



**eSRA Update**  
**July 31, 2012**

Cynthia Sylvester  
Office of Sponsored Projects  
& Industry Partnerships

Office of Sponsored Projects & Industry Partnerships  
**LAWRENCE BERKELEY NATIONAL LABORATORY**

1

---

---

---

---

---

---

---

---



**Implementation Plan**

<p><b>Awards</b></p> <ul style="list-style-type: none"> <li>• <b>Pre-Award Certifications and Approvals</b> <ul style="list-style-type: none"> <li>- NEPA/CEQA</li> <li>- COI</li> <li>- DOE</li> <li>- HARC</li> </ul> </li> <li>• <b>JIT &amp; Negotiation documentation</b></li> <li>• <b>Award Set-up</b></li> <li>• <b>Award Authorization</b></li> </ul>	<p><b>Post Awards</b></p> <ul style="list-style-type: none"> <li>• <b>Post-Award Modifications</b></li> <li>• <b>Bridge Requests</b></li> <li>• <b>Close-Outs</b></li> </ul>
--	--

Office of Sponsored Projects & Industry Partnerships  
**LAWRENCE BERKELEY NATIONAL LABORATORY**

2

---

---

---

---

---

---

---

---



**Just-in-Time**

<p><b>OSPIP CO</b></p> <ul style="list-style-type: none"> <li>• <b>Update Sponsor &amp; App #, upload eApplication</b></li> <li>• <b>Request Other Support</b></li> <li>• <b>Request updated F&amp;A</b></li> <li>• <b>Request other docs</b></li> <li>• <b>Approvals &amp; Certifications</b></li> </ul>	<p><b>Research Team</b></p> <ul style="list-style-type: none"> <li>• <b>Find eApplication in History section</b></li> <li>• <b>Upload Other Support</b></li> <li>• <b>Upload F&amp;A</b></li> <li>• <b>Upload other docs</b></li> </ul>
---	---

Office of Sponsored Projects & Industry Partnerships  
**LAWRENCE BERKELEY NATIONAL LABORATORY**

3

---

---

---

---

---

---

---

---



## Post-Submission Approvals

---

(partially implemented)

OSPIP - Check with eSRA Development Team for each proposal where/when these approvals are needed to see if development and implementation has been completed.

Proposal Team – follow instructions, on a case-by-case basis about how to request and obtain approvals or provide information.

4

Office of Sponsored Projects & Industry Partnerships  
LAWRENCE BERKELEY NATIONAL LABORATORY

---

---

---

---

---

---

---

---



## Human / Animal Certification

---

<p><b>Now</b></p> <ul style="list-style-type: none"> <li>• Email request from OSPIP</li> <li>• Manual creation of CRF</li> <li>• Email CRF to HARC</li> <li>• HARC creates Certification</li> <li>• HARC emails certification to OSPIP</li> </ul>	<p><b>Soon</b></p> <ul style="list-style-type: none"> <li>• Activity to request CRF in eSRA</li> <li>• Proposal Team completed CRF</li> <li>• Send CRF to HARC via Activity in eSRA</li> <li>• HARC certifies in eSRA</li> </ul>
---	--

5

Office of Sponsored Projects & Industry Partnerships  
LAWRENCE BERKELEY NATIONAL LABORATORY

---

---

---

---

---

---

---

---



## Conflict of Interest – Fully Implemented

---

**Now**

- Proposal Specialist sends to RIIO hard copies of “yes”

  

- eSRA notifies RIIO of “yes” responses
- RIIO approves in eSRA

6

Office of Sponsored Projects & Industry Partnerships  
LAWRENCE BERKELEY NATIONAL LABORATORY

---

---

---

---

---

---

---

---



## DOE Approvals

**Now (Next Proposal)**

- Activity in eSRA to request DOE review
- DOE approves in eSRA

7

Office of Sponsored Projects & Industry Partnerships  
LAWRENCE BERKELEY NATIONAL LABORATORY

---

---

---

---

---

---

---

---



## NEPA-CEQA

**Now**

- Automated request from eSRA to NEPA-CEQA
- NEPA-CEQA approves in eSRA

8

Office of Sponsored Projects & Industry Partnerships  
LAWRENCE BERKELEY NATIONAL LABORATORY

---

---

---

---

---

---

---

---



## Creating Awards from eSRA Proposals

(in development)

OSPIP - Check with eSRA Development Team for each proposal ready to be awarded to see if development and implementation has been completed.

9

Office of Sponsored Projects & Industry Partnerships  
LAWRENCE BERKELEY NATIONAL LABORATORY

---

---

---

---

---

---

---

---



## Move Proposal to RAPID

<p><b>Now</b></p> <ul style="list-style-type: none"> <li>eSRA Development Team will create the proposal in RAPID with only the information required to generate an award.</li> </ul>	<p><b>Soon</b></p> <ul style="list-style-type: none"> <li>Automated function when <b>completing the Award Set-up (will create proposal and award in RAPID)</b></li> </ul>
--	---

Office of Sponsored Projects & Industry Partnerships  
LAWRENCE BERKELEY NATIONAL LABORATORY

10

---

---

---

---

---

---

---

---

---

---



## Request AR Approval

<p><b>Now</b></p> <ul style="list-style-type: none"> <li>Email to request review and approve in RAPID with copy of award document.</li> </ul>	<p><b>Soon</b></p> <ul style="list-style-type: none"> <li>Automated notification to request AR approval when <b>completing the Award Set-up (will create proposal and award in RAPID)</b></li> <li>CA can access the proposal in eSRA for review</li> <li>Approval is in RAPID.</li> </ul>
---	--

Office of Sponsored Projects & Industry Partnerships  
LAWRENCE BERKELEY NATIONAL LABORATORY

11

---

---

---

---

---

---

---

---

---

---



## Generate Award

<p><b>Now</b></p> <ul style="list-style-type: none"> <li>Generate award in RAPID</li> </ul>	<p><b>Soon</b></p> <ul style="list-style-type: none"> <li>Activity in eSRA</li> </ul>
---	---

Office of Sponsored Projects & Industry Partnerships  
LAWRENCE BERKELEY NATIONAL LABORATORY

12

---

---

---

---

---

---

---

---

---

---



## Notify Research Team of New Award

### Now

- OSPIP CO Generates SPAA
- OSPIP distributes SPAA via email

### Soon

- Automated notification to Research Team
- Research Team accesses the eSRA Award
- Research Team can print Award Authorization information.

---

---

---

---

---

---

---

---