



## ARRA Proposal Differences June 2009

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## ARRA Proposal Differences

Today we will cover

- New Proposals: **Regular Proposal vs. ARRA Proposal**
- Supplements: **Regular Supplement vs. ARRA Supplement to Existing non-ARRA Award**
- Special ARRA-related actions required at Negotiations or NIH JIT
- When a Sponsor changes a non-ARRA proposal into an ARRA award

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## ARRA Proposals and Awards are Tracked Separate from non-ARRA Funding

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 **New Proposals**

<b>Non-ARRA Proposal</b>	<b>ARRA Proposal</b>
<ul style="list-style-type: none"> <li>• CFDA not required</li> <li>• ARRA-type defaults to "non-ARRA"</li> </ul>	<ul style="list-style-type: none"> <li>• Grants: Enter CFDA in RAPID, if known</li> <li>• IAG: CFDA not required</li> <li>• Must specify ARRA               <ul style="list-style-type: none"> <li>–primary</li> <li>–secondary</li> <li>–tertiary</li> </ul> </li> </ul>

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 **New Proposals**

<b>Non-ARRA Proposal</b>	<b>ARRA Proposal</b>
<ul style="list-style-type: none"> <li>• LDRD and FAC costs are based on our Sponsor</li> </ul>	<ul style="list-style-type: none"> <li>• LDRD and FAC waiver are based on the original source of funds (ARRA)</li> </ul>

Example: IBM would not receive an FAC waiver on a federal flow-through; but if the original funds are ARRA funds, the ARRA FAC Waiver would apply

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 **New Proposals**

<b>Non-ARRA Proposal</b>	<b>ARRA Proposal</b>
<ul style="list-style-type: none"> <li>• LDRD is charged (or not) depending on the sponsor</li> </ul>	<ul style="list-style-type: none"> <li>• LDRD is zero; but must enter the LDRD line with zero dollars in the detailed budget in RAPID</li> </ul>

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 **New Proposals**

<p><b>Non-ARRA Proposal</b></p> <ul style="list-style-type: none"> <li>• In the Detailed Budget, FAC is waived (or not) depending on the sponsor</li> </ul>	<p><b>ARRA Proposal</b></p> <ul style="list-style-type: none"> <li>• In the Detailed Budget, Use FAC ARRA               <ul style="list-style-type: none"> <li>–all ARRA funding (even subcontracts) has FAC waived</li> </ul> </li> </ul>
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DOE M&O Contracts never have FAC, and this does not change with ARRA

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 **New Proposals**

<p><b>Non-ARRA Proposal</b></p> <ul style="list-style-type: none"> <li>• In the Proposal Review Factors – FAC waiver is dependent on the sponsor</li> </ul>	<p><b>ARRA Proposal</b></p> <ul style="list-style-type: none"> <li>• In the Proposal Review Factors – FAC waiver is always “yes”</li> </ul>
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DOE M&O Contracts never have FAC, and this does not change with ARRA

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 **New Proposals**

<p><b>Non-ARRA Proposal</b></p> <ul style="list-style-type: none"> <li>• Abstract can be a summarization of the SOW prepared by the PI, or the abstract sent to the sponsor</li> </ul>	<p><b>ARRA Proposal</b></p> <ul style="list-style-type: none"> <li>• Abstract must be word-for-word what is submitted to the sponsor in the proposal</li> </ul>
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If the proposal has no abstract, consult your SPO CO

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**Questions  
on  
New Proposals**




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**Supplement to Existing Non-ARRA Award**

<p><b>Regular Supplement</b></p> <ul style="list-style-type: none"> <li>• Create proposal from “Create Continuation” in RAPID to link the proposal to the existing award</li> </ul>	<p><b>ARRA Supplement</b></p> <ul style="list-style-type: none"> <li>• Create proposal from “Maintain Proposal, Add a New Value” in RAPID (will not link to existing non-ARRA award)</li> </ul>
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**Supplement to Existing Non-ARRA Award**

<p><b>Regular Supplement</b></p>	<p><b>ARRA Supplement</b></p>
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**Type of Proposal = Supplement**

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**Supplement to Existing Non-ARRA Award**

- CFDA
- ARRA type
- LDRD
- Abstract
- FAC

**Same instructions as for new proposals**

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**Supplement to Existing Non-ARRA Award**

Regular Supplement      ARRA Supplement

**NEW!**

- In "Maintain Proposal, Additional Information – Previous Award" enter the non-ARRA LBNL Award # to show relationship to Parent Award

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**Supplement to Existing Non-ARRA Award**

Regular Supplement      ARRA Supplement

Animal and Human Subjects must be related specifically to the Supplement, not the Parent award

- If N/A – enter new date that PI certifies there is no human or animal research
- If humans or animals are in the supplement, remove all approval dates and return certifications to "pending status"
- You will enter all new information in RAPID, the information from the Parent Award will not copy over

**UPDATE**

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**Questions  
on  
Supplements**




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**During Negotiations or NIH JIT**

<p style="text-align: center;"><b>Regular</b></p> <ul style="list-style-type: none"> <li>• No need to update proposal in RAPID</li> </ul>	<p style="text-align: center;"><b>ARRA</b></p>  <ul style="list-style-type: none"> <li>• <b>Must Update:</b> <ul style="list-style-type: none"> <li>– Period of Performance</li> <li>– Budget</li> <li>– Abstract (word-for-word)</li> </ul> </li> <li>• This information goes to DOE</li> <li>• Do not need a new SPPF unless significantly changed</li> </ul>
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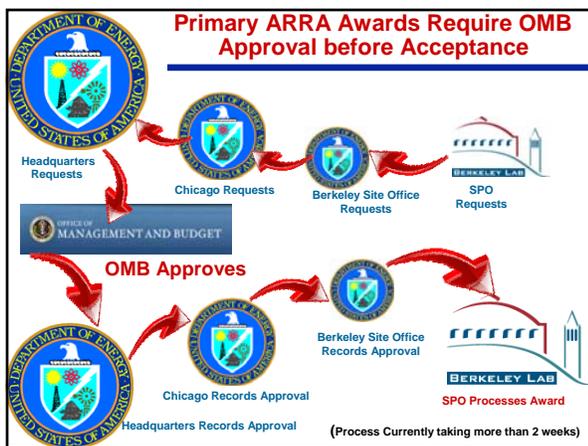
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 non-ARRA Proposal becomes ARRA Award

- Copy proposal with new version
- Mark original proposal “Discontinued”
- Review **ALL** information and change as necessary



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 non-ARRA Proposal becomes ARRA Award

- Must Update:
  - ARRA type
  - CFDA (as appropriate)
  - Period of Performance
  - Budget with negotiated or JIT budget
  - Budget now has FAC ARRA



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 non-ARRA Proposal becomes ARRA Award

- Need new SPPF if any of these apply:
  - More money is being requested
  - Significant change in the Scope of Work
  - Changes to any of the proposal review factors
  - Changes related to humans and/or animals

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Questions



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