



Multiple PI Form (for Pre- and Post-Award Activities)

**Cynthia Sylvester
Office of Sponsored Projects
& Industry Partnerships**

Multiple PI Form

- Only for NIH
- Only for Multiple PI Projects
- Signed again with each required activity

- Applications
- Annual Progress Reports
- NCE
- Prior Approval Requests

Lawrence Berkeley National Laboratory
NIH MULTIPLE PRINCIPAL INVESTIGATOR ASSURANCE CERTIFICATION

Effective May 10, 2006, the National Institutes of Health (NIH) requires that the applicant organization secure and retain a written assurance from each Multiple Principal Investigator prior to submitting an application, progress report, and prior approval request. NIH also requires that when Multiple PIs are proposed in an application, this assurance must be retained for all named PIs.

This form must be signed and submitted to the Contact PI so it may be forwarded to LBNL's Office of Sponsored Projects and Industry Partnerships with all NIH applications, progress reports, prior approval requests and No Cost Extension requests. Assurances must be dated to coincide with the action. (This form can also be uploaded into LBNL's eSRA Proposal System.)

NIH requires original signatures:

NIH Award Number:

Project Title:

Type of Proposal:

- Application
- Annual Progress Report
- NCE
- Prior Approval Request

By signing below, I certify (1) that the information submitted within the application is true, complete and accurate to the best of the my knowledge; (2) that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties; and (3) that the I agree to accept responsibility for the scientific conduct of the project and to provide the required progress reports if a grant is awarded as a result of the application.

Multiple Principal Investigator Assurance:

Named Principal Investigator:

Signature: _____

Date:

This form is designed to comply with the requirements of NIH Notice NOT-OD-06-054, issued April 7, 2006:
<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-06-054.html>

Location in OSPIP Website



OFFICE OF SPONSORED PROJECTS & INDUSTRY PARTNERSHIPS

FREQUENTLY NEEDED INFORMATION | GRANTS. GOV | CALENDAR | OMBUDS

NIH

LBNL NIH Proposal Guidance

- [LBNL NIH Policy Overview](#)
- [eRA Registration for LBNL Personnel](#) 08/28/09
- [Late Proposal Letter Template](#) 09/04/09
 - **LATE proposal submissions must be submitted through OSPIP COs**
 - [Items of Interest re Late Submissions \(NIH/ERA\)](#) 01/21/2011
- [Just in Time \(JIT\) Instructions](#) (ARRA and non-ARRA)
- [LBNL Multiple PI Assurance Certification](#) (form) (.dot) 03/17/2011
- [Resubmission of a Renewal](#) (04/05/11)

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