



## DOD CDMRP

- LBNL has been honored by 3 Innovator Awards in the past few years.
- This is the highest honor in this research area.
- LBNL is very visible with DOD and we must administer these awards correctly with the PIs.



## DOD CDMRP

- Accepted as the University of California / LBNL
- Comes as an “Award/Contract” not an Interagency Agreement
- Issued according to UC / LBNL Contract 31
- Is made for the entire award period and not for separate budget periods
- Refer to the Sample Award Provided



## DOD CDMRP

- Reporting (on time) is very important & we receive notification if reports are late.
- Pre-payment & financial report is handled by Accounts Receivable.
- If human, animal, or key personnel changes – ask for pre-approval. Do not wait to report in the annual report.
- Even articles in TABL & LBNL Science publications require proper acknowledgement of the sponsor.



# DOD CDMRP

## Cover Page

<b>AWARD/CONTRACT</b>	1. THIS CONTRACT IS A RATED ORDER UNDER DPAS (15 CFR 350)	RATING 15	PAGE OF PAGES 1   11
2. CONTRACT <i>(Proc. Inst Ident.)</i> NO. WB1XWH-07-1-0663	3. EFFECTIVE DATE 17 Sep 2007	4. REQUISITION/PURCHASE REQUEST/PROJECT NO. W91ZDQ7181N600	

1. Important info is # of page
2. Contract # - reference on reports and communications
3. Effective (start) date
4. DOD Purchase Order # - for accounting purposes



# DOD CDMRP

## Cover Page

<b>5. ISSUED BY</b>	<b>CODE</b> W81XWH	<b>6. ADMINISTERED BY</b> <i>(if other than Item 5)</i>	<b>CODE</b> W81XWH
USA MED RESEARCH ACQ ACTIVITY 820 CHANDLER ST FORT DETRICK MD 21702-5014		USA MED RESEARCH ACQ ACTIVITY SHANNYN.SCASERO@ AMEDD.ARMY.MIL 301-619-2540 FORT DETRICK MD 21702	

5. Issued by what DOD organization – USAMRAA
6. Name and contact information of the DOD USAMRAA Contract Administrator



# DOD CDMRP

## Cover Page

**7. NAME AND ADDRESS OF CONTRACTOR** *(No., street, city, county, state and zip code)*  
UNIVERSITY OF CALIFORNIA  
LAWRENCE BERKELEY LABORATORY  
1 CYCLOTRON ROAD  
BERKELEY CA 94720

**7. University of California**  
Lawrence Berkeley Laboratory



# DOD CDMRP

## Cover Page

8. DELIVERY <input type="checkbox"/> FOB ORIGIN <input checked="" type="checkbox"/> OTHER <i>(See below)</i>	
9. DISCOUNT FOR PROMPT PAYMENT NET 7	
10. SUBMIT INVOICES                    0 <i>(4 copies unless otherwise specified)</i> TO THE ADDRESS SHOWN IN:	ITEM

- 8. Delivery – specified in more detail in Contract
- 9. For accounting
- 10. For accounting – LBNL doesn't submit invoices. Most of our DOD CDMRP awards have quarterly pre-payments



# DOD CDMRP

## Cover Page

11. SHIP TO/MARK FOR USAMED RESEARCH AND MATERIEL COM 1077 PATCHEL STREET FORT DETRICK MD 21702	CODE WB1290	12. PAYMENT WILL BE MADE BY DEFENSE FINANCE AND ACCOUNTING SERVICE DFAS ROME 325 BROOKS ROAD CUSTOMER SVC: 1-800-653-0527 ROMENY 13441-4527	CODE HQ0302
13. AUTHORITY FOR USING OTHER THAN FULL AND OPEN COMPETITION: [ ] 10 U.S.C. 2304(e)( ) [ ] 41 U.S.C. 253(e)( )	14. ACCOUNTING AND APPROPRIATION DATA <b>See Schedule</b>		

- 11. Address to mail reports to
- 12. For Accounting – Address of DOD CDMRP payment location
- 13. Does not apply – award made by competition
- 14. For Accounting



# DOD CDMRP

## Cover Page

15A. ITEM NO.	15B. SUPPLIES/ SERVICES	15C. QUANTITY	15D. UNIT	15E. UNIT PRICE	15F. AMOUNT
	<b>SEE SCHEDULE</b>				
<b>15G. TOTAL AMOUNT OF CONTRACT</b>					<b>\$7,731,563.00</b>

15. Amount of Award – SPO will authorize the entire amount

(details might be included, but usually in following pages)



