



BERKELEY LAB
LAWRENCE BERKELEY NATIONAL LABORATORY

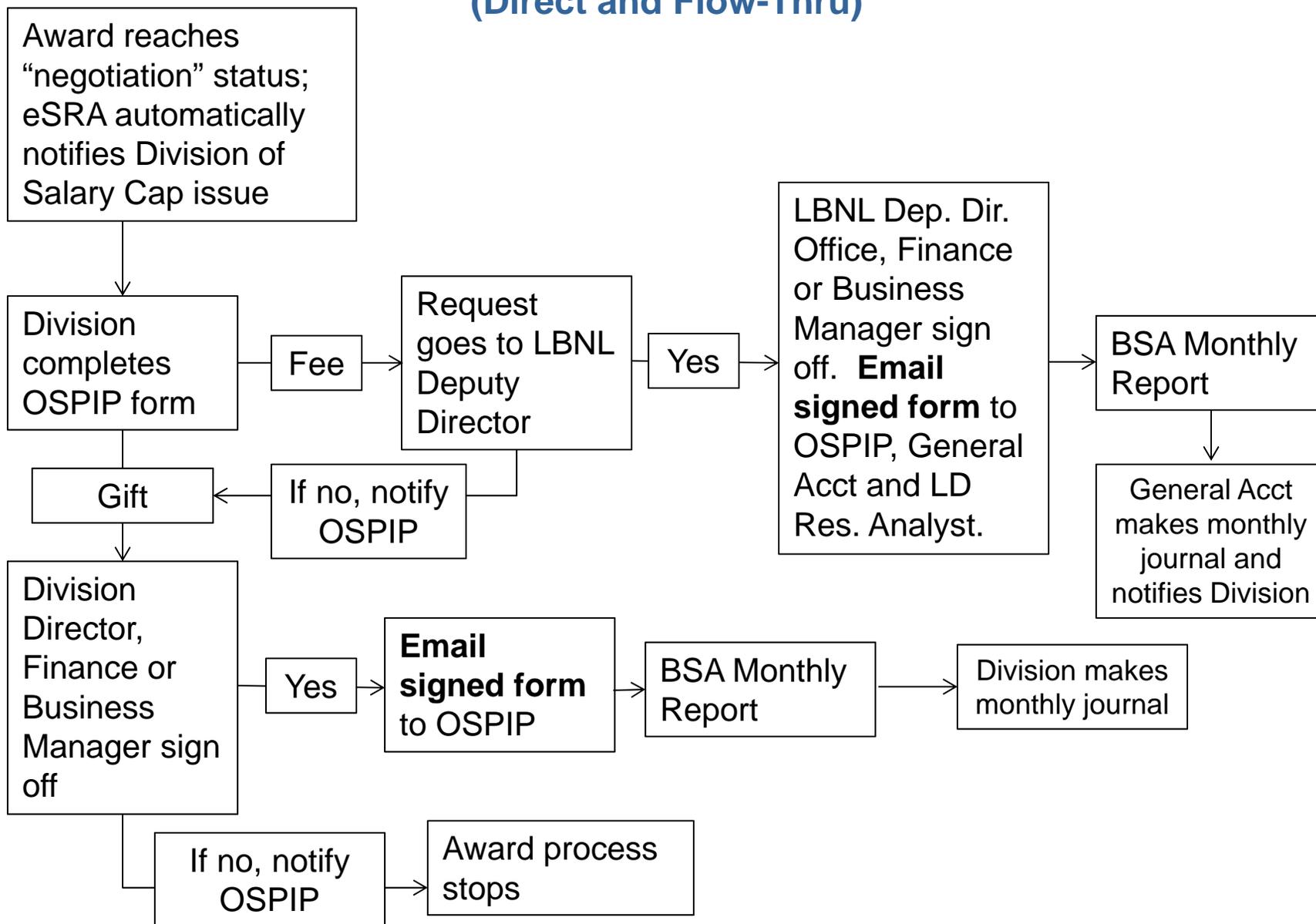


U.S. DEPARTMENT OF
ENERGY

NIH Salary Cap May, 2012



NIH Salary Cap Process (Direct and Flow-Thru)



New 'Less Than Full Cost Recovery' Form



Less than Full Cost Recovery For Work-For-Others Agreements

V04/10/2012

As required by LBNL, WFO projects must receive full cost recovery. (Refer to the *LBNL Financial Policies and Procedures* for additional information.) Several situations might cause an award to be accepted with less than full cost recovery such as NIH salary cap exceeded or special limitation on indirect costs. (Fellowships and Training Grants with indirect cost restrictions are handled separately.)

The funding source for the unrecovered excess must be identified prior to commencing work on the funded research.

Please identify the source of supplemental funding for the following proposal we expect to be funded soon. The signed form must be received by OSPIP *prior to acceptance* of the award.

PI for the Research Project:	<input type="text"/>
Funding Agency:	<input type="text"/>
Project Title:	<input type="text"/>
Proposal #:	<input type="text"/>
Reason for less than full cost recovery:	<input type="text"/>
Estimated amount of cost (by fiscal year)	<input type="text"/>
Source of Supplemental Funding:	<input type="text"/>

The Division Director, (or designate) and Business/Financial Manager, must confirm approval for use of all funds. If UC Fee Funds are involved, signature from the LBNL Deputy Director's office is required. If DOE funds are involved, an additional written authorization must be obtained from the DOE Program Manager to use the DOE funds.

Return Signed Form to your OSPIP Contracts Officer

Division Director Name:	<input type="text"/>	(Signature)	(Date)
Business/Finance Manager Name:	<input type="text"/>	(Signature)	(Date)
If UC Fee Funds are used - Deputy Lab Director (or Designee) Name:	<input type="text"/>	(Signature)	(Date)
If DOE funds are used - DOE Program Manager Name: (And Attach DOE Approval)	<input type="text"/>	(Signature)	(Date)



Remaining Issues

- New awards
 - See flowchart on previous slide
- How to handle current awards in need of additional funds
 - Case by case basis
- Who is point of contact for fee?
 - Bill Johansen
- Revised WFO Less Than Full Cost Recovery Form
 - Link on OSPIP web site:
http://www.lbl.gov/Workplace/CFO/ospip/ospip_policies/index.html
 - Need Business/Finance Manager signature
 - If fee, need LBNL Deputy Director (or designee) signature