding levels
- NIEHS:
- NIBIB: 5.7% reduction
- NIGMS: 3.5% reduction
- NHGRI: 4.5% reduction on recent award (but no specific policy posted for the Institute)
- NHLBI:
- NIA: 5.5% reduction
- NIDDK: posted FY 2013 policies – no specific funding levels

LBNL Fastrak Bridge Funding

- As you may have already heard.....the Fastrak Bridge Funding option often used while waiting for NIH non-competing continuations is temporarily suspended due to increased risk cause by sequestration and the Continuing Resolution.
- Regular Bridge requests should be submitted.
- Questions should be directed to Stacy Bennett or Nick Skowronski in the Budget Office.