



NIH Salary Cap Options with Carry-Forward and No Cost Extension

March 6, 2012



Clarification

- During a webinar last week, we learned the \$199,700 salary limit (i.e., the old limit) still applies when the initial award issue date is prior to 12/23/2011 for:
 - No cost extension funds
 - Carry forward funds
- For carry forward to work it must be:
 - Automatic
 - Available for this purpose
 - Adequately separated for audit purposes
- For Non-Automatic carry forward, the only effort allowed is *in addition to* the current level of effort. Therefore, additional effort would add to the salary cap issue.
- Do not hoard carryover. Anything above 25% is subject to greater scrutiny and may be subject to being re-budgeted.

Example with Automatic Carry-forward

FY 2011 Funds

Award \$200,000
Spent \$180,000

FY 2012 Funds

Award \$200,000

Unobligated \$ 20,000

Carry-forward \$ 20,000

The carry-forward amount can be segregated to pay people at the Executive Level I salary cap (i.e., the \$199,700 old cap), or people that would otherwise be subject to the Executive Level II salary cap.

Awards that could benefit (if carryover funds are available)

- Automatic Carry-forward
 - Genomics – GHRUB9
 - MSD – M80040
 - LSD – L1188
 - LSD – L1234
 - LSD – L1300
 - LSD – L1260
 - LSD – L1159
 - LSD – L1271
 - LSD – L0938
 - LSD – L1034
 - LSD – L1385
 - LSD – L0864
 - LSD – L1027

Awards that could benefit (further investigation needed)

- Carryover approval required
 - Genomics – GHPEN4
 - Genomics – GHDUB3
 - Genomics – GHVIS2
- Need to review award document
 - PBD – SNHLP3
 - PBD – PHENIX – 863CRN1

Awards that could benefit (further investigation needed)

- Flow-thru (need to check with sponsor)
 - Comp Sci – KRW1110
 - MSD – M80035
 - PBD – SMPOPA
 - PBD – BASPA6
 - PBD – SRUPA1
 - LSD – L0730
 - LSD – L1455
 - LSD – L1101

Impact

- Spreadsheet file sent will be emailed again to evaluate and update any impact to the projects listed in these slides.
 - I will send out a consolidated version of the input from the first exercise.
- Please have responses back by COB, Tuesday, March 13th.