

## 7. General Plant Projects (GPP) and Accelerator Improvement Projects (AIP)

- a. The Subcommittee on Energy and Water Development Appropriations has merged capital equipment, general plant projects (GPP), and most accelerator improvement projects (AIP) with operating funding. Construction activity that is less than \$5,000,000 per project will be included in the operation and maintenance accounts. However, the Committee directed the Department, in implementing these changes, to continue to reflect capital equipment, general plant projects, and accelerator improvement projects in financial and accounting reports. Also, the committee directed that specific details for planned capital equipment and general plant projects be included in the annual budget justifications.
- b. Program specific GPP or AIP are to be funded by the benefiting program. The site landlord should insure that sufficient support is provided for non-program specific GPP or AIP consistent with all other operational and maintenance funded landlord activities. GPP or AIP projects may not be funded from overhead or other indirect functional accounts.
- c. While some information concerning GPP and AIP are requested for use by Headquarters Program Offices in preparing the FY BY budget request to OMB and the Congress, Field and Operations Offices are responsible for monitoring the details of GPP and AIP activities of sites under their jurisdiction. Field and Operations Offices will be required to provide necessary details of GPP and AIP activities of their sites on request.
- d. The format for the Field Budget submission for reporting and requesting funding for General Plant Projects and Accelerator Improvement Projects by site is provided in Figure II-5.1, and consists of two parts.
  - (1) Part I Summary is a tabulation of the GPP or AIP by Headquarters Organization and Budget and Reporting (B&R) code. For each B&R, the obligations and costs for the FY PY, the FYCY, and the FY BY. The total obligations and costs for each year by the site should also be shown. The financial data from Part II Project Listings should be reconciled with Part I.
  - (2) Part II Project Listing is a brief description of the GPP or AIP accomplishments expected in the PY with available funds, currently anticipated for the FY CY and the FY BY if the FY CY and FY BY funding requests are approved. At the time of submission of the Field Budget Request, the PY is roughly one-half complete. The anticipated GPP or AIP activities for the FY CY are in the budget request being considered by the Congress. If the anticipated GPP or AIP activities for the FY CY are now expected to be different than those submitted to the Congress, explain the reason and impact.
  - (3) Each FY PY project shall be described on a separate section of the project listing form. Individual FY CY projects, if known, should be described on a separate section also. Generic FY CY and FY BY projects may be grouped on a single section of the project listing form.
    - (a) The data elements for Part I are as follows:
      - 1 Site Name - the name assigned by DOE Headquarters.

*\*Denotes new/revised guidance (in red and marked with an asterisk).*

- 2 Landlord - the funding Headquarters Program designated to oversee multi-program and facility infrastructure requirements.
  - 3 Contact Person -The name, phone number, fax number, and e-mail address of the person to be contacted concerning project information provided.
  - 4 Field Office - the Operations, field, or project office, or other non-Headquarters element responsible for the site.
  - 5 Budget & Reporting Classification Code (B&R) that provides funding for the GPP or AIP. If a single B&R provides the GPP or AIP funding at the site, a total line is not required.
- (b) Data elements for Part II are:
- 1 Site Name - the name assigned by DOE Headquarters.
  - 2 Landlord - the funding Headquarters Program designated to oversee multi-program and facility infrastructure requirements.
  - 3 Narrative Description - for BY PY and known BY CY projects, describe the problem severity and mission importance of the project, the project scope in terms of capacity or other shortcomings remediated, and other justification for the project.
- (c) For generic FY CY and FY BY projects, describe the backlog of unfilled requirements and substandard assets by use category name, summarize findings of any condition assessment surveys, and provide any other justification for use GPP or AIP funds.
- 1 Funding B&R - enter the program B&R that will fund the GPP or AIP activity.
  - 2 Obligation Profile – summarize the amount in thousands for the activities anticipated in each fiscal year.
  - 3 Cost Profile - summarize the amount in thousands for the activities anticipated in each fiscal year.
1. Direct questions concerning GPP or AIP reporting to the Office of Engineering and Construction Management (MA-50).

Figure II-5.1

	FY PY	FY CY	FY BY
	Obligations	Obligations	Obligations
	Costs	Costs	Costs
HQ Program Office B&R			
B&R # 1			
B&R # 2			
Subtotal, HQ Program Office # 1			
B&R #n			
Subtotal, HQ Program Office #n			
Landlord Funded GPP B&R			
Total Site			

\*Denotes new/revised guidance (in red and marked with an asterisk).

Narrative Description of GPPs (or AIPs) by fiscal year and funding B&R.

Site Name \_\_\_\_\_ Landlord \_\_\_\_\_

FY	Description of GPP (or AIP)	Funding B&R	Obligation Profile for B&R	Cost Profile for B&R
1.		B&R #1	PY _____	PY _____
2.			CY _____	CY _____
3.			BY _____	BY _____
n.			BY+1 _____	BY+1 _____
			BY+2 _____	BY+2 _____
	BY+3 _____	BY+3 _____		
	BY+4 _____	BY+4 _____		
	BY+5 _____	BY+5 _____	BY+5 _____	
FY	Description of GPP (or AIP)	Funding B&R	Obligation Profile for B&R	Cost Profile for B&R
1.		B&R #2	PY _____	PY _____
			CY _____	CY _____
			BY _____	BY _____
			BY+1 _____	BY+1 _____
			BY+2 _____	BY+2 _____
	BY+3 _____	BY+3 _____		
	BY+4 _____	BY+4 _____		
	BY+5 _____	BY+5 _____	BY+5 _____	

\*Denotes new/revised guidance (in red and marked with an asterisk).