# DOD CDMRP

## Cover Page

16. TABLE OF CONTENTS							
(X)	SEC.	DESCRIPTION	PAGE(S)	(X)	SEC.	DESCRIPTION	PAGE(S)
<b>PART I - THE SCHEDULE</b>				<b>PART II - CONTRACT CLAUSES</b>			
X	A	SOLICITATION/ CONTRACT FORM	1		I	CONTRACT CLAUSES	
	B	SUPPLIES OR SERVICES AND PRICES/COSTS		<b>PART III - LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS</b>			
	C	DESCRIPTION/ SPECS./ WORK STATEMENT			J	LIST OF ATTACHMENTS	
	D	PACKAGING AND MARKING		<b>PART IV - REPRESENTATIONS AND INSTRUCTIONS</b>			
	E	INSPECTION AND ACCEPTANCE			K	REPRESENTATIONS, CERTIFICATIONS AND	
	F	DELIVERIES OR PERFORMANCE				OTHER STATEMENTS OF OFFERORS	
	G	CONTRACT ADMINISTRATION DATA			L	INSTES., CONDS., AND NOTICES TO OFFERORS	
	H	SPECIAL CONTRACT REQUIREMENTS			M	EVALUATION FACTORS FOR AWARD	

16. Table of Contents for the Contract details that follow



# DOD CDMRP

## Cover Page

<p>17. <input type="checkbox"/> <b>CONTRACTOR'S NEGOTIATED AGREEMENT</b> Contractor is required to sign this document and return copies to your office. Contractor agrees to furnish and deliver all items or perform all the services set forth in the items identified above and on any continuation sheet for the consideration stated herein. The rights and obligations of the parties to this contract shall be subject to and governed by the following document: (a) this award contract (b) the solicitation, if any, and (c) such provisions, representations, certifications, and specifications, as are attached or incorporated by reference herein. (Attachments are listed herein.)</p>		<p>18. <input checked="" type="checkbox"/> <b>AWARD</b> (Contractor is not required to sign this document.) Your offer on Solicitation Number _____ REF: Proposal Log # BC061995 including the additions or changes made by you which additions or changes are set forth in full above, is hereby accepted as to the items listed above and on any continuation sheet. This award constitutes the contract which consists of the following document: (a) the Government's solicitation and your offer, and (b) this award contract. No further contractual document is necessary.</p>	
<p>19A. NAME AND TITLE OF SIGNER (Type or print)</p>		<p>20A. NAME AND TITLE OF CONTRACTING OFFICER          PAMELA L. FISHER / CONTRACTING OFFICER          TEL: 301-619-2805 EMAIL: pamelal.fisher@us.army.mil</p>	
<p>19B. NAME OF CONTRACTOR</p>	<p>19C. DATE SIGNED</p>	<p>20B. UNITED STATES OF AMERICA</p>	<p>20C. DATE SIGNED</p>
<p>BY _____          (Signature of person authorized to sign)</p>		<p>BY <u>Pamela L. Fisher</u>          (Signature of Contracting Officer)</p>	<p>13-Sep-2007</p>

17. LBNL DOE agreement

18. DOD agreement

19. LBNL Signature boxes – LBNL accepts; not DOE

20. DOD Signature boxes



# DOD CDMRP Contract

## Solicitation Contract Form

ITEM NO	SUPPLIES/SERVICES	QUANTITY	UNIT	UNIT PRICE	AMOUNT
0001	BC061995 - Innovator Award COST AWARD TYPE: Breast Cancer Research Program, Innovator Award; AWARD EE: University of California, Lawrence Berkeley National Laboratory; PI: Dr. Joe W. Gray; TITLE: Early Detection of Metastasis-Prone Breast Cancers; POP: 17 SEP 07 - 16 OCT 12 (Research ends 16 SEP 12) FOB: Destination MILSTRIP: W91ZSQ7181N600 PURCHASE REQUEST NUMBER: W91ZSQ7181N600				
				ESTIMATED COST	\$7,731,563.00
	ACRN AA CIN: W91ZSQ7181N6000001				\$7,731,563.00

### Description of Award PI Amount

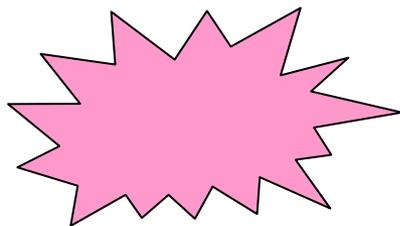


# DOD CDMRP Contract

## Solicitation Contract Form

Cancers; POP: 17 SEP 07 - 16 OCT 12 (Research ends 16 SEP 12)

**(research ends one month prior to end of PoP)**



**Important for Spending**

**PoP also specified in next section**



# DOD CDMRP Contract

## Solicitation Contract Form

### DELIVERY INFORMATION

CLIN	DELIVERY DATE	QUANTITY	SHIP TO ADDRESS	UIC
0001	POP 17-SEP-2007 TO 16-OCT-2012	N/A	USA MED RESEARCH AND MATERIEL COM 1077.PATCHEL STREET FORT DETRICK MD 21702 FOB: Destination	W91ZSQ

Period of Performance

Report Delivery Address

Reports also submitted electronically.



# DOD CDMRP

## Special Contract Requirements

### A. Award is made.....

- Refers to which version of Milestones and Budget are used for making the award (by date)
- Specifies the proposal #

### B. Acceptance of Award

- (no signature required by LBNL to accept award)

### C. General Terms and Conditions



# DOD CDMRP Special Contract Requirements

## C-1. Recipient Responsibilities

- a. LBNL is responsible
- b. PI will be continuously responsible
- c. How to change or reduce effort of the PI
- d. Approval required to change the science
- e. How to change protocol or consent form for Human Subjects research.

## C-3. Award Modification — in writing !



# DOD CDMRP

## Special Contract Requirements

### C-4. Approvals and Authorizations



#### a. What changes require prior approvals

- (1) Change in the scope or objectives of the research project as required by paragraph 1 of these terms and conditions entitled "Recipient Responsibility."
- (2) Any request for additional funding.
- (3) Change in key personnel as required by paragraph 1 of these terms and conditions entitled "Recipient Responsibility."
- (4) Exclusive of supplies, material, equipment or general support services, the award of a subaward to accomplish substantial programmatic work required in the agreement to be performed by the prime recipient.
- (5) Unless identified in the budget that is incorporated as a part of the award, expenditures for individual items of general-purpose equipment and specific purpose equipment costing \$5,000 or more.
- (6) Unless identified in the proposal that is incorporated as part of the award, expenditure for foreign travel.



# DOD CDMRP

## Special Contract Requirements

### C-4. Approvals and Authorizations

- b. Pre-award costs allowed by DOD (but not by DOE unless pre-award Bridge is in place)
- c. How to request “no cost” extension
- d. Unobligated balances may carry forward (In practice, DOD CDMRP issues awards for the entire project period at one time.)



# DOD CDMRP

## Special Contract Requirements

### C-5. Publications

- a. DOD requires a copy of all publications sent to the Grants Officer
- b. Specific language for acknowledgements is required on all publications
- c.  Must notify DOD prior to release of planned news releases, planned publicity, advertising material concerning the work, and planned presentations to scientific meetings.
- d. Specific language required if animals are involved in the research



# DOD CDMRP

## Special Contract Requirements

### C-6. Suspension and Termination

### C-7. Closeout

#### a. Accounting

#### b. Scientific Report to include:

1. Final Scientific Report
2. Patent Report
3. Cumulative list of nonexpendable property if title does not rest with our institution
4. Use of Human Subjects

#### c. Final financial audit



# DOD CDMRP Special Contract Requirements

## C-8. Site Visits

C-9.

C-10.

Describes title to Property



# DOD CDMRP Special Contract Requirements

C-11. Intangible Property

C-12. Patents and Inventions

C-13. How disputes will be handled

C-14. Total award is a set dollar figure



# DOD CDMRP

## Special Contract Requirements

### D. Special Terms and Conditions

(Numbers are only for purpose of comparison with the Sample Provided.  
Special Terms and Conditions, by definition, will change)

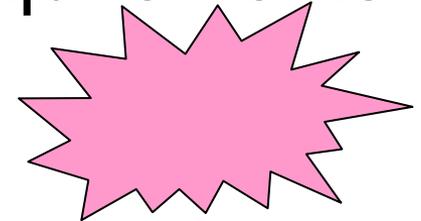
1. Research Technical Reporting Requirements for Annual Reports, Final Reports, Manuscripts/Reprints, and Abstracts
  - Content specified
  - Format

Annual Reports do not require SPO review, approval, or signature for DOD CDMRP. When submitted to SPO for upload into the eReceipt system they should already have been reviewed by Patents.



# DOD CDMRP Special Contract Requirements

## D. Special Terms and Conditions



### 2. Cost Reimbursement Payments and Full Funding

#### Or 2. ADVANCE PAYMENTS AND FULL FUNDING

Pre-payment  
with schedule

Year One \$606,635

<u>Amount</u>	<u>On or About</u>
\$151,658.75	Upon execution of this award
\$151,658.75	15 December 2008
\$151,658.75	15 March 2009
\$151,659.75	15 June 2009

Year Two \$642,472

<u>Amount</u>	<u>On or About</u>
\$160,618	15 September 2009
\$160,618	15 December 2009
\$160,618	15 March 2010
\$160,618	15 June 2010

Important for funds  
Management by AR



# DOD CDMRP Special Contract Requirements

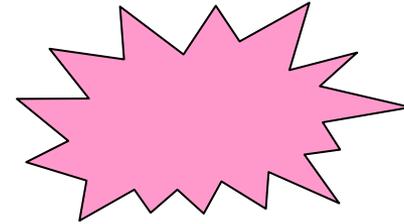
## D. Special Terms and Conditions - Prohibitions

3. Regarding Animals
4. Regarding Human Research
5. Regarding Human Cadavers



# DOD CDMRP Special Contract Requirements

## E. LBNL Special Terms



1. References the UC / LBNL Contract 31.
2. **Administrative requirements and cost principles applicable to the grant are in the Contract 31.**



# DOD CDMRP Special Contract Requirements

## E. DOE Special Terms

1. Economy Act of 1932
2. References and adheres to FAR 6.002
3. DOE not in direct competition with private sector



# DOD CDMRP Special Contract Requirements

There could be many special terms and conditions. It is important to read and understand them all and what everyone's responsibility is regarding those terms.