



Who Can Be a PI at LBNL?

Jeff Weiner
Office of Sponsored Projects
& Industry Partnerships

March 17, 2011



INTRO

- **There is no official laboratory designation of who can be a PI**
- **Campuses have very specific rules with a process for making exceptions.**
- **At LBNL, the Div Director can designate someone as a PI**
- **Must be an employee since the duties require**
 - **Procurement authority (be in SAS),**
 - **Ability to supervise,**
 - **Authorization to be a custodian for property,**
 - **Responsibility for adherence to UC and lab policies (e.g. Comply with COI, research integrity etc.)**

- **A PI , using NIH as a standard, must**
“Have the appropriate level of authority and responsibility to direct the project or program to be supported by the award.”

In those terms, the PI must be able to supervise, make procurements, control the funds and have authority at LBNL



NIH PI Assurances

- **NIH PI assurance**

- (1) That the information submitted within the application is true, complete and accurate to the best of the PI's knowledge;
- (2) That any false, fictitious, or fraudulent statements or claims may subject the PI to criminal, civil, or administrative penalties; and
- (3) That the PI agrees to accept responsibility for the scientific conduct of the project and to provide the required progress reports if a grant is awarded as a result of the application."



UCOP

- **Per the UCOP Contract and Grants Manual *sec10-330* the Principal Investigator...**
- **Is the individual with primary responsibility for:**
 - **The scientific integrity and management of the sponsored project;**
 - **The financial management of project funds (see 6-440);**
 - **Adherence to all internal University policies; and**
 - **Adherence to externally imposed sponsor terms and conditions including reporting and record keeping requirements contained in the award document.**

Can't Find the PI in RAPID



Menu

- Grants
 - Maintain WFO
 - Federal
 - NIH
 - Non-Federal
 - Non-Federal Proposals
 - Maintain Non-Federal Proposals
 - Enter Overall Non-Fed Budget
 - Enter Detail Non-Fed Budget
 - Non-Fed Prop Review Factors...
 - Fed Budget
 - Enter Detail Non-Fed Budget
 - Non-Fed Prop Review Factors
 - Non-Fed Prop Review Factors
 - Submit Non-Federal Proposal
 - WFO SPPF Form
 - NIH Subaward SPPF Form
 - Non-Federal NEPA/CEQA Form
 - Non-Federal SPO Forms
 - Create Non-Fed Continuation
 - Copy Non-Federal Proposal
 - Copy Non-Fed Prop Bud Period
 - Negotiate Non-Federal Award
 - Review Non-Fed Prop Audit Logs

[Home](#) | [Worklist](#) | [Add to Favorites](#) | [Sign o](#)

[New Window](#) | [Help](#) | [Customize Page](#) | 

Header

Projects

Resources

Reports

Documents

Certification

Proposal ID: NEXT

Version ID: PHG

Description:

Business Unit: LBNL

Currency: USD 

UCOP Trans Dt

Submit Status: Not Submitted

[Add to My Proposals](#)

Due Date: 10/17/2008 

* PI ID: 

Type: 

Name:

Time:

Time Zone: 

* Title:

* Sponsor ID: 

* Status: Draft 

* Type: New 

Purpose: 

* Start Date: 10/17/2008 

* End Date: 

[Long Title](#)

* Category: NonFederal Awards 

Additional Attributes

Foreign Application/Component

NIH Modular Grant

Additional Information Find | View All | First 1 of 1 Last

* Type: 

Comments:

Go To: [Budget Express](#)

[Valid Award Types for Sponsor](#)

 Save

 Notify

 Previous tab

 Next tab

 Add

 Update/Display

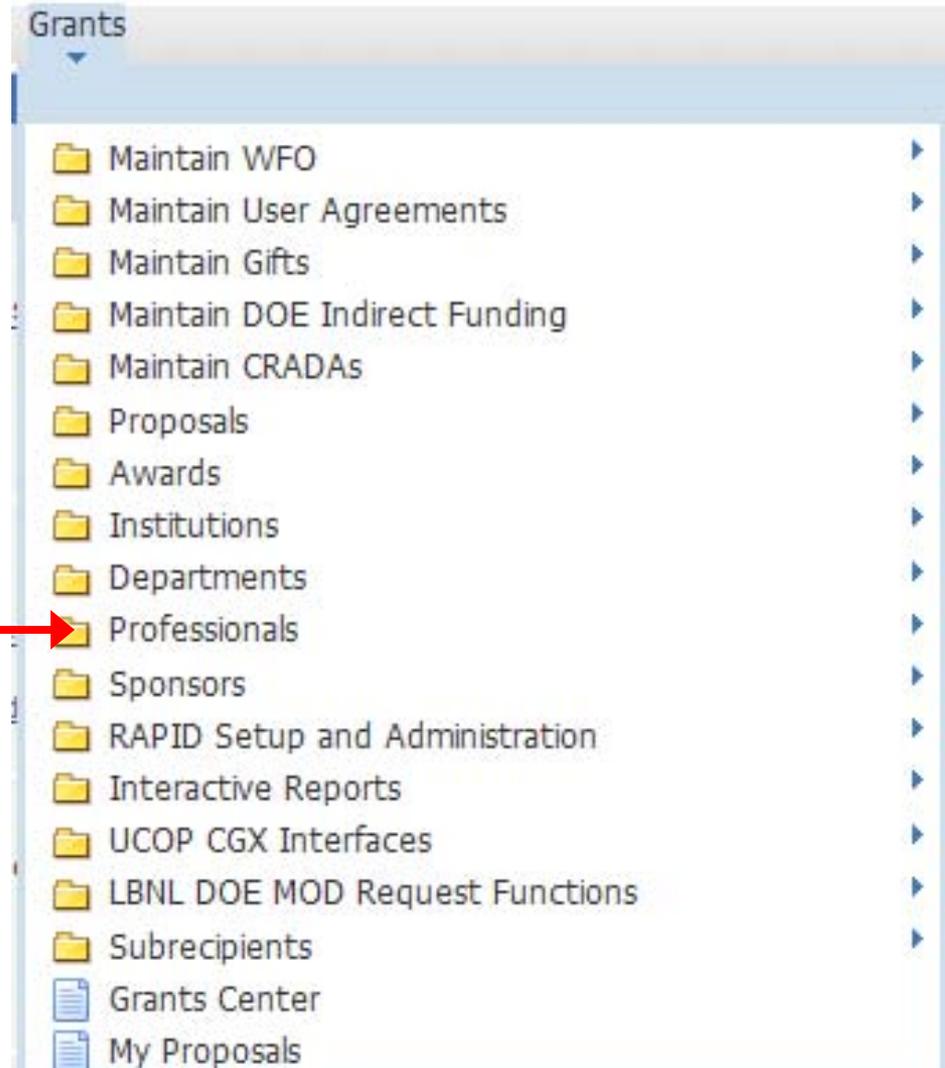
Header | [Projects](#) | [Resources](#) | [Reports](#) | [Documents](#) | [Certification](#)



Add the PI into RAPID

- **Most frequently forgotten information from the Basic RAPID hands-on training**
- **RAPID is populated with people from HRIS, so everyone is already “in RAPID”**
- **Must Designate new people with the “Eligible PI” status**
- **This does NOT required entry by OSPIP, the Division makes the determination and has the authorization to update RAPID to “Eligible PI” status**

PI Status in RAPID





Professional Data



Favorites Main Menu > Grants > Professionals

[Main Menu](#) > [Grants](#) >



Professionals

Define or maintain Grants Management professional profile information.



Professional Data

Establish and update professional name, address, and title.

Locate the Person

Professional Data

Enter any information you have and click Search. Leave fields blank for a list of all values.

Find an Existing Value

Add a New Value

Maximum number of rows to return (up to 300):

Empl ID:



Name:



Last Name:

Include History Correct History Case Sensitive

[Basic Search](#)



[Save Search Criteria](#)

[Find an Existing Value](#) | [Add a New Value](#)



Designate as "Eligible PI"

Professional Data

Empl ID: 016586 *Gender: *Personnel Status:

General Info Find | View All First 1 of 1 Last

*Eff Date: Name:

*Mar Status: Prefix: Name Suffix: [Names Detail](#) [Address](#)

Country Info Find | View All First 1 of 1 Last

Country: *NID Type: National ID:

Citizenship Info. Find | View All First 1 of 1 Last

*Country Citizenship Status

Phone Info Find | View All First 1 of 1 Last

*Type: Phone:

Email Info Find | View All First 1 of 1 Last

*Type: *Email:

Misc. Info Find | View All First 1 of 1 Last

*Eff Date: Howard Hughes Member [Ethnicity](#)

Rate Type: Eligible PI Conflict of Interest Date Certified:

Save Return to Search Notify

Add Update/Display Include History Correct